

# MARYLAND STATE ETHICS COMMISSION

45 CALVERT STREET, 3<sup>RD</sup> FLOOR  
ANNAPOLIS, MARYLAND 21401

410-260-7770

1-877-669-6085

FAX: 410-260-7747

<http://ethics.gov.state.md.us>

---

## 35<sup>TH</sup> ANNUAL REPORT

---

JANUARY 1, 2013 THROUGH  
DECEMBER 31, 2013



# GENERAL STATUTORY IMPLEMENTATION

---

## OVERVIEW

---

In calendar year 2013, the Commission said farewell to Commissioner Andrea Leahy-Fuchek, ending the year with one vacancy on the Commission. The Commission met 6 times in regularly scheduled sessions, held 3 phone conferences and met on 1 occasion in special session to discuss a time sensitive advice matter. During its meetings, the Commission considered issues related to all areas of its statutory mandate: financial disclosure, conflict of interest, lobbyist disclosure and conduct restrictions, local government ethics laws, school board ethics regulations, advisory opinions, enforcement matters, employee training, lobbyist training and public information activities.

The State Ethics Commission, as directed in State Government Article §15-205, administers the provisions of the Public Ethics Law; prescribes and provides forms for each document required by the Public Ethics Law; retains as a public record each document filed with the Commission for at least four years after receipt; periodically reviews the adequacy of public ethics laws; reviews financial disclosure statements and lobbyist activity reports filed in accordance with the Public Ethics Law and notifies officials and employees of any omissions or deficiencies; and publishes information that explains the provisions of the Law.

---

## ADVICE ACTIVITIES

---

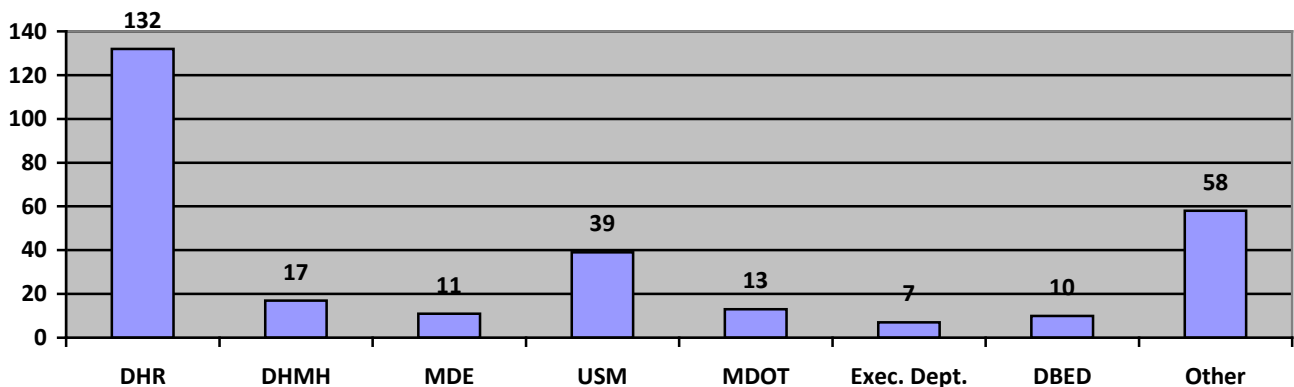
The State Ethics Commission is responsible for interpreting the Public Ethics Law. Sections 15-301 through 15-303 of the Public Ethics Law authorize the State Ethics Commission to issue formal advisory opinions in response to requests from officials, employees, lobbyists, and others who are subject to the Public Ethics Law. Formal opinions generally follow an appearance before the Commission by the requestor, are published in the Maryland Register, and are accessible electronically through the Division of State Documents in COMAR Title 19A. The Commission's regulations in COMAR 19A.01.02.05 also authorize the staff and the Commission to provide informal advice. The Commission and its staff provide informal advice in many forms, including letters, emails, and phone calls.

During its thirty-five years of existence, the Commission has issued 500 formal opinions. These opinions not only advise the public of the Commission's interpretation of the Public Ethics Law, but also guide the Commission and its staff in providing informal advice. In light of this large body of interpretive decisions, in recent years the Commission and its staff primarily have provided advice informally. This process allows the Commission and its staff to deliver more timely advice, which has been important in light of the steady increase in advice requests. There were no formal opinions issued in 2013.

The Commission's informal docket, initiated in 2002, logs requests for informal advice submitted to the staff or Commission. The docket captures more complex matters (requiring research, consultation with other staff members, etc.) which come to the staff's attention by way of letters, telephone calls, email or "walk in" requests for advice. The Commission and its staff provided informal advice in the following subject areas during calendar years 2011 through 2013:

<b>SUBJECT MATTER OF THE ADVICE</b>	<b>2013</b>	<b>2012</b>	<b>2011</b>
Lobbying Registration, Reporting and Conduct	9	8	2
Secondary Employment Advice	287	255	285
Participation Advice	40	31	18
Procurement Restrictions	3	3	6
Post-Employment Advice	44	69	37
Gift Questions	32	60	46
Other	26	44	49
<b>Total</b>	<b>441</b>	<b>470</b>	<b>443</b>

The number of informal matters decreased from 2012 (which was the highest number ever experienced by the Commission). The 2013 and 2011 numbers are still well above the numbers experienced in previous years. The Commission staff has worked hard to encourage employees and officials to take a proactive approach to dealing with ethics matters, preferring to deal with issues before they become enforcement matters. A majority of the matters addressed dealt with State employees seeking outside or secondary employment. The chart below shows the distribution of secondary employment advice requests by agency:



The 50 “other agency” secondary employment requests came from 18 different State agencies.

The informal docket does not include routine advice on matters that the Commission’s Executive Director, General Counsel, Assistant General Counsel, and Staff Counsel are able to immediately resolve through telephone calls, emails, and in-person discussions on a daily basis. It also does not include the Commission staff assisting individuals with electronic filing or training or other general inquiries concerning the Public Ethics Law and access to public information.

---

**UNIVERSITY OF MARYLAND PUBLIC-PRIVATE PARTNERSHIP  
EXEMPTIONS**

---

In 1990, the General Assembly enacted legislation allowing the University System of Maryland (USM) to grant to university faculty certain exemptions from the conflict of interest provisions of the Public Ethics Law for “sponsored research and development” activities. Sponsored research and development was defined in the law as an “agreement to engage in basic or applied research or development at a public senior higher education institution, and includes transferring university-owned technology or providing services by a faculty member to entities engaged in sponsored research or development.” Faculty members were not fully exempted from all Public Ethics Law requirements, and public disclosure of the interest or secondary employment was required. The institution granting the exemption was required to maintain the exemption as a public record and to file a copy with the State Ethics Commission.

In 1996, the General Assembly enacted the Public-Private Partnership Act which is codified in §15-523. This law expands the exemptions beyond faculty to include vice-presidents and presidents of institutions as well as the chancellor and vice-chancellors of the USM. The Act also broadens the exemption from the conflict of interest provisions to include USM officials, faculty members, and employees. The USM Board of Regents and the USM institutions adopted procedures pursuant to §15-523 to implement the conflict of interest exemptions, and the Commission’s authority is limited to approval of the policy’s conformity with the requirements of §15-523.

The Law requires each governing board to report quarterly to the Governor, the Legislative Policy Committee of the General Assembly and the State Ethics Commission the number of exemptions approved. Records filed by the institutions with the Commission reflect a total of 351 faculty exemptions granted by the university presidents between 1996 and 2012, including exemptions at the University of Baltimore (UMB), the University of Maryland at Baltimore County (UMBC), the University of Maryland Biotechnology Institute (UMBI), the University of Maryland Center for Environmental Science (UMCES), and the University of Maryland College Park (UMCP). During calendar year 2013, USM institutions reported an additional 33 individual faculty member exemptions to the Commission. The exemptions were from the following institutions:

<b>INSTITUTION</b>	<b>Number of Exemptions</b>
University of Maryland College Park	25
University of Maryland Baltimore	8
<b>TOTAL FACULTY EXEMPTIONS</b>	<b>33</b>

---

## FINANCIAL DISCLOSURE

---

The financial disclosure program continued to identify individual employees and officials required to file, provide technical assistance to filers, and monitor compliance with the Law. In accord with Public Ethics Law § 15-103, the Commission reviewed a significant number of requests by various agencies to add or delete positions to/from the financial disclosure filing list, along with an extensive review of some outdated listings. The net result was an increase in the number of filers from approximately 14,554 in 2012 to 14,693 in 2013.

Pursuant to Public Ethics Law §§ 15-103 and 15-209, the Commission made decisions regarding the status of newly created boards and commissions as “executive units” for purposes of financial disclosure filing requirements. The Commission also considered and acted upon requests by a number of boards and commissions for exemption from the requirement to file financial disclosure statements. The Commission continues to see a substantial increase in the number of boards, commissions, task forces, and technical advisory groups created by the General Assembly.

The basic financial disclosure statement filed by most individuals who are determined to be public officials is referred to as Form #1. Individuals who are public officials only as the result of their participation on boards or commissions are required to file a limited financial disclosure statement (Form #2). Legislators are required to file a more extensive disclosure statement (Form #19). The Commission staff conducts compliance reviews of financial disclosure statements and notifies filers of identifiable errors or omissions, and it pursues enforcement actions against those who fail to file. During 2013, the Commission staff reviewed more than 11,500 financial disclosure statements.

The Commission continues to experience an increase in the number of employees, officials and board and commission members who file their financial disclosure statements electronically. Of the total number of filers during calendar year 2013, approximately 97% filed electronically. The electronic administrative tool permits the staff to quickly review electronically submitted statements, compare them to previously electronically filed statements, notify filers by email of any omissions or questions raised by the statements and maintain copies of those notifications in the filers’ electronic records. The emails become attached to the electronic files, and a record is therefore compiled of statements, inquiries and responses. Filers may also electronically file amendments if required. Communication with filers, for the most part, was through email, which also saved the Commission substantial supply and postage costs.

---

## LOBBYIST DISCLOSURE AND REGULATION

---

The lobbying year runs from November 1<sup>st</sup> to October 31<sup>st</sup> of the following year. The Public Ethics Law requires a regulated lobbyist to register separately for each entity that engages the regulated lobbyist for lobbying purposes. During the lobbying year ending October 31, 2013, 3,121 lobbying registrations were filed with the Commission. Those registrations were submitted by 659 lobbyists on behalf of 1,330 employers. This represents an increase of 178 registrations from the 2,943 filed by October 31, 2012. The following table summarizes lobbying expenditures for the last three lobbying years:

<b>EXPENDITURES REPORTED BY LOBBYISTS</b>			
<b>Type of Expenditure</b>	<b>10/31/2013</b>	<b>10/31/2012</b>	<b>10/31/2011</b>
	<b>\$</b>	<b>\$</b>	<b>\$</b>
B-1: Meals and beverages for officials or employees or their immediate families	8,889	5,183	9,780
B-2: Special events, including parties, dinners, athletic events, entertainment, and other functions to which all members of the General Assembly, either house thereof, or any standing committee thereof were invited.	1,974,087	2,039,495	1,384,169
B-3: Food, lodging, and scheduled entertainment of officials and employees and spouses for a meeting given in return for participation in a panel or speaking engagement at the meeting	15,637	12,038	17,507
B-4: Food and beverages at approved legislative organizational meetings	3,353	6,535	6,594
B-5: Tickets or free admission to attend charitable, cultural or political events where all members of a legislative unit are invited.	3,005	4,125	2,764
B-6: Gifts to or for officials or employees or their immediate families (not included on B-1 through B-5)	7,380	8,580	3,292
<b>SUBTOTAL OF ITEMS B-1 THROUGH B-6</b>	<b>2,012,351</b>	<b>2,075,956</b>	<b>1,424,106</b>
B-7: Total compensation paid to registrant (not including sums reported in any other section)	42,664,486	42,122,015	38,726,848
B-8: Salaries, compensation and reimbursed expenses for staff of the registrant	1,085,338	1,830,483	1,456,401
B-9: Office expenses not reported in B-7 or B-8	855,170	853,530	739,867
B-10: Cost of professional and technical research and assistance not reported in items B-7 or B-8	527,271	626,758	533,547
B-11: Cost of publications which expressly encourage persons to communicate with officials or employees	515,652	5,634,077	1,117,838

<b>EXPENDITURES REPORTED BY LOBBYISTS</b>			
<b>Type of Expenditure</b>	<b>10/31/2013</b>	<b>10/31/2012</b>	<b>10/31/2011</b>
	<b>\$</b>	<b>\$</b>	<b>\$</b>
B-12: Fees and expenses paid to witnesses	4,856	7,804	3,434
B-13: Other expenses	838,059	1,103,383	797,704
<b>TOTAL OF ITEMS B-1 THROUGH B-13</b>	<b>\$48,503,183</b>	<b>\$54,254,006</b>	<b>\$44,799,745</b>

---

### **ENFORCEMENT ACTIVITIES**

---

There are two different types of complaints, as that term is used in the Public Ethics Law and the Commission's regulations. The Public Ethics Law provides that any person may file a complaint with the Commission. Complaints filed with the Commission must be signed under oath and allege a violation of the Public Ethics Law by a person subject to the law. In addition, following investigation of independently obtained information, the Commission may issue a complaint on its own motion alleging Public Ethics Law violations. Enforcement inquiries and reviews are conducted by the Commission's Staff Counsel, with the assistance of two legal assistants and a compliance officer. In 2013, Staff Counsel was also assisted by 3 interns.

The term "preliminary matters" describe those matters that have not yet reached the complaint stage. The Commission's enforcement procedures divide preliminary matters into two categories. All new matters are docketed as Preliminary Consideration Matters (A matters) and presented to the Commission for review to determine whether the matter merits staff inquiry or follow-up. Cases where the Commission determines that investigation is warranted are designated Preliminary Inquiry Matters (B matters).

In 2013, the Commission opened 37 A matters, including 24 conflict of interest matters, 6 lobbyist matters, and 7 financial disclosure matters. The Commission issued a reprimand to a Department of Health and Mental Hygiene employee, through a Pre-Complaint Disposition Agreement, who violated participation restrictions by becoming involved in matters in which a qualifying relative had an interest. As part of the Agreement, the employee paid \$500.00.

The Commission also entered into 13 Late Filing Agreements with lobbyists during 2013, resulting in payments of \$2,160.00 to the State of Maryland. The Commission closed 35 A matters in 2013, including 2 matters carried over from 2012. (Note that at this preliminary stage, allegations of ethics violations against multiple parties may be grouped as a single matter; e.g. late filed lobbyist reports.)

The Commission opened 6 Preliminary Inquiry Matters (B matters) in 2013, including 5 conflict of interest matters and 1 lobbying matter. The Commission issued a reprimand to a former Maryland Transit Administration employee, through a Pre-Complaint Disposition Agreement, who violated participation



restrictions by becoming involved in matters in which a qualifying relative had an employment interest. As part of the Agreement, the employee paid \$1,000.00. In 2013, the Commission also closed 4 B Matters, including 1 matter carried over from 2012.

In calendar year 2013, the Commission issued 65 complaints, including complaints in 63 financial disclosure matters and 2 conflict of interest matters. The Commission closed 59 complaints in 2013, including 10 matters from 2012, and 2 matters from 2011.

All enforcement payments were deposited in the State's general fund and cannot be used by the Commission. The Commission collected a total of \$3,290.00 in enforcement payments in 2013.

---

## **LOCAL GOVERNMENT ETHICS LAWS**

---

As originally drafted, the Public Ethics Law required Maryland counties and cities to enact local laws similar to the State's Public Ethics Law. In 1983, the General Assembly amended the Law to require local school boards either to adopt ethics regulations similar to the State Law or to be covered by county ethics laws. In 2010, the General Assembly enacted legislation (Chapter 277 of 2010) requiring local governments to adopt conflict of interest restrictions and financial disclosure requirements for elected local officials and candidates that were at least as stringent as the requirements for public officials in the Public Ethics Law. That legislation also requires local boards of education to adopt conflict of interest standards and financial disclosure requirements at least as stringent as the provisions for State officials in the Public Ethics Law for members of and candidates for election to boards of education. The law requires each local ethics commission or appropriate entity to certify to the State Ethics Commission that the county or municipal corporation is in compliance with the requirements of the law on or before October 1 of each year.

In response to this legislation, in 2011, the Commission amended its local government and board of education regulations. The Commission's Executive Director, General Counsel and Assistant General Counsel have worked with local governments and boards of education, as well as the Maryland Association of Counties, the Maryland Municipal League, and the Maryland Association of Boards of Education in implementing Chapter 277 of 2010, as well as in educating local governments and boards of education on the new requirements in the Public Ethics Law.

During 2013, the Commission's Executive Director, General Counsel, and Assistant General Counsel participated in numerous phone discussions with county and local ethics officials, as well as their representative associations regarding questions relating to conflicts of interests, financial disclosure and lobbying and the implementation of Chapter 277 of 2010. The Commission received forty new written requests for advice from local governments and boards of education. The Commission staff continued its review of the ethics ordinances and policies of local governments and boards of education for compliance with the Public Ethics Law and the Commission's regulations. The Commission staff continued to work with several jurisdictions that previously submitted draft laws in 2012, but had not yet received Commission approval. In 2013, the Commission approved draft ordinances from one county and twenty-two municipalities. Once these approved draft policies are enacted, the counties or municipalities must certify compliance as discussed above. The Commission has approved ethics policies from all twenty-four boards of education. In cases involving boards of education, Chapter 277 of 2010 requires a board of education to submit adopted regulations and amendments to adopted regulations to the State Ethics

Commission for review and approval or disapproval, but no annual certification is required. The Commission staff is actively working with the remaining local governments to ensure their drafts meet the requirements of the Public Ethics Law prior to presenting the drafts to the Commission.

The Public Ethics Law and the Commission's regulations authorize the Commission to exempt a municipality from the requirement to adopt an ethics law, or to modify the provisions applicable to a municipality, if the Commission determines an exemption or modification to be warranted based upon the size of the municipality. In considering whether the size of a municipality justifies an exemption or modification, the Commission looks at a number of factors, including population, number of public employees, type and number of government functions performed by the municipality, and the size of the municipal budget. In 2013, the Commission continued its decennial review of the exemption status of municipalities following the 2010 census to determine whether previously granted exemptions were still appropriate. The Commission reviewed forty exemption requests. Of those forty requests, the Commission granted seventeen full exemptions and exempted twenty-one jurisdictions from the requirement to adopt lobbying provisions. One jurisdiction requested not to be exempt from the requirement to adopt conflict of interest, financial disclosure and lobbying provisions in its local ethics law and the Commission granted that request. In 2013, the Commission did not grant any substantive modifications to either the conflict of interest or financial disclosure provisions for any local government. The Commission did approve a few modifications related to the timing of financial disclosure statement filings for candidates for local elected office.

Finally, the Commission also received and reviewed three reports from Montgomery County and two reports from Prince George's County regarding the special land use ethics disclosure reports required in certain jurisdictions (See §15-829 through §15-841).

---

## **EDUCATIONAL AND INFORMATIONAL ACTIVITIES**

---

The Commission staff has been active in providing formal training to State employees, lobbyists and local jurisdictions. The training has involved advising and assisting employees, officials, candidates and lobbyists on completion of forms, and providing training related to the conflict of interest provisions of the Public Ethics Law. The Commission staff has assisted local government and school board officials in drafting their ethics laws and regulations and provided technical advice to local government ethics commissions.

In March of 2010, the Commission implemented online training for conflicts of interest and lobbying. The electronic training allows officials, employees and lobbyists to take the training at their offices, at a time convenient for them, and saves on expenditures related to staff travel, parking, and meals. The electronic training, which was created and is maintained by a contractor, will require periodic expenditures for updating and refreshing.

The Public Ethics Law requires new financial disclosure filers to receive 2 hours of Ethics Law training (§15-205(d)). A total of 1,019 public officials and state employees took the conflicts of interest training online during calendar year 2013.

In addition to the basic training provided to new financial disclosure filers, the staff regularly responds to requests from various State entities for general ethics training and other, specifically focused training.

The staff conducted 15 general ethics training programs for agencies, boards and commissions, attended by 371 State employees and public officials, addressing conflicts of interest and the financial disclosure requirements. The Commission staff also conducted 20 training sessions addressing conflicts of interest and procurement issues attended by an additional 1,335 State employees, public officials, members of public and special interest groups. The total number of individuals who attended general ethics and conflicts of interest training was 1,706.

In accordance with § 15-205(e) of the Public Ethics Law, which requires the State Ethics Commission to provide a training course for regulated lobbyists and prospective regulated lobbyists at least twice each year, the Commission staff conducted 4 lobbying training programs attended by 43 regulated lobbyists. In addition, a total of 235 regulated lobbyists took the mandated training online during calendar year 2013. The lobbying programs focused on electronic filing, the general lobbying conduct prohibitions in the Law, and reporting requirements.

The Law also requires regulated lobbyists to attend a training course at least once in any 2-year period during which a lobbyist has registered with the Ethics Commission. Currently, however, the Law does not authorize the Ethics Commission to enforce this requirement. The Commission did initiate a change to its registration form in 2013, which now requires a lobbyist to certify that he/she is in compliance with the mandatory training requirements of the Public Ethics Law. This report does include an entry in the Legislative Recommendations section to amend the Law to provide the Commission with the ability to enforce the training requirement for regulated lobbyists.

The State Ethics Commission has become increasingly reliant on its website. The Commission's home page allows users to access the Commission's Annual Reports, special explanatory memoranda, and other information. The Commission's electronic filing for lobbyists and financial disclosure filers may be accessed from the website, and all Commission forms may be downloaded from the home page.

## **2013 LEGISLATION REPORT & RECOMMENDATIONS**

The State Ethics Commission proposed three departmental bills for the 2013 Session of the General Assembly. Of those, one was submitted to the General Assembly, which was enacted. House Bill 362 (Chapter 615 of the Acts of 2013) excludes mutual funds traded on a national scale from the definition of "interest" in the Public Ethics Law.

---

### **PROPOSED CHANGES TO CONFLICT OF INTEREST PROVISIONS**

---

The Commission has reviewed the conflict of interest provisions of the Public Ethics Law and suggests that the General Assembly consider the following issues:

- Like legislators, legislative staff should be prohibited from lobbying for one legislative session after leaving their State employment.

- The law prohibiting misuse of confidential information by current officials and employees should be extended to include the misuse of confidential information acquired during State service by former officials and employees.
- The provisions relating to honoraria should be amended to clearly identify the types of honoraria that may be accepted, as well as the circumstances under which honoraria may be accepted.

---

## **PROPOSED CHANGES TO LOBBYING PROVISIONS**

---

The Commission supports modifying the lobbying provisions of the Public Ethics Law in the following manner:

- Lobbyists may file their activity reports, file other lobbying reports, and initiate their lobbyist registrations with the Commission electronically. However, the Law requires that registrations include written authorization by the lobbying employer before the registration can be accepted. As a result, while a lobbyist can initially submit the data electronically, a lobbyist cannot complete the registration electronically, but must submit a signed, paper form before the registration process is considered complete. The Commission recommends modifying this requirement to allow a lobbyist to complete a registration electronically without the need to obtain the employer's signature, which would then permit the Commission to offer lobbyists the convenience of paying the registration fee electronically as well.
- As discussed in the Educational and Informational Activities section of this report, while the Public Ethics Law requires lobbyists to attend a training course at least once in any 2-year period during which a lobbyist has registered with the Ethics Commission, there is no authority for the Commission to enforce this requirement. The Commission recommends that the General Assembly amend the Law to provide such authority, which can be accomplished simply by moving the training requirement to Subtitle 7 from its current location in Subtitle 2, as the Commission does have authority to enforce violations of Subtitle 7.
- Section 15-708 requires lobbyists to report the total cost of a meal or reception to which all members of a legislative unit are invited. The current requirement may inadvertently inflate the actual amount spent on lobbying legislators when both legislators and non-legislators are invited. The Commission recommends that the General Assembly amend this provision by limiting the reporting requirement to the costs associated with the legislative invitees only.
- Section 15-705 requires regulated lobbyists to file a separate report disclosing the name of any State official of the Executive Branch or member of the immediate family of a State official of the Executive Branch who has benefited from gifts of meals or beverages from the regulated lobbyist. A lobbyist must file this report accounting for all expenditures on meals or beverages for an official of the Executive Branch or a member of the official's immediate family. This

reporting requirement is difficult to administer and is not consistent with other gift reporting requirements, which generally require a recipient to report gifts only when the value is \$20 or greater or \$100 cumulatively from one donor. The Commission recommends that the General Assembly amend this provision to require a report only when the amount spent is \$20 or greater or \$100 cumulatively from one donor.

---

## **PROPOSED CHANGES TO FINANCIAL DISCLOSURE PROVISIONS**

---

During the coming calendar year, the Commission will continue to focus its attention on several of the financial disclosure provisions of the Public Ethics Law. The Commission recommends the General Assembly consider the following amendments to the financial disclosure provisions of the Public Ethics Law:

- The Public Ethics Law requires the Court of Appeals to adopt rules regarding financial disclosure. Judges and judicial appointees file their statements with the Court of Appeals or its designee, which then forwards the statements to the Commission. Because the Commission does not have jurisdiction over judges and judicial appointees, the Commission recommends eliminating the requirement to forward the statements to the Commission.
- In the 1999 Session of the General Assembly, the Harford County Liquor Board and its employees were placed under the authority of the State Ethics Commission. However, the employees of the Board, regardless of salary or duties, were excluded from financial disclosure requirements. This general exclusion should be withdrawn to make the disclosure requirements for these employees the same as for other employees subject to the Public Ethics Law.

---

## **PROPOSED CHANGES TO ENFORCEMENT PROVISIONS**

---

The Commission and its staff continually review the Public Ethics Law in order to determine if the administration and enforcement are consistent with the intent of the law and the mission of the Commission.

- The Law currently authorizes the Commission to impose a fine not exceeding \$5,000 for each violation of the Public Ethics Law by a regulated lobbyist. However, with respect to State employees and public officials, the Commission must request a court to assess fines of \$5,000 per violation. Providing the Commission with authority to assess civil penalties against State employees and public officials would provide a formal alternative to expensive and extended court proceedings and would give the Commission equal authority in setting sanctions on conflict of interest and financial disclosure issues as it presently has with regard to lobbying violations. All penalties assessed by the court or by the Commission are sent to the General Fund.

- The Commission's current daily authority to assess fees for late financial disclosure statements should be increased from a maximum of \$2 per day per late report to \$5 per day per late report. The Commission's current maximum authority to assess fees for late financial disclosure statements and lobbying registrations and reports should be increased from a maximum of \$250 for each late financial disclosure statement and lobbying registration and report to a maximum of \$500 for each financial disclosure statement and \$1000 for each lobbying registration and report.

## APPENDIX A

### LOBBYIST EMPLOYERS SPENDING \$50,000.00 OR MORE - ALL REGISTRANTS ALL TYPES OF EXPENSES

November 1, 2012 - October 31, 2013

TOTAL AMOUNT	EMPLOYER
\$51,975.00	1000 Friends of Maryland
\$128,440.00	1199 SEIU
\$50,000.00	7-11, Inc.
\$62,598.70	AAA Mid-Atlantic, Inc.
\$51,819.00	AARP
\$141,019.00	ACLU of Maryland
\$158,406.41	Adventist HealthCare, Inc.
\$53,987.36	Advocates For Children and Youth, Inc.
\$102,789.76	Aetna Inc.
\$254,138.50	AFSCME Maryland
\$64,800.00	Agency Insurance Company of Maryland
\$66,786.54	Allstate Insurance Company
\$268,424.75	Altria Client Services Inc. and its Affiliates - Philip Morris USA Inc., John Middleton Co. and U.S. Smokeless Tobacco Company, LLC
\$65,400.00	American Association of Professional Landmen
\$64,601.05	American Cancer Society Cancer Action Network
\$140,000.00	American Chemistry Council
\$60,000.00	American Federation of Teachers - Maryland
\$111,166.05	American Heart Association
\$51,026.56	American Insurance Association
\$178,586.00	American Petroleum Institute
\$54,999.97	American Progressive Bag Alliance
\$64,458.00	American Sugar Refining, Inc.
\$114,000.00	America's Natural Gas Alliance
\$180,304.43	AMERIGROUP
\$72,000.00	Amerigroup Maryland, Inc.
\$88,858.26	Amgen

\$61,800.00	ANGARAI International
\$85,799.96	Anheuser-Busch Companies
\$99,215.50	Apartment & Office Building Association of Metropolitan Washington
\$72,820.95	Apollo Group/University of Phoenix
\$107,000.00	ARINC, Inc.
\$209,300.00	Associated Builders & Contractors
\$77,250.00	Association of Forest Industries
\$117,128.81	Association of Maryland Pilots
\$55,900.00	Asurion Insurance Services, Inc.
\$80,500.00	AT&T
\$114,000.00	Atlantic Recycling Group, LLC
\$110,200.00	Automated Health Care Solutions
\$87,400.00	Balfour Beatty Construction
\$313,367.16	Baltimore Gas and Electric Company
\$260,117.50	Baltimore Jewish Council
\$91,507.89	Baltimore Orioles, Inc.
\$67,278.92	Bank of America Corporation
\$89,838.03	Blair Towers, LLC
\$71,775.38	Bricklayers & Allied Craftworkers Local 1 MD, VA & DC
\$75,730.00	Cable Telecommunications Association of MD, DE & DC
\$72,000.00	Canton Railroad Company
\$404,733.00	CareFirst BlueCross BlueShield
\$52,514.40	Catholic Charities
\$242,663.48	CBAC Gaming, LLC
\$52,250.00	CBN Secure Technologies, Inc.
\$64,242.80	Cerner Corporation
\$72,000.00	Charles County Commissioners
\$65,000.00	Chesapeake Amusements, Inc.
\$130,380.69	Chesapeake Bay Foundation
\$61,136.41	Chesapeake BaySavers, Inc.
\$97,099.00	Chesapeake Climate Action Network
\$50,000.00	Chesapeake Urology Associates, P.A.



\$103,698.87	Children's National Medical Center
\$53,683.92	Chimes, The
\$56,000.00	Cigar Association of America, Inc.
\$60,000.00	Civista Health, Inc.
\$65,000.00	Civista Medical Center
\$74,390.73	Columbia Association, Inc.
\$51,745.25	Columbia Gas of Maryland
\$286,883.05	Columbia Gas Transmission, LLC
\$363,000.00	Comcast
\$77,800.00	Commerce Energy
\$84,000.00	Competitive Power Ventures, Inc.
\$126,049.96	Corvex Management LP
\$159,210.59	Costco Wholesale
\$119,415.42	Covanta Energy Corporation
\$66,235.90	Coventry Health Care, Inc.
\$209,000.00	CSX Transportation, Inc.
\$100,212.03	CVS Caremark
\$60,000.00	CWA
\$59,089.96	Daily Record, The
\$60,800.00	Darden, Inc.
\$51,100.00	DaVita, Inc.
\$50,964.27	DCI Group AZ, LLC
\$88,000.00	Decker's Salvage
\$78,110.53	Delta Dental of Pennsylvania
\$64,739.48	DentaQuest
\$88,390.00	Diamond Game Enterprises
\$137,690.00	Direct Energy
\$80,752.24	Doctors Community Hospital
\$140,694.40	Dominion Resources Services, Inc.
\$90,939.00	Eastalco
\$60,800.00	Election Systems & Software
\$50,375.00	Elevator Industry Work Preservation Fund

\$67,930.00	Enterprise RAC Company of Baltimore, LLC
\$90,538.16	Envirotest Systems Holdings Corp
\$118,265.00	EPIC Pharmacies
\$108,000.00	ESG - Energy Systems Group
\$66,561.00	Evitts Resort, LLC
\$73,269.50	Excelsior College
\$246,998.67	Exelon Corporation
\$84,875.66	Express Scripts Holding Co.
\$110,000.00	Faison & Associates, LLC
\$114,452.58	FedEx Corporation
\$96,938.00	Fidelity & Guaranty Life Insurance Co.
\$238,627.29	First Energy Service Company
\$50,985.00	Food & Friends
\$87,000.00	Fraternal Order of Police, Lodge #89
\$93,265.75	General Motors LLC
\$50,139.36	Genesis HealthCare Corporation
\$53,000.00	GenOn Mid-Atlantic, Inc.
\$72,286.11	Gilead Sciences
\$54,437.00	Glaxo Smith Kline
\$64,666.84	Greater Baltimore Board of Realtors, Inc.
\$137,462.88	Greater Baltimore Committee
\$75,503.03	Greater Capital Area Association of Realtors
\$65,250.00	GTECH Corporation
\$53,507.00	Harford County Government
\$289,290.73	Health Facilities Association of Maryland (HFAM)
\$67,500.00	Holy Cross Hospital of Silver Spring, Inc.
\$101,969.96	Imagine Schools
\$53,899.98	Insurance Agents & Brokers of Maryland, Inc. (IA&B)
\$76,592.68	International Business Machines Corporation ("IBM")
\$58,587.56	International Council of Shopping Centers
\$50,000.00	International Health, Racquet and Sportsclub Association (IHRSA)
\$52,337.00	International Premium Cigar & Pipe Retailers Association

\$71,145.41	IWP
\$60,108.45	Jack Neil & Associates, LLC
\$59,017.00	Jai Medical Systems
\$83,098.21	Job Opportunities Task Force
\$353,257.31	Johns Hopkins Institutions
\$55,000.00	Johnson Controls, Inc.
\$84,000.00	Joseph Smith & Sons, Inc.
\$123,125.25	K. Hovnanian Homes, LLC
\$165,890.00	Kaiser Foundation Health Plan of the Mid-Atlantic States, Inc.
\$62,000.00	Kaiser Permanente
\$56,200.00	Kaplan Higher Education
\$55,569.96	Kennedy Krieger Institute, The
\$340,000.00	Law Offices of Peter Angelos
\$160,000.00	League of Life & Health Insurers of Maryland, Inc.
\$80,487.83	Licensed Beverage Distributors of Maryland, Inc.
\$144,880.55	Lifebridge Health, Inc.
\$115,802.22	Lockheed Martin Corporation
\$72,000.00	Lorillard, Inc.
\$72,100.00	M&R Strategic Solutions
\$104,500.00	Manufacturers Alliance of Maryland
\$51,611.16	Maryland Addictions Directors Council
\$183,465.63	Maryland Association for Justice
\$135,833.52	Maryland Association of Boards of Education
\$55,770.87	Maryland Association of Certified Public Accountants
\$50,000.00	Maryland Association of Chain Drug Stores
\$319,376.19	Maryland Association of Realtors, Inc.
\$184,114.32	Maryland Automobile Dealers Association
\$56,050.77	Maryland Automobile Insurance Fund
\$385,699.42	Maryland Bankers Association
\$51,500.00	Maryland Casino, LLC
\$176,124.77	Maryland Catholic Conference, LLC.
\$85,791.54	Maryland Chamber of Commerce

\$52,280.00	Maryland Charter School Network
\$60,000.00	Maryland Cigar Direct Ship Coalition
\$72,668.80	Maryland Consumer Rights Coalition, Inc.
\$55,400.00	Maryland Economic Development Corporation, The
\$138,560.28	Maryland Farm Bureau, Inc.
\$115,100.00	Maryland Horse Breeders Association
\$665,326.17	Maryland Hospital Association
\$238,227.00	Maryland Independent College and University Association
\$76,660.58	Maryland Industrial Technology Alliance
\$92,903.09	Maryland Insurance Council, The
\$227,625.05	Maryland Jockey Club (Pimlico and Laurel Park)
\$50,201.43	Maryland League of Conservation Voters, Inc.
\$112,050.00	Maryland Multi-Family Housing Association
\$70,057.20	Maryland Optometric Association
\$121,450.49	Maryland Physicians Care MCO
\$103,829.34	Maryland Radiological Society
\$50,100.00	Maryland Ready Mix Concrete Association
\$447,535.48	Maryland Retailers Association
\$74,160.00	Maryland School for the Blind, The
\$56,297.90	Maryland Society of Anesthesiologists
\$199,516.05	Maryland State Bar Association, Inc.
\$203,100.00	Maryland State Builders Association
\$118,300.00	Maryland State Dental Association
\$351,248.70	Maryland State Education Association
\$142,746.24	Maryland State Licensed Beverage Association
\$126,998.00	Maryland Thoroughbred Horsemen's Assoc.
\$84,828.20	Maryland Tort Reform Coalition
\$180,001.26	Maryland Transportation Builders & Materials Association (MTBMA)
\$65,197.46	Maryland Wineries Association
\$68,280.82	Maryland Works, Inc.
\$136,857.48	Maryland Zoo in Baltimore, The
\$76,675.00	Maryland/D.C./Delaware Broadcasters

\$127,187.50	Marylanders for Restorative Justice
\$105,009.26	Marylanders to Prevent Gun Violence
\$73,734.76	Maximus
\$102,067.50	MD/DE/DC Beverage Association
\$313,836.53	MedChi, The Maryland State Medical Society
\$206,768.03	Medical Mutual Liability Insurance Society of Maryland (Medical Mutual)
\$64,841.00	MedImmune, LLC
\$331,450.00	MedStar Health
\$120,200.00	Merck, Sharp & Dohme Corp.
\$172,913.39	MGM Resorts International
\$60,000.00	Microsoft Corporation
\$94,848.67	Mid-Atlantic Health Care
\$98,254.94	Mid-Atlantic LifeSpan
\$109,000.00	Mid-Atlantic Petroleum Distributors Association
\$84,975.00	Molina Healthcare, Inc.
\$458,587.06	Montgomery County Chamber of Commerce
\$51,500.00	Motion Picture Association of America, Inc.
\$76,460.30	Motorola Solutions
\$50,299.50	NAACP National Voter Fund
\$97,364.02	National Aquarium in Baltimore, Inc.
\$263,855.10	National Association of Industrial And Office Properties- Maryland
\$108,380.49	National Children's Museum
\$94,000.00	National Federation of Independent Business
\$100,000.00	National Funeral Directors Association
\$171,414.52	National Rifle Association of America
\$60,423.40	National Shooting Sports Foundation, Inc.
\$51,985.32	Nationwide Insurance Company
\$60,600.00	NIC USA, Inc.
\$53,999.98	NMS Healthcare of Hyattsville, LLC
\$78,700.00	Norfolk Southern Corporation
\$101,125.00	Northrop Grumman Corporation

\$144,308.00	NRG Energy, Inc.
\$306,735.69	Ocean Enterprise 589, LLC
\$67,417.50	Old Dominion Electric Cooperative
\$53,018.74	One Call Concepts, Inc.
\$70,000.00	Oracle America, Inc.
\$84,000.00	Our House
\$92,700.00	Owens-Illinois, Inc.
\$50,000.00	P3 Group, The
\$80,223.08	Parsons Transportation Group, Inc.
\$60,969.13	Patapsco Bingo
\$90,000.00	Penn National Gaming, Inc.
\$272,643.37	Pepco Holdings, Inc.
\$75,000.00	Peterson Companies, The
\$60,891.00	Pfizer Inc.
\$94,795.77	Pharmaceutical Research & Manufacturers of America
\$61,313.82	Ports America, Inc.
\$97,500.00	PPE Casino Resorts Maryland, LLC
\$97,500.00	Preston Scheffenacker Properties
\$78,858.37	Prince George's County Association of Realtors
\$88,600.00	Prince George's County Board of Education
\$72,000.00	Prince George's County Council
\$119,000.00	Prince George's County Executive
\$66,666.00	Princeton Public Affairs Group, Inc.
\$97,489.14	Property Casualty Insurers Association of America
\$91,008.31	Public Justice Center, Inc.
\$103,250.00	Public School Superintendents' Association of Maryland (PSSAM)
\$127,700.00	Quality Imaging Access Coalition of Maryland
\$95,000.00	RAI Services Company
\$59,984.15	Reckitt Benckiser Pharmaceuticals, Inc.
\$65,100.00	Reed Elsevier, Inc.
\$60,000.00	REIT Management & Research, LLC
\$126,049.96	Related Fund Management LLC

\$70,777.50	Restaurant Association of Maryland, Inc.
\$62,740.71	Retail Energy Supply Association
\$78,700.00	Rite Aid Corporation
\$56,331.92	SCI Management
\$167,959.52	Scientific Games International
\$75,248.03	SEIU Local 32 BJ
\$61,826.17	Share our Strength
\$92,272.33	Sherwin-Williams Company, The
\$55,000.00	Skanska
\$50,000.00	Smith Industries
\$132,822.37	Southern Maryland Electric Cooperative, Inc.
\$68,300.00	Sprint Nextel
\$60,000.00	St. Charles Companies
\$158,670.58	State Farm Mutual Automobile Insurance Company
\$60,800.00	Stevenson University
\$51,000.00	T. Rowe Price Group, Inc.
\$115,297.00	Tech Council of Maryland/MdBio
\$70,958.00	T-Mobile USA, Inc.
\$60,000.00	TNEM, LLC
\$68,717.33	Total Wine and More
\$52,000.00	TRANSPORTATION INFRASTRUCTURE NOW, INC.
\$75,800.00	TruAcuity
\$96,000.00	TSG Developments (Maryland) LLC
\$50,000.00	UNITE HERE LOCAL 7
\$151,274.13	United Healthcare Services, Inc.
\$60,383.64	United Multifamily Partners, LLC
\$76,171.00	USAA
\$60,600.00	VALIC
\$72,000.00	ValueOptions
\$176,667.00	Veolia Transportation
\$131,797.11	Verizon
\$132,092.42	Verizon Communications

\$195,470.36	Verizon Maryland Inc.
\$184,667.91	Wal-Mart Stores, Inc.
\$263,929.45	Walton Maryland II, LLC
\$70,518.29	Washington Area NEW Automobile Dealers Association (WANADA)
\$50,000.00	Washington D.C Building and Construction Trades Council
\$238,462.14	Washington Gas
\$50,337.38	Washington Gas Energy Services, Inc.
\$109,784.00	Washington Suburban Sanitary Commission
\$95,784.33	Waste Management of Maryland
\$90,800.00	Wegmans Food Markets, Inc.
\$63,125.61	Wexford Health Sources, Inc.
\$56,567.50	Whalen Properties
\$67,240.00	Wheelabrator Technologies, Inc.
\$70,740.00	Woda Group, LLC., The
\$95,200.00	Working Families Organization
\$176,718.80	Xerox Business Services, LLC and its Affiliates



## APPENDIX B

### LOBBYISTS RECEIVING \$50,000.00 OR MORE IN COMPENSATION ONE OR MORE EMPLOYERS

*November 1, 2012 - October 31, 2013*

<b>TOTAL</b>	<b>LOBBYIST</b>
\$111,684.26	Abbruzzese, Rick
\$62,015.00	Abramson, Arthur C.
\$163,262.41	Adams, Jr., Earl
\$365,950.00	Aery, Shaila R.
\$52,344.16	Albizo, Lynn H.
\$94,500.46	Alexander, Gary R.
\$257,266.70	Andryszak, John A.
\$88,500.00	Appel, Erin
\$323,167.60	Bagwell, Ashlie
\$155,000.00	Ballentine, Jr , Thomas M.
\$91,700.00	Battle, J. Kenneth
\$498,685.49	Bellamy, Lorenzo M.
\$665,350.00	Bereano, Bruce C.
\$67,043.70	Bishop, Tabb J.
\$67,492.00	Bjarekull, Tina M.
\$716,641.00	Boston, III, Frank D.
\$204,400.00	Brocato, Barbara M.
\$82,200.00	Brown, Tamera Anita
\$258,068.15	Bryant, Eric L.
\$417,250.00	Bryce, Joseph C.
\$58,311.84	Buckner, Todd
\$201,100.00	Burner, Gene L.
\$73,300.00	Butler, Brandon Scott
\$177,200.00	Canning, Michael F.
\$62,700.00	Carey, Eleanor M.
\$67,950.00	Carrington, J. Darrell
\$479,978.79	Carroll, Jr., David H.
\$137,850.00	Carter, W. Minor

\$69,300.00	Castelli, William A.
\$137,521.69	Chason, Todd R.
\$160,870.73	Ciekot, Ann T.
\$138,222.00	Cobbs, Drew P.
\$50,000.00	Coles, Mark Anthony
\$326,607.75	Collins, Carville B.
\$68,020.92	Connelly, Valerie T.
\$117,798.50	DeMattos, Jr., Joseph
\$241,303.00	DiPietro, Christopher V.
\$353,230.15	Doherty, Jr., Daniel T.
\$90,000.00	Donoho, Patrick
\$175,490.30	Douglas, Michele
\$56,778.30	Elfreth, Sarah
\$178,241.00	Elliott, Robyn S.
\$1,002,266.00	Enten, D. Robert
\$1,392,500.00	Evans, Gerard
\$119,000.00	Favazza, Erin P.
\$394,700.00	Favazza, John F.
\$80,000.02	Fowlkes, Lyle
\$267,000.00	Gally, Eric
\$166,239.98	Genn, Gil
\$177,000.00	Gisriel, Michael U.
\$283,960.00	Greenfield, Aaron J.
\$934,625.00	Harris Jones, Lisa
\$85,000.00	Harris, Willie R.
\$507,640.42	Harting, Marta
\$238,000.00	Hill, Denise O.
\$493,929.00	Hoffman, Barbara A.
\$75,000.00	Horrigan, F. Peter
\$115,000.00	Hutchins, Diane
\$394,751.69	Jacobson, Jonas A.
\$1,025,010.96	Johansen, Michael V.

\$468,978.79	Johnson, Robert G.
\$64,702.24	Johnson, Sean
\$256,500.00	Jones, Gary R.
\$600,596.70	Kasemeyer, Pamela M.
\$65,115.00	Kitzmiller, John P.
\$335,635.00	Kress, William Allen
\$104,000.00	Kuiper, Laurie
\$50,000.00	La Valle, Traci
\$86,695.00	Lanier, Ivan V.
\$59,312.47	Lehman, Miriam
\$224,416.44	Levitan, Laurence
\$216,357.50	Liningier, Brett Stewart
\$78,702.00	Locklair, Cailey
\$330,000.00	Looney, Sean M.
\$139,000.00	Loughran, Kathleen G.
\$254,191.64	Lucchi, Leonard L.
\$696,500.00	Malone, Sean R.
\$59,771.40	Maloney, Amy Ellen
\$133,600.00	Maloney, Kathleen M.
\$964,350.00	Manis, Nicholas G.
\$63,000.00	Matricciani, Denise M.
\$84,000.00	McCabe, Christopher J.
\$59,339.00	McCann, Nicole
\$271,000.00	McCoy, Dennis C.
\$84,134.00	McDonald, Tom
\$66,800.00	McDonough, Caitlin E.
\$55,636.90	Mickens, Randal L.
\$310,361.64	Miedusiewski, American Joe
\$96,800.00	Miles, William R
\$50,000.00	Miller, Beverly L.
\$551,850.00	Mitchell, Van T
\$142,251.00	Montgomery III, Richard A.

\$56,110.00	Moss, Carolyn J.
\$148,103.37	Murphy, Kathleen M.
\$88,680.00	Murray, Patrick H.
\$85,000.00	Nathanson, Martha D.
\$120,000.00	Neil, John B.
\$133,214.00	Neily Mutch, Alice J.
\$182,200.00	O'Keeffe, Kevin
\$69,000.00	Opara, Clay C.
\$327,250.00	Ortiz, Jeanette
\$90,000.00	Overton, Valerie Shearer
\$1,531,106.82	Perry, Timothy A.
\$408,000.00	Pitcher, J. William
\$616,533.90	Popham, Bryson F.
\$550,461.06	Powers, Hannah J.
\$1,176,456.00	Proctor, Jr., Gregory S.
\$55,750.00	Purnell, I. Vanessa
\$137,317.23	Quinn, Brian M.
\$100,000.00	Ranier, Edward M.
\$53,410.26	Rankin, Sr., Robert
\$525,350.00	Rasmussen, Dennis F.
\$273,500.00	Riddick, Major F.
\$104,000.00	Rivkin, Deborah R.
\$70,000.00	Robbins, Mike
\$100,000.00	Roberts, Carl D.
\$319,485.00	Robinson, Kimberly Y.
\$165,862.64	Roddy, Patrick H.
\$82,500.00	Rohling, Martin Guy
\$100,833.67	Ross, Justin
\$1,293,262.94	Rozner, Joel D.
\$50,884.00	Sanchez, Delora R.
\$104,745.00	Schrader, Sandra
\$74,315.00	Schreiber, Bret Allan

\$154,817.29	Schwartz, III, Joseph A.
\$1,390,165.15	Shaivitz, Robin F.
\$67,000.00	Shepter, Brian
\$480,645.45	Sidh, Sushant
\$55,000.00	Siebert, Deborah
\$50,000.00	Stallings, Nicole
\$920,294.49	Stierhoff, John R.
\$91,513.67	Taylor, Jr., Casper R.
\$63,496.00	Thompson, Melvin R.
\$66,766.16	Thornton, Frank Edwards
\$366,274.04	Tiburzi, Paul A
\$72,002.59	Tompkins, Daniel Paul
\$160,000.00	Townsend, Pegeen A.
\$262,190.00	Valentino-Benitez, Ellen
\$108,810.00	Weisel, Meredith R.
\$393,423.59	White, Josh
\$52,000.00	Wilkins, Barbara J.
\$78,000.02	Wineholt, Ronald Wayne
\$512,779.09	Wise, J. Steven
\$98,500.00	Woolums, John R.
\$93,500.00	Worcester, Julia P.
\$50,000.00	Wyatt, Joseph R.
\$135,155.00	Zellmer, Jeffrie
\$50,000.00	Zinsmeister, Robert M.

## APPENDIX C

### EXPENDITURES ON SPECIAL EVENTS November 1, 2012 - October 31, 2013

<b>Group Invited</b>	<b>Times Invited</b>	<b>Total</b>
Anne Arundel County Delegation	5	\$8,949.26
Baltimore City Delegation	8	\$13,009.90
Baltimore County Delegation	11	\$15,380.50
Carroll County Delegation	4	\$5,302.92
General Assembly	101	\$988,955.57
Harford County Delegation	5	\$3,788.92
House Appropriations Committee	16	\$17,996.04
House Economic Matters Committee	22	\$52,263.85
House Environmental Matters Committee	13	\$15,231.03
House Health and Governmental Operations Committee	19	\$34,079.05
House Judiciary Committee	10	\$16,274.17
House of Delegates	4	\$46,390.70
House Rules and Executive Nominations Committee	1	\$228.89
House Ways and Means Committee	11	\$15,334.38
Howard County Delegation	6	\$5,431.57
Lower Eastern Shore Delegation	9	\$26,897.21
Montgomery County Delegation	19	\$439,639.85
Prince George 's County Delegation	11	\$31,773.20
Senate	6	\$48,386.21
Senate Budget and Taxation Committee	29	\$42,775.10
Senate Education Health and Environmental Affairs Committee	21	\$24,806.38
Senate Executive Nominations Committee	1	\$228.89
Senate Finance Committee	34	\$50,157.73
Senate Judicial Proceedings Committee	10	\$13,691.00
Southern Maryland Delegation	4	\$5,263.12
Upper Eastern Shore Delegation	9	\$26,085.89
Western Maryland Delegation	7	\$10,300.76
TOTAL:		\$1,958,622.08

(NOTE: Where more than one committee was invited to the same event there may be a proportionate allocation for the purposes of this report.)

## APPENDIX D

### LOBBYING FIRMS REPORTING COMPENSATION OF \$1,000,000.00 OR MORE

*November 1, 2012 - October 31, 2013*

<b>Name of Firm</b>	<b>Compensation Reported</b>
Alexander & Cleaver, P.A.	\$3,210,887.89
Artemis Group, LLC	\$1,011,424.00
Capitol Strategies, LLC	\$1,518,103.03
G.S. Proctor & Associates, Inc.	\$1,220,456.00
Gerard E. Evans, Ltd.	\$1,427,951.61
Gordon, Feinblatt, Rothman, Hoffberger & Hollander, LLC	\$2,847,709.71
Harris Jones & Malone, LLC	\$1,794,550.00
Manis Canning & Associates	\$2,542,600.00
Rifkin, Livingston, Levitan & Silver, LLC	\$3,285,012.34
Schwartz, Metz & Wise, P.A.	\$1,268,193.08
Venable, LLP	\$1,580,252.14

## APPENDIX E

### STATE ETHICS COMMISSION MEMBERS – 1979 TO PRESENT

* Herbert J. Belgrad	1979 to 1986
William B. Calvert	1979 to 1980
Jervis S. Finney	1979 to 1983
Reverend John Wesley Holland	1979 to 1987
* Barbara M. Steckel	1979 to 1990
Betty B. Nelson	1981 to 1988
* Thomas D. Washburne	1984 to 1986
* M. Peter Moser	1987 to 1989
* William J. Evans	1987 to 1993
Reverend C. Anthony Muse	1988 to 1990
Robert C. Rice, PhD	1989 to 1993
* Mark C. Medairy, Jr.	1990 to 1999
Mary M. Thompson	1990 to 1994
Shirley P. Hill	1992 to 1994
* Michael L. May	1993 to 2003
Robert J. Romadka	1994 to 1997
April E. Sepulveda	1994 to 2003
* Charles O. Monk, II	1995 to 2003
* Dorothy R. Fait	1999 to 2005
D. Bruce Poole	2000 to 2004
Julian L. Lapidés	2002 to present
Ava S. Feiner, Ph.D.	2003 to 2005
* Robert F. Scholz	2003 to 2012
Daryl D. Jones	2005 to 2006
Janet E. McHugh	2005 to 2011
* Paul M. Vettori	2006 to present
H. Richard Duden, III	2006 to 2008
Jacob Yosef Miliman	2008 to present
Andrea Leahy-Fuckeck	2011 to 2013
Robert G. Blue	2012 to present

*\*Person served as Chairman during some part  
of his/her term on the Commission.*