

MARYLAND STATE ETHICS COMMISSION

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31ST ANNUAL REPORT

JANUARY 1, 2009 THROUGH
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GENERAL STATUTORY IMPLEMENTATION

OVERVIEW

The State Ethics Commission met in regular session 13 times during Calendar Year 2009 and considered issues related to all areas of its statutory mandate: financial disclosure, conflict of interest, lobbyist disclosure and conduct restrictions, local government ethics laws, school board ethics regulations, advisory opinions, enforcement matters, employee training, lobbyist training and public information activities. The State Ethics Commission, as directed in State Government Article § 15-205, administers the provisions of the Public Ethics Law; prescribes and provides forms for each document required by the Public Ethics Law; retains as a public record each document filed with the Commission for at least four years after receipt; periodically reviews the adequacy of public ethics laws; reviews financial disclosure statements and lobbyist activity reports filed in accordance with the Public Ethics Law and notifies officials and employees of any omissions or deficiencies; and publishes information that explains the provisions of the Law.

The Commission met 7 times in regular scheduled sessions and on 6 occasions in special session to discuss advice of counsel on enforcement matters. Three occasions involved the Commission members who were participating in the remand of the case and complaint involving Bruce C. Bereano as a result of the Court of Appeals decision in *Bereano v. State Ethics Commission*, 403 Md. 716 A. 2d 5438, 2008¹. The Commission accepted a Stipulation of Settlement Agreement on April 16, 2009 to resolve the Complaint. Pursuant to the agreement, Mr. Bereano accepted an admonishment for his activities related to a fee agreement; paid the sum of Twenty-Nine Thousand and Seventy Dollars (\$29,070.00) as settlement in recognition of the costs sustained by the State of Maryland; and will allow Commission review of all his fee agreements with lobbying employers for a period of two years. The Commission also met on 3 occasions involving advice of counsel activities regarding a request for an advisory opinion².

During calendar year 2009, the Commission continued to encourage officials and employees to file annual financial disclosure statements electronically. During 2009, 12,857 statements and amendments were filed electronically by officials and employees. This represented approximately 89% of the 13,285 required to file.

Additionally, in 2009 the Commission made all lobbying registrations, activity reports, and special event reports available electronically. Lobbying registrations, event reports and activity reports were either submitted electronically or electronically inputted by Commission staff, and available to the public electronically from the Commission's website, <http://ethics.gov.state.md.us>.

¹ The members who participated in the remand proceedings included Robert F. Scholz, Janet E. McHugh, Paul M. Vettori, and Jacob Yosef Miliman. Julian L. Lpides recused himself from all participation in this matter since it originated in 2002.

² Commission members Robert F. Scholz, Julian L. Lpides, Janet E. McHugh and Paul M. Vettori participated in this matter. Commissioner Jacob Yosef Miliman recused himself from participation in this matter.

The Commission staff continued to place substantial emphasis on the training for public officials and employees and regulated lobbyists. Commission staff continued to focus on providing training to smaller groups of employees at their particular agencies in 2009. This permitted the training to address the specific ethical issues confronted by State employees and public officials in their particular service to the public. During calendar year 2009, the Commission conducted 16 general ethics training programs for agencies, boards and commissions, attended by 943 State employees and public officials, focusing on conflicts of interest and the electronic filing process for financial disclosure statements. In addition, the Commission staff conducted 18 additional training sessions addressing conflicts of interest, electronic filing and procurement attended by an additional 535 State employees, public officials, members of public and special interest groups. The total number of individuals who attended general ethics and conflicts of interest training was 1,478. The Commission staff also conducted 5 lobbying training programs, attended by 88 regulated lobbyists pursuant to Public Ethics Law § 15-205(e). The lobbying programs focused on electronic filing and the general lobbying conduct prohibitions in the Law, and reporting requirements.

ADVICE ACTIVITIES

The Maryland Public Ethics Law §§ 15-301 through 15-303 provides that the State Ethics Commission may issue formal advisory opinions in response to requests from officials, employees, lobbyists, and others who are subject to the Ethics Law. Formal opinions generally follow an appearance before the Commission by the requestor, are published in the Maryland Register, and are accessible electronically through the Division of State Documents in COMAR Title 19A. The Commission's regulations, COMAR 19A.01.02.05, also permit the staff and the Commission to provide informal advice. Informal advice generally results in a letter or email to the requestor referencing prior formal and informal Commission opinions addressing similar facts and issues.

The State Ethics Commission is responsible for interpreting the Public Ethics Law. In late 1979, when the Commission was established, most advice requests resulted in published formal opinions. During its first five years of operation, the Commission issued a total of 205 formal opinions, and during the next five years, another 128 formal opinions were issued. As a result, a large body of published opinions is available to the Commission staff and provides guidance in response to advice requests. During its thirty-one years in existence, the Commission has issued a total of 499 formal opinions. During recent years the number of formal opinions has decreased, while informal reviews and letter advice have substantially increased. A major factor reducing the need for formal Commission opinions is the large number of existing opinions that provide guidance to the staff in responding to requests for informal advice, thus expediting the advice process.

During calendar year 2009, the Commission issued two formal published opinions (09-01 and 09-02). Both of these opinions dealt with the application of the participation restrictions (§15-501) of the Public Ethics Law. Opinion 09-01 involved an employee of the Maryland Transit Administration who sought an exception to allow him to serve as a member of team that negotiated contracts with his brother's employer. Opinion 09-02 involved an employee of the Maryland Transportation Administration who managed a contract in which his mother's employer was a subcontractor. Based on the nature of the qualifying relatives' employment, the relationship between the employees and their qualifying relatives, agency controls and oversight, and the nature of the employees' participation in the matters, the

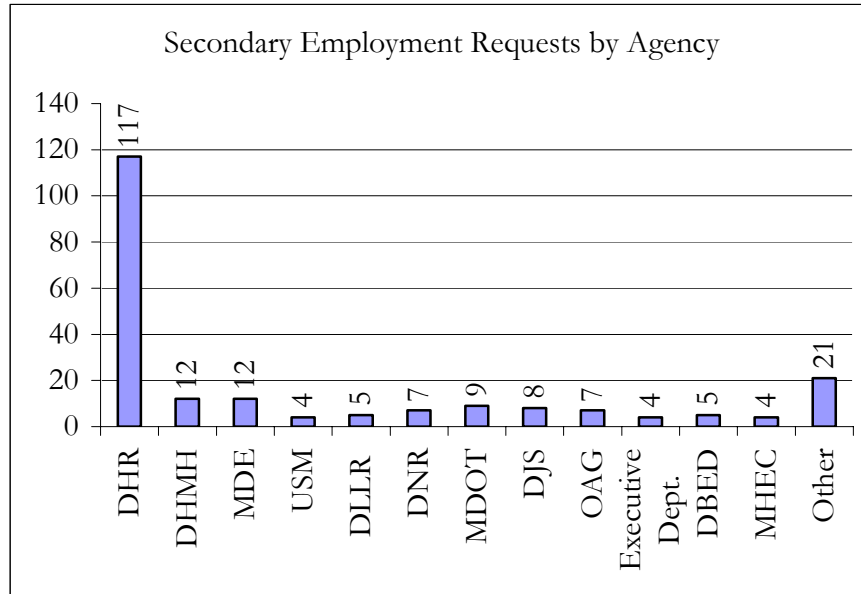
Commission granted both employees exceptions to allow them to participate in these matters that involved the employers of their qualifying relatives.³

The Commission’s informal docket, initiated in 2002, logs requests for advice resulting in informal advice from the staff or Commission. The log may include telephone advice or responses to routine questions from individuals who either call, email or walk into the office for advice. The Commission and its staff provided informal advice in the following subject areas during calendar years 2007 through 2009:

SUBJECT MATTER OF THE ADVICE	2009	2008	2007
Lobbying Registration, Reporting and Conduct	6	15	6
Secondary Employment Advice	215	252	121
Participation Advice	13	20	19
Procurement Restrictions	10	5	10
Post-Employment Advice	12	20	30
Gift Questions	45	54	35
Other	31	42	19
Total	332	408	240

The number of informal matters decreased in 2009 compared to 2008, but still remained substantially higher than the number of informal matters in 2007. A large number of these matters dealt with State employees seeking outside or secondary employment. The large number of secondary employment informal matters in 2008 can be attributed to two agencies, the Department of the Environment and the Department of Juvenile Services, instructing their employees to disclose any secondary employment, which resulted in an increased number of requests from those agencies. Review requests from the Department of Human Resources, the agency that consistently submits the most secondary employment requests, more than doubled for the second year in a row in 2009. The chart below shows the distribution of secondary employment advice requests by agency:

³ The Commission’s formal advisory opinions may be accessed through the Commission’s website, <http://ethics.gov.state.md.us>.



The 21 “other agency” secondary employment requests arose from 18 different State agencies. The Public Service Commission, the Maryland Department of Planning, and the State Lottery Agency each submitted two secondary employment requests and the remaining 15 agencies each submitted one secondary employment request. During calendar year 2009, the Commission’s Executive Director, General Counsel, Staff Counsel, and Assistant General Counsel responded to more than 1909 phone inquiries.

UNIVERSITY OF MARYLAND PUBLIC-PRIVATE PARTNERSHIP EXEMPTIONS

In 1990, the General Assembly enacted legislation allowing the University System of Maryland (USM) to grant to university faculty certain exemptions from the conflict of interest provisions of the Public Ethics Law. The exemptions were for “sponsored research and development” activities. Sponsored research and development was defined in the law as an “agreement to engage in basic or applied research or development at a public senior higher education institution, and includes transferring university-owned technology or providing services by a faculty member to entities engaged in sponsored research or development.” Faculty members were not fully exempted from all Public Ethics Law requirements, and public disclosure of the interest or secondary employment was required. The institution granting the exemption was required to maintain the exemption as a public record and to file a copy with the State Ethics Commission.

In 1996, the General Assembly enacted the Public-Private Partnership Act. This law expanded the exemptions beyond faculty to include vice-presidents and presidents of institutions as well as the chancellor and vice-chancellors of the USM. The legislation also broadened the exemption from the conflict of interest provisions to include USM officials, faculty members, and employees. The USM Board of Regents and the USM institutions adopted procedures pursuant to § 15-523 to allow the conflict of interest exemptions. The USM Board of Regents and seven of the affiliated institutions adopted policies,

and the Commission’s authority was limited to comment on the policy’s conformity to Public-Private Partnership Act. The definition of “sponsored research” was expanded to include “participation in State economic development activities.”

The records filed by the institutions with the Commission reflect a total of 225 faculty exemptions granted by the university presidents between 1996 and 2008, including exemptions at the University of Baltimore (UMB), the University of Maryland at Baltimore County (UMBC), the University of Maryland Biotechnology Institute (UMBI), the University of Maryland Center for Environmental Science (UMCES), and the University of Maryland College Park (UMCP). During calendar year 2009, USM institutions reported to the Commission an additional 28 individual faculty members exemptions. The exemptions were from the following institutions:

INSTITUTION	Number of Exemptions
University of Maryland Center for Environmental Science	2
University of Maryland College Park	16
University of Maryland Baltimore	9
University of Maryland Biotechnology Institute	1
TOTAL FACULTY EXEMPTIONS	28

In some instances, the individual faculty member had more than one interest exempted.

FINANCIAL DISCLOSURE

The financial disclosure program continued to identify individual employees and officials required to file, provide technical assistance to filers, and monitor compliance with the Law. In accordance with Public Ethics Law § 15-103, the Commission reviewed a large number of requests by various agencies to add or delete positions from the financial disclosure filing list, along with an extensive review of some outdated listings. The net result was a decrease in the number of filers from approximately 13,720 in 2008 to 13,285 in 2009.

Pursuant to Public Ethics Law §§ 15-103 and 15-209, the Commission made decisions regarding the status of newly created boards and commissions as “executive units” and forwarded them to the Department of Budget and Management for its concurrence. The Commission also considered and acted upon requests by a number of boards and commissions for exemption from the requirement to file financial disclosure statements. In recent years, the Commission has seen a substantial increase in the number of boards, commissions, task forces, and technical advisory groups created by the General Assembly.

Individuals who are public officials only as the result of their participation on boards or commissions are required to file a limited financial disclosure statement (Form #2). Legislators are required to file a more extensive disclosure statement (Form #19). The Commission staff conducts compliance reviews of financial disclosure statements and notifies filers of identifiable errors or omissions, and it pursues enforcement actions against those who fail to file. During 2009, the Commission staff reviewed more than 3,900 financial disclosure statements.

In 2005, the Commission implemented an electronic financial disclosure filing system. Each year more and more State employees, officials and board and commission members have filed their financial disclosure statements electronically. During calendar year 2009 more than 12,000 forms were filed electronically.

The electronic administrative tool permits the staff to review electronically submitted statements, compare them to previously electronically filed statements, send email notification to the filer of any omission or question raised by the statement and maintain a copy of that notification in the filer's electronic record. The emails become attached to the electronic file, and a record is therefore compiled of statements, inquiries and responses. The filer may also electronically file an amendment if required. Communication with filers, for the most part, was through email, which also saved the Commission substantial supply and postage costs. With full compliance with electronic filing, the Commission will be able to review the statements more efficiently, notify filers of problems earlier than in prior years, and be more efficient in the enforcement process. Full compliance with electronic filing will also reduce the Commission filing space requirements and provide a safer, more secure and more efficient way of collecting, reviewing, and maintaining financial disclosure records.

LOBBYIST DISCLOSURE AND REGULATION

In 2005, the Commission was able to implement an electronic process for regulated lobbyists to file required reports electronically. The Commission was also able to make the lobbyists' registrations and reports available for public review through its website. Through the Commission's website, <http://ethics.gov.state.md.us>, the public is able to search through lobbyists or employers to gain access to the information that the Commission is required to collect.

The lobbying year extends from November 1st to October 31st of the following year. During the lobbying year ending October 31, 2009, 2,737 lobbying registrations were filed with the Commission. With those registrations, 675 lobbyists registered on behalf of 1,267 employers. This represents a decrease of 52 registrations from the 2,789 filed by October 31, 2008. The following expenditure data summarizes lobbying expenditures for the last three lobbying years:

EXPENDITURES BY LOBBYISTS			
Type of Expenditure	10/31/09	10/31/08	10/31/07
		\$	\$
B-1: Meals and beverages for officials or employees or their immediate families	9,066	26,174	8,977
B-2: Special events, including parties, dinners, athletic events, entertainment, and other functions to which all members of the General Assembly, either house thereof, or any standing committee thereof were invited.	1,031,169	2,156,878	2,027,679
B-3: Food, lodging, and scheduled entertainment of officials and employees and spouses for a meeting given in return for participation in a panel or speaking engagement at the meeting	10,428	11,260	28,049
B-4: Food and beverages at approved legislative organizational meetings	2,100	1,791	4,369
B-5: Tickets or free admission to attend charitable, cultural or political events where all members of a legislative unit are invited.	1,222	1,476	4,039
B-6: Gifts to or for officials or employees or their immediate families (not included on B-1 through B-5)	3,068	19,291	20,142
SUBTOTAL OF ITEMS B 1 THROUGH B 6	1,057,053	2,216,870	2,093,255
B-7: Total compensation paid to registrant (not including sums reported in any other section)	36,754,434	36,886,323	33,813,737
B-8: Salaries, compensation and reimbursed expenses for staff of the registrant	996,064	1,673,743	1,731,315
B-9: Office expenses not reported in B-5 or B-6	670,545	923,166	767,777
B-10: Cost of professional and technical research and assistance not reported in items B-5 or B-6	391,621	403,541	469,838
B-11: Cost of publications which expressly encourage persons to communicate with officials or employees	851,893	419,376	877,720

EXPENDITURES BY LOBBYISTS			
Type of Expenditure	10/31/09	10/31/08	10/31/07
		\$	\$
B-12: Fees and expenses paid to witnesses	3,765	60,804	94,703
B-13: Other expenses	738,899	578,745	759,405
TOTAL OF ITEMS B-1 THROUGH B-13	\$41,464,274	\$43,162,568	\$40,607,750

(NOTE: At the time the Annual Report was compiled, some lobbyist expenditure information may have been subject to adjustment based on staff review.)

ENFORCEMENT ACTIVITIES

The Public Ethics Law provides that any person may file a complaint with the Commission. Complaints filed with the Commission must be signed under oath and allege a violation of the Public Ethics Law by a person subject to the law. The Commission may file a complaint on its own initiative, and, at its discretion, may proceed with preliminary inquiries of potential Public Ethics Law violations. Enforcement inquiries and reviews are conducted by the Commission's Staff Counsel, with the assistance of a part-time paralegal and a compliance officer.

The Commission enforcement procedures divide preliminary matters into two categories. All new matters are docketed as Preliminary Consideration Matters (A matters) and presented to the Commission for review to determine whether there should be any staff inquiry or follow-up. Preliminary Inquiry Matters (B matters) are the Preliminary Consideration Matters where the Commission has directed that the staff conduct an inquiry. In 2009, the Commission opened 81 A matters, including 32 conflict of interest matters, 31 lobbyist matters, 17 financial disclosure matters and 1 local government matter. The Commission entered into 22 Late Filing Agreements with lobbyists during 2009, resulting in payments of \$7,420.00 to the State of Maryland. The Commission closed 93 A matters in 2009, including 7 pending matters from 2008.

The Commission opened 15 Preliminary Inquiry Matters (B matters) in 2009. Of those matters, 14 involved conflict of interest issues and 1 involved a lobbyist matter. In 2009, the Commission also closed 19 B matters, including 1 pending matter from 2004, 5 pending matters from 2007, 2 pending matters from 2008 and 9 pending matters from 2009. The Commission issued a reprimand through a Pre-Complaint Disposition Agreement to a former employee of the Department of Business & Economic Development whose consultant work on behalf of a vendor to the State violated the post-employment provisions of the Public Ethics Law. The former employee paid \$30,700.95 to the State's general fund to return the compensation he had received from the vendor. The Commission issued a reprimand through a Pre-Complaint Disposition Agreement to a former employee of Maryland Public Television for awarding

a contract to a vendor, who in turn awarded the contract to the former employee's boyfriend in violation of the prestige provisions of the Public Ethics Law. The Commission issued reprimands through Pre-Complaint Disposition Agreements to 6 Injured Workers Insurance Fund employees who accepted sporting event tickets from a vendor to the agency in violation of the gift provisions of the Public Ethics Law. The employees also repaid the costs of the gifts to vendor. The Commission issued a reprimand through a Pre-Complaint Disposition Agreement to an employee of the Department of General Services whose participation in a matter involving his wife's employer violated the participation provisions of the Public Ethics Law. The employee also paid \$5,000.00 to the State's general fund and agreed not to participate in matters involving a qualifying relative or the qualifying relative's employer.

In calendar year 2009, the Commission issued 100 complaints: including 96 financial disclosure matters and 4 conflict of interest matters. The Commission closed 91 complaints in 2009, including 1 matter from 2002, 3 matters from 2007 and 9 matters from 2008. The Commission issued a reprimand and reduced the salary of a former employee of the Maryland School for the Deaf, now employed in the Department of Transportation, through a Stipulation of Settlement Agreement, who had hired a company owned by her brother in law and who also employed her son, in violation of the participation and prestige provisions of the Public Ethics Law. The Commission issued a reprimand and suspended the registration of a lobbyist for 3 years for failing to timely file 2 activity reports through a Stipulation of Settlement Agreement. The Commission through a Stipulation of Settlement Agreement admonished a lobbyist for entering into a fee agreement that contained contingency fee language. The lobbyist also paid a fee of \$29,070 to the State's general fund.

All enforcement payments collected through Stipulation of Settlement, Pre-Complaint Disposition Agreements or Late Filing Agreements were deposited in the State's general fund and cannot be used by the Commission. The Commission collected a total of \$72,190.95 in enforcement payments in 2009.

LOCAL GOVERNMENT ETHICS LAWS

The Public Ethic Law requires Maryland counties and cities to enact local laws similar to the State's Public Ethics Law. In addition to the requirement that counties and cities enact ethics laws, the General Assembly amended the Law in 1983 to require local school boards either to promulgate ethics regulations similar to the State Law or to be covered by county ethics laws. During 2009, the Commission's Executive Director, General Counsel and Assistant General Counsel participated in an excess of 89 phone discussions with county and local ethics officials regarding questions relating to conflicts of interests, financial disclosure and lobbying. The calls were from officials in 7 different counties, Baltimore City, and 12 municipalities. The Commission reviewed proposed draft revisions to ethics laws for the Boards of Educations for Baltimore City, Baltimore County, Washington County, Howard County and Garrett County. The Commission also reviewed proposed draft revisions to ethics laws for Havre de Grace, Takoma Park, College Park, Bowie, Poolesville and St. Michaels during 2009. The Commission reviewed and renewed the exemption status of the Town of New Market. The Commission reviewed the prior exemption status of the Town of Smithsburg and granted Smithsburg a partial exemption, requiring the Town to adopt conflict of interest and financial disclosure provisions. Smithsburg's first ethics law was approved by the State Ethics Commission in May 2009. The Commission's staff also provided advice regarding local government ethics law matters to Baltimore City, Anne Arundel, Calvert, Charles, Montgomery, Queen Anne's, Wicomico and St. Mary's Counties, as well as the Town of Smithsburg, City

of College Park, City of Takoma Park, Town of Poolesville, Town of St. Michaels, Town of Berlin, Town of Hampstead, Town of Garrett Park, City of Denton and Town of Havre de Grace during 2009.

During 2009, the Commission staff continued its review of the county ethics ordinances in terms of proposed revisions to the Commission's local government regulations and the process to determine whether a local jurisdiction's ethics provisions are "similar" or "substantially similar" to the Public Ethics Law⁴. It is anticipated that the amendments to the Commission's regulations will be completed in 2010. The Commission is also preparing to review the exemption status of all municipalities following the 2010 Census to determine whether the current exemptions granted to certain municipalities based on their size, population, government function and budget is still appropriate under the Commission's regulations and the provision of the Public Ethics Law.

The Commission also received and reviewed four reports from the Montgomery County Director of the Office of Zoning and Administrative Hearings regarding the special land use ethics disclosure reports required in certain jurisdictions (See §15-829 through §15-841). Two reports were received from the Prince George's County Clerk of the County Commission regarding the same disclosure reports. Prince George's County Clerk of the County Commission also provided additional reports from 2007 and 2008 not previously submitted to the Commission.

EDUCATIONAL AND INFORMATIONAL ACTIVITIES

The Commission staff has been active in providing formal training to State employees, lobbyists and local jurisdictions. The training has involved advising and assisting employees, officials, candidates and lobbyists on completion of forms, and providing training related to the conflict of interest provisions of the Public Ethics Law. The Commission staff has assisted local government and school board officials in drafting their ethics laws and regulations. The staff has also provided technical advice to local government ethics boards. Legislation passed in 1999 requires new financial disclosure filers to receive 2 hours of Ethics Law training (§15-205(d)). The Commission began implementation of this mandate in calendar year 2000. During calendar year 2009, the Commission staff conducted 34 training sessions for State employees at various locations throughout the State. The Commission provided training to a total of 1478 employees and public officials.

Additionally, the Commission staff has provided training to agency leadership and to various boards and commissions that support agency work. The Commission staff has received very positive response to the training, which consists of a PowerPoint presentation, interactive lecture, and supplemental documents that provide resource material. Although the training commitments have placed a significant burden on the Commission's staff, as each training session requires that at least one, and many times two, of the professional staff make the presentations, which causes a shortage of professional staff available in the office to respond to telephone and "other" inquiries in the office, the benefits of the training outweigh the inconvenience to staff. Expanded training programs have resulted in a significant increase in the number of telephone and email requests for guidance from employees who have attended the sessions. The

⁴ See Advisory Opinion No. 06-01.

Commission views this increase positively, as it indicates that trainees have acquired a better awareness of situations that may present ethics concerns.

In accordance with § 15-205(e) of the Public Ethics Law, which mandates the Ethics Commission to provide a training course for regulated lobbyists and prospective regulated lobbyists at least twice each year, the Commission staff provided training to 88 lobbyists during calendar year 2009. A total of 5 training sessions were held on 3 different days during the year.

In June, 2008, the Commission, through a competitive procurement, sought the services of a contractor/consultant to assist in the development of online training packages for State employees and members of boards and commissions as well as for lobbyist and prospective lobbyists. It is anticipated that the course will be placed online in 2010. There should be cost-savings to the State by placing the training online, particularly the training for State employees who would be able to be trained at, their office desk rather than traveling to a training location.

The Ethics Commission maintains a presence on the Internet. The Commission's home page allows users to access the Commission's Annual Report, special explanatory memoranda, and other information. The Commission's electronic filing for lobbyists and financial disclosure filers may be accessed from the website. Also all Commission forms may be downloaded from the home page.

2009 LEGISLATION REPORT

The State Ethics Commission did not propose any departmental legislation for the 2009 Session of the General Assembly. A total of seven bills were proposed by legislators that impacted on provisions of the Public Ethics Law⁵. Only one of these bills was enacted by the General Assembly. House Bill 1369 expanded the Howard County Ethics Zoning law to include applications for zoning regulation amendments and amended Section 15-848 of the Public Ethics Law. The bill only impacted Howard County zoning actions.

LEGISLATIVE RECOMMENDATIONS

PROPOSED CHANGES TO FINANCIAL DISCLOSURE (SUBTITLE 6) PROVISIONS

During the coming calendar year, the Commission will continue to focus its attention on several of the financial disclosure provisions in subtitle 6 of the Public Ethics Law. Now that the State Ethics Commission has had 30 years of experience, it has had the opportunity to review the reporting

⁵ See HB 475, HB 858, HB 904, HB 1369, HB 1550, SB 641 and SB 695.

requirements and recognize those areas, which appear to be the root of most conflicts, and those areas, which, since the Commission's inception, have not caused any discernable problems.

The Commission is considering the following for Departmental legislation in 2011:

- In the 1999 Session of the General Assembly, the Harford County Liquor Board and its employees were placed under the authority of the State Ethics Commission. However, the employees of the Board, regardless of salary or duties, were excluded from financial disclosure requirements. This general exclusion should be withdrawn to make the disclosure requirements for these employees the same as other employees subject to the State Ethics Law.
- Consideration should be given to eliminating the need for reporting of investment in any mutual fund publicly traded on a national scale. The basis for the request is that the employee has no control over the trading of the individual holdings of the mutual fund, and, therefore, it is improbable that an employee could effectuate any change in value of the mutual fund by his or her official acts as a State employee.
- Judicial candidates should be required to file financial disclosure in each year of their candidacy in the same way as other candidates for State office.

PROPOSED CHANGES TO CONFLICT OF INTEREST (SUBTITLE 5) PROVISIONS

The Commission has also reviewed Subtitle 5, Conflicts of Interest and suggests Legislative consideration of the following issues:

- Specific provisions should address membership by public officials on boards or directors of private corporations having sensitive business or regulatory involvement with the State.
- The post-employment provisions (§ 15-504) should be revised to address more specifically the problems that are common to higher-level management positions.
- Like legislators, legislative staff should be prohibited from lobbying for one legislative session after leaving their State employment.
- The law prohibiting misuse of confidential information should be extended to cover former officials and employees as to confidential information acquired during their State service.
- The Commission's authority to assess fines (up to \$5,000 per offense) should be extended to conflict of interest and failure to file financial disclosure complaints.

PROPOSED CHANGES TO LOBBYING (SUBTITLE 7) PROVISIONS

The Commission also supports and would seek an amendment to the lobbying provisions of the Public Ethics Law (subtitle 7) with regard to two of the reporting requirements in the HB2 legislation of 2001:

- §15-708 should be revised in order to more correctly reflect lobbyist spending for legislative meals and receptions. As the requirement reads now, the process is cumbersome and may inadvertently inflate the actual amount spent on lobbying legislators. The provision causes significant confusion as to what costs should be reported and how the costs should be reported.
- §15-705 currently provides that regulated lobbyists must file a separate report disclosing the name of any State official of the Executive Branch or member of the immediate family of a State official of the Executive Branch who has benefited during the reporting period from gifts of meals or beverages from the regulated lobbyist, whether or not in connection with lobbying activities. The lobbyist must file this report accounting from Dollar One spent on a meal or beverage for an official of the Executive Branch or a member of the official's immediate family. This reporting requirement is difficult to administer and is not in keeping with other gift reporting requirements, which general require such a report only when the amount spent is \$20 or greater or \$100 cumulatively from one donor. This provision should be revised to require a report only when the amount spent is \$20 or greater or \$100 cumulatively from one donor.

PROPOSED CHANGE TO ENFORCEMENT SUBTITLE 4) PROVISIONS

The Commission and staff continually review the Public Ethics Law in order to determine if the administration and enforcement are consistent with the intent of the law and the mission of the Commission.

- The Commission proposes that the Legislature enable it to assess civil penalties in conflict of interest and other violations by State employees and public officials. The Commission may currently request a court of competent jurisdiction to assess fines of \$5,000 per violation, and it seeks the authority, on its own, to assess civil penalties in the amount of \$5,000 per violation. Having this authority would provide a formal alternative to expensive and extended court proceedings. This would give the Commission equal authority in setting sanctions on conflict of interest issues as it presently has with regard to lobbying violations. The Commission currently has the authority to assess civil penalties up to \$5,000 for lobbying violations. All penalties assessed by the court or by the Commission are sent to the General Fund.

PROPOSED CHANGES TO LOCAL JURISDICTIONS (SUBTITLE 8) PROVISIONS

Subtitle 8 of the Public Ethics Law, which address local jurisdictions and boards of education, is also a priority. The Commission is looking at the following issues:

- The provisions covering school board ethics regulations need strengthening to assure that there are adequate sanctions for violations by board members, candidates for board membership and lobbyists.
- Subsequent to the issuance of *Seipp v. Baltimore City Board of Elections, et al*, 377 Md. 362, 833 A.2d 551 (2003), which interpreted sections of subtitle 8 of the Public Ethics Law and determined the degree to which local jurisdiction ethics ordinances must be similar to the Public Ethics Law, the Commission seeks to replace the language requiring that the local ordinance language be similar or substantially similar to the Public Ethics Law with language requiring that the Commission's review of local ordinances be in accordance to law.

APPENDIX A

EMPLOYERS SPENDING \$50,000.00 OR MORE - ALL REGISTRANTS ALL TYPES OF EXPENSES

November 1, 2008- October 31, 2009

	TOTAL AMOUNT	EMPLOYER
1	\$680,849.50	Constellation Energy Group
2	\$660,000.00	Maryland Jockey Club, The
3	\$404,701.85	Maryland Association of Realtors, Inc.
4	\$397,399.92	Maryland Hospital Association
5	\$391,223.00	Mirant Mid-Atlantic, LLC
6	\$381,771.97	Verizon Maryland Inc.
7	\$357,003.00	Pepco Holdings, Inc.
8	\$355,971.13	Maryland Bankers Association
9	\$344,113.32	AMERIGROUP Maryland, Inc.
10	\$342,683.00	Johns Hopkins Institutions
11	\$336,301.19	MedChi, The Maryland State Medical Society
12	\$327,661.39	CareFirst BlueCross BlueShield
13	\$312,058.96	Maryland Retailers Association
14	\$300,209.18	Maryland State Teachers Association
15	\$281,237.36	EDF
16	\$257,476.03	Adventist HealthCare, Inc
17	\$245,320.12	Marylanders for Restorative Justice, Inc.
18	\$239,660.60	United Healthcare Services, Inc.
19	\$235,632.88	Exelon Corporation
20	\$229,586.51	Ocean Downs Racetrack/Allegany Racing Assn.
21	\$227,541.70	Medical Mutual Liability Insurance Society of Maryland
22	\$225,875.12	Aetna, Inc.
23	\$215,185.45	MedStar Health
24	\$212,212.67	EPIC Pharmacies
25	\$210,804.00	Maryland Independent College and University Association
26	\$210,638.07	Retail Energy Supply Association

27	\$196,906.78	Maryland Association for Justice, formerly the Maryland Trial Lawyers Association
28	\$194,000.00	Comcast Cable Communications
29	\$186,275.11	MacFarlane Chang DC, LLC d/b/a DC United
30	\$179,919.00	Ports America, Inc.
31	\$179,521.00	Norfolk Southern Corporation
32	\$175,000.00	Prince George's County Public Schools
33	\$173,768.22	State Farm Insurance Companies
34	\$172,056.71	Health Facilities Association of Maryland (HFAM)
35	\$170,900.00	Northrop Grumman Corporation
36	\$163,809.00	AFSCME Maryland
37	\$162,656.33	Maryland Chamber of Commerce
38	\$161,817.00	Maryland State Bar Association, Inc.
39	\$159,996.00	Johnson Controls, Inc.
40	\$159,167.05	ACS State and Local Solutions
41	\$158,580.04	Maryland Automobile Dealers Association
42	\$157,889.45	International Business Machines Corporation ("IBM")
43	\$155,791.41	CASA De Maryland
44	\$154,054.17	Philip Morris USA, Inc. by its service company Altria Client Services Inc. and its Affiliates
45	\$152,820.42	League of Life and Health Insurers of MD
46	\$150,000.00	Dimensions Health Corporation
47	\$149,151.69	National Children's Museum
48	\$146,083.52	API
49	\$137,608.61	Maryland Farm Bureau, Inc.
50	\$137,150.21	Motorola, Inc.
51	\$136,651.00	United Way of Central Maryland
52	\$135,599.42	Lifebridge Health
53	\$135,058.07	Allegheny Energy
54	\$130,200.00	Direct Energy
55	\$129,239.00	Tech Council of Maryland/MdBio
56	\$127,460.00	Association of Maryland Pilots
57	\$127,106.75	Atlantic Recycling Group, LLC

58	\$125,745.45	Maryland Catholic Conference
59	\$122,255.88	Scientific Games International
60	\$121,982.92	Schaller Anderson of Maryland, LLC
61	\$121,391.00	ESP, Inc.
62	\$120,000.00	Citizens for Fire Safety Institute
63	\$114,000.00	CSX Corporation
64	\$113,887.80	Children's National Medical Center
65	\$109,380.87	Maryland Association of Boards of Education
66	\$108,536.94	Accenture LLP
67	\$107,825.49	Maryland Tort Reform Coalition
68	\$106,000.00	Pharmaceutical Research & Manufacturers of America
69	\$105,308.15	Licensed Beverage Distributors of Maryland, Inc.
70	\$105,185.00	Air Methods Corporation
71	\$104,985.00	Maryland State Builders Association
72	\$103,953.83	Mid-Atlantic LifeSpan
73	\$102,386.12	Chesapeake Bay Foundation
74	\$102,087.80	St. Agnes Health Care
75	\$100,920.98	Wal-Mart Stores, Inc.
76	\$100,000.00	Faison
77	\$99,898.75	Apartment and Office Building Association
78	\$98,000.00	Reynolds American, Inc.
79	\$97,554.43	Maryland State Dental Association
80	\$96,946.00	Dominion Resources Services, Inc.
81	\$95,880.00	Maryland Chapter of National Association of Industrial & Office Properties
82	\$94,850.00	ES&S Connect
83	\$94,341.51	Maryland Thoroughbred Horsemen's Assoc.
84	\$93,740.53	Bank of America
85	\$92,510.79	Property Casualty Insurers Association of America
86	\$92,446.91	Cloverleaf Enterprises, Inc.
87	\$90,850.00	IGT
88	\$90,000.00	ARINC, Inc.

89	\$90,000.00	Chesapeake Urology Associates, P.A.
90	\$90,000.00	Owens Illinois, Inc.
91	\$90,000.00	Savantage Solutions
92	\$90,000.00	Sleep Solutions
93	\$88,029.20	Presbyterian Home of Maryland, LLC
94	\$87,201.89	Spielo Manufacturing, Inc.
95	\$87,000.00	Law Office of Peter G. Angelos
96	\$86,733.25	Allstate Insurance Company
97	\$86,624.14	Lockheed Martin Corporation
98	\$86,376.20	General Motors Corporation
99	\$85,743.07	Bluewater Wind Maryland, LLC
100	\$85,249.00	Veolia Transportation
101	\$84,876.35	Maryland Radiological Society
102	\$84,785.00	National Federation of Independent Business
103	\$84,239.52	FedEx Corporation
104	\$84,003.70	Washington Gas
105	\$84,000.00	Lorillard Tobacco Company
106	\$82,574.40	1199 SEIU
107	\$82,536.00	Restaurant Association of Maryland, Inc.
108	\$82,532.50	ABC - Associated Builders & Contractors
109	\$82,500.00	Manufacturers' Alliance of Maryland
110	\$80,820.95	UST Public Affairs, Inc.
111	\$80,489.96	National Aquarium in Baltimore, Inc.
112	\$80,412.00	Agusta Aerospace Corporation
113	\$80,000.00	Alcoa Eastalco Works
114	\$80,000.00	Maryland Insurance Council, The
115	\$80,000.00	Multistate Associates, Inc./on behalf of Cricket Communication
116	\$80,000.00	Novartis Pharmaceutical Corporation
117	\$80,000.00	Ricker Brothers Realty Company
118	\$79,787.40	Equality Maryland, Inc.
119	\$78,749.35	ADP TotalSource

120	\$78,704.67	Rite Aid Corporation
121	\$78,100.00	Sempra Energy Global Enterprises
122	\$78,000.00	UFCW Local 27
123	\$77,893.77	Exelon Generation. LLC
124	\$77,547.82	American Cancer Society
125	\$77,500.00	Penn National Gaming, Inc.
126	\$77,000.00	Maryland Classified Employees Association
127	\$76,800.00	Agency Insurance Company of Maryland
128	\$75,665.20	MD & DC Credit Union Association
129	\$75,200.00	Holy Cross Hospital
130	\$74,328.00	Prince George's County Association of Realtors
131	\$73,988.00	Washington Area NEW Automobile Dealers Association (WANADA)
132	\$73,625.00	AFT Maryland
133	\$73,512.01	Greater Capital Area Association of Realtors
134	\$73,250.00	Doctor's Community Hospital
135	\$73,000.00	Maryland Association of Mortgage Brokers
136	\$72,841.90	Children's National Medical Center
137	\$72,786.57	Glaxo Smith Kline
138	\$72,596.13	Jewish Community Relations Council of Greater Washington
139	\$71,848.00	Apollo Group/University of Phoenix
140	\$71,622.00	Maryland/D.C./Delaware Broadcasters
141	\$70,674.52	Daily Record, The
142	\$70,531.25	Kaiser Permanente Insurance Company
143	\$70,188.00	AES Warrior Run
144	\$70,000.00	E.I. DuPont de Nemours & Co.
145	\$69,875.83	Common Cause
146	\$69,100.00	Microsoft Corporation
147	\$68,493.95	Stop Lead Paint Poisoning.Com
148	\$68,301.64	MidAmerican Energy Holdings Co.
149	\$67,779.44	The Chimes
150	\$67,166.00	Maryland Zoo in Baltimore, The

151	\$67,000.00	Maryland Manufactured Housing Coalition
152	\$66,721.45	Maryland Citizens Health Initiative Education Fund, Inc.
153	\$66,503.08	Montgomery County Chamber of Commerce
154	\$66,325.75	ACLU of Maryland (American Civil Liberties Union)
155	\$66,173.68	Maryland Committee for Children
156	\$66,147.45	Sherwin-Williams Company, The
157	\$66,123.00	Medical Transportation Management, Inc.
158	\$66,120.00	Wheelabrator Technologies, Inc.
159	\$66,008.30	Enterprise RAC of Baltimore, LLC
160	\$66,008.30	Maryland Wineries Association
161	\$66,000.00	Prince George's County Council
162	\$65,668.83	Diamond Game Enterprises
163	\$65,500.00	Hanger Orthopedic Group, Inc.
164	\$65,302.88	Technology Exclusive, Inc.
165	\$65,000.00	Mid-Atlantic Petroleum Distributors Association
166	\$64,670.39	HMS Host Corporation
167	\$64,500.00	Competitive Power Ventures, Inc.
168	\$64,281.96	Discovery Communications, Inc.
169	\$64,085.00	MD/DE/DC Beverage Assn.
170	\$63,667.85	American Infrastructure
171	\$63,634.07	T-Mobile USA, Inc.
172	\$63,406.00	MaryPIRG Citizen Lobby
173	\$63,383.60	Exxon Mobil Corporation
174	\$63,000.00	Maryland Industrial Technology Alliance
175	\$62,745.00	Concentra Medical Centers
176	\$62,498.00	American Insurance Association
177	\$62,326.66	Personal Home Care Agency
178	\$62,000.00	Maryland Automobile Insurance Fund
179	\$61,222.00	Feld Entertainment, Inc.
180	\$61,175.60	Maryland State Licensed Beverage Association
181	\$61,140.00	VALIC

182	\$61,132.50	Sprint Nextel
183	\$61,108.49	L-1 Secure Credentialing, Inc.
184	\$60,600.00	Manufacturers and Traders Trust Company As Trustee for Bondholders of the Rocky Gap Resort
185	\$60,200.00	CVS/Caremark
186	\$60,200.00	Toy Industry Association, Inc.
187	\$60,154.84	Premier Election Solutions
188	\$60,000.00	Kool Smiles
189	\$60,000.00	Marylanders for Public Research Universities, Inc.
190	\$60,000.00	Minor Ventures, LLC
191	\$60,000.00	Rosecroft Raceway
192	\$60,000.00	Taser International, Inc.
193	\$60,000.00	ValueOptions
194	\$59,991.62	Maryland Optometric Association
195	\$59,484.00	Abbott Laboratories
196	\$58,999.00	Policy Studies, Inc.
197	\$58,822.19	AAA Mid-Atlantic, Inc.
198	\$58,763.77	AES Sparrows Point LNG, LLC
199	\$58,343.92	Nationwide Insurance Company
200	\$58,275.00	United Association of Journeymen and Apprentices of the Plumbing and Pipe Fitting Industry of the United States and Canada, AFL_CIO, The
201	\$58,259.40	Greater Baltimore Committee
202	\$57,783.89	Coventry Health Care, Inc
203	\$56,612.17	Maryland Association of Certified Public Accountants
204	\$56,400.00	Multi-State Associates Inc on behalf of the Carroll County Cancer Center
205	\$56,090.00	Oracle USA Inc.
206	\$55,457.00	Progressive Maryland
207	\$55,224.11	Rite of Passage, Inc.
208	\$55,200.00	Shuffle Master, Inc.
209	\$55,100.00	American Chemistry Council
210	\$55,000.00	DaVita, Inc.
211	\$54,603.12	Maryland Works, Inc.

212	\$54,354.33	Maryland Association of Community Services for Persons with Developmental Disabilities, Inc.
213	\$54,202.50	Verizon Business Network Services Inc. on behalf of MCI Communications Services Inc. d/b/a Verizon Business Services
214	\$54,122.20	Jai Medical Systems
215	\$53,946.87	Maryland Association of Nurse Anesthetists
216	\$53,743.38	Maryland Horse Breeders Association
217	\$53,623.08	HLR Service Corporation
218	\$53,450.74	RRI Energy, Inc.
219	\$53,089.12	Maryland Patient Care and Access Coalition
220	\$53,000.00	Cigar Association of America, Inc.
221	\$53,000.00	Procter & Gamble Distributing Company
222	\$52,003.48	Medco Health Solutions
223	\$52,000.00	Child Victims Voices
224	\$51,804.66	Cellco Partnership, A Delaware Limited Partnership
225	\$51,802.44	Columbia Gas of Maryland
226	\$51,776.81	Baltimore Jewish Council AND The Associated Jewish Community Federation of Baltimore
227	\$51,184.00	Kaiser Foundation Health Plan of the Mid-Atlantic States, Inc.
228	\$51,000.00	Mark Vogel Company
229	\$50,869.00	Genesis Health Care Corporation
230	\$50,553.33	Evangelical Lutheran Church in America/ Delaware-Maryland Synod
231	\$50,373.68	Maryland-National Capital Building Industry Association
232	\$50,332.00	Aetna Inc
233	\$50,200.00	Kaplan Higher Education
234	\$50,000.00	AT&T
235	\$50,000.00	Barbour Group, The
236	\$50,000.00	Giant Food, Inc.
237	\$50,000.00	Parklawn Associates, Inc.

APPENDIX B

LOBBYISTS RECEIVING \$50,000.00 OR MORE IN COMPENSATION ONE OR MORE EMPLOYERS

November 1, 2008- October 31, 2009

	TOTAL AMOUNT	LOBBYIST
1	\$1,287,174.00	Rozner, Joel D
2	\$1,146,963.73	Alexander, Gary R.
3	\$1,126,002.00	Johansen, Michael V
4	\$1,105,719.00	Proctor, Jr., Gregory S.
5	\$982,762.00	Stierhoff, John R.
6	\$944,414.00	Enten, D. Robert
7	\$842,194.00	Perry, Timothy A
8	\$825,900.00	Manis, Nicholas G
9	\$797,500.00	Evans, Gerard E
10	\$759,776.83	Shaivitz, Robin F
11	\$697,000.00	Harris Jones, Lisa
12	\$631,355.80	Popham, Bryson F
13	\$609,650.00	Pitcher, J. William
14	\$604,670.00	Rasmussen, Dennis F
15	\$507,400.00	Bereano, Bruce C
16	\$460,640.00	Rifkin, Alan M.
17	\$454,400.00	Kress, William Allen
18	\$425,491.00	Levitan, Laurence
19	\$409,010.00	Boston, III, Frank D
20	\$405,536.73	Tiburzi, Paul A
21	\$395,591.67	Miedusiewski, American Joe
22	\$392,535.00	Hoffman, Barbara A.
23	\$372,600.96	Lucchi, Leonard L.
24	\$360,000.00	Aery, Shaila R
25	\$357,617.17	Kasemeyer, Pamela M
26	\$356,123.70	Taylor, Jr., Casper R.
27	\$343,000.00	Lanier, Ivan V
28	\$333,389.53	Doherty, Jr., Daniel T
29	\$314,866.00	Bryant, Eric L

30	\$289,323.29	Wise, J. Steven
31	\$287,804.00	Harting, Marta D
32	\$283,500.00	White, Josh
33	\$277,046.38	Battle, J. Kenneth
34	\$271,893.24	Schwartz, III, Joseph A.
35	\$271,032.06	Powers, Hannah J.
36	\$267,970.50	Brocato, Barbara M
37	\$256,583.34	Bagwell, Ashlie
38	\$255,912.83	Johnson, Robert G.
39	\$254,012.50	Carroll, Jr., David H.
40	\$254,012.50	Sidh, Sushant
41	\$241,898.00	Roddy, Patrick H.
42	\$238,500.00	Burner, Gene L.
43	\$234,604.00	McCoy, Dennis C
44	\$234,000.00	Mitchell, Van T
45	\$233,026.30	Powell, Michael C
46	\$230,000.00	DiPietro, Christopher V.
47	\$228,658.00	Andryszak, John A.
48	\$223,493.54	Collins, Carville B
49	\$221,835.69	Bellamy, Lorenzo M.
50	\$217,500.00	Genn, Gil
51	\$210,190.00	Valentino-Benitez, Ellen
52	\$208,228.34	Jones, Gary R
53	\$201,950.00	Canning, Michael F
54	\$193,400.00	Loughran, Kathleen G
55	\$191,493.65	Wilkins, Barbara J.
56	\$188,480.00	Gally, Eric
57	\$182,000.00	Hill, Denise O.
58	\$181,000.00	Burns, Kimberly M
59	\$170,000.00	Looney, Sean M
60	\$166,000.00	Cooper, Linda

61	\$164,250.00	Carter, W. Minor
62	\$160,500.00	Miles, William R
63	\$160,285.42	Rivkin, Deborah R
64	\$155,500.00	Opara, Clay C
65	\$155,000.00	Jacobs, David
66	\$150,000.00	Almalel, Suzanne C.
67	\$138,000.00	Gisriel, Michael U
68	\$133,568.04	Murphy, Kathleen M.
69	\$121,760.00	Goldstein, Franklin
70	\$121,450.00	Elliott, Robyn S
71	\$120,814.00	Montgomery III, Richard A.
72	\$120,000.00	Riddick, Major F.
73	\$116,025.00	Schrader, Sandra
74	\$113,300.00	Puente, Kenneth Mark
75	\$111,879.60	Wood, Paul G.
76	\$105,492.00	Maloney, Kathleen M.
77	\$105,247.00	Waranch, Nan A
78	\$101,265.00	Waites, Gerard M.
79	\$100,768.00	Lininger, Brett Stewart
80	\$100,512.50	Worcester, Julia P
81	\$100,500.00	Manis, George N
82	\$100,451.00	Davis III, James B.
83	\$100,350.00	Robinson, Kimberly Y
84	\$97,648.00	Lewis, Thomas
85	\$96,441.00	Ciekot, Ann T.
86	\$95,901.13	Hoover, Lesa N
87	\$91,484.00	Quinn, Brian M
88	\$91,200.00	Muir, Charles S
89	\$90,000.00	Rohling, Martin Guy
90	\$89,800.00	Rasmussen, Jennifer D
91	\$89,243.15	Neil, John B.

92	\$88,807.00	Esty, Susan
93	\$88,521.00	Casey, William F.
94	\$88,335.00	Valderrama, David M
95	\$87,500.00	Marks, Isaac H
96	\$87,000.00	Woolums, John R
97	\$85,284.00	Cobbs, Drew P.
98	\$84,650.00	O'Keefe, Kevin
99	\$83,375.00	Saquella, Thomas S.
100	\$82,614.96	Zellmer, Jeffrie
101	\$82,300.00	Malone, Sean R
102	\$80,197.33	Douglas, Michele
103	\$80,000.00	Frye, Neely T.
104	\$78,722.66	Castelli, William A.
105	\$78,000.00	Dunphy, David D.
106	\$75,000.00	Jepson, Robert
107	\$73,900.00	Appel, Erin
108	\$72,429.16	Doyle, III, James J
109	\$69,899.00	Bjarekull, Tina M.
110	\$67,326.02	Weisel, Meredith R.
111	\$67,000.00	Rehrmann, Eileen
112	\$65,000.00	Horrigan, F. Peter
113	\$64,313.05	Wineholt, Ronald W.
114	\$63,000.00	Matricciani, Denise M.
115	\$60,472.76	Feinroth, Mark
116	\$60,061.32	Saquella, Diana K.
117	\$60,002.00	Wyatt, Joseph R.
118	\$60,000.00	Kelly, Clare Marie
119	\$60,000.00	Nathanson, Martha D.
120	\$59,408.02	Groves, Jason L
121	\$59,300.00	Neily Mutch, Alice J
122	\$59,144.00	Rankin, Sr., Robert L

123	\$58,521.00	Schreiber, Bret Allan
124	\$58,387.00	Connelly, Valerie T.
125	\$58,123.00	Allen, Misty Marie
126	\$56,719.00	Higdon, Sheila F.
127	\$55,000.00	Kauffman, Danna L
128	\$55,000.00	O'Donnell, Ryan
129	\$54,250.00	Arrington, Michael
130	\$54,160.05	Richardson, Jr., Lawrence Anthony
131	\$52,302.00	Corwin, Marjorie A.
132	\$52,152.66	Mitchell, Susan N
133	\$51,983.00	Yewell, Therese
134	\$51,000.00	Kitzmiller, John P.
135	\$51,000.00	Thompson, Melvin R.
136	\$50,761.37	Lehman, Miriam
137	\$50,426.86	Mickens, Randal L.
138	\$50,400.00	Sheehan, Lorraine M.
139	\$50,002.86	Matricciani, Cheryl F
140	\$50,000.00	Boschert , David G
141	\$50,000.00	Jones, Tim T
142	\$50,000.00	Robbins, Jr., Earl H
143	\$50,000.00	Scher, Barry F

APPENDIX C

EXPENDITURES ON SPECIAL EVENTS

November 1, 2008- October 31, 2009

Group Invited	Number of Times Invited	Total
Anne Arundel County Delegation	4	\$4,309.37
Baltimore City Delegation	8	\$9,581.25
Baltimore County Delegation	3	\$5,156.51
Carroll County Delegation	1	\$3,172.10
Cecil County Delegation	0	\$0.00
General Assembly	107	\$690,135.48
Harford County Delegation	1	\$520.00
House Appropriations Committee	10	\$18,718.92
House Economic Matters Committee	16	\$28,874.34
House Environmental Matters Committee	6	\$8,464.16
House Health and Governmental Operations Committee	18	\$36,071.84
House Judiciary Committee	8	\$17,172.50
House of Delegates	3	\$15,896.53
House Rules and Executive Nominations Committee	0	\$0.00
House Ways and Means Committee	9	\$18,447.54
Howard County Delegation	3	\$2,573.87
Lower Eastern Shore Delegation	3	\$3,599.70
Montgomery County Delegation	11	\$47,057.42
Prince George 's County Delegation	10	\$31,948.41
Senate	2	\$11,791.95
Senate Budget and Taxation Committee	16	\$24,043.83
Senate Education Health and Environmental Affairs Committee	15	\$15,320.58
Senate Executive Nominations Committee	0	\$0.00
Senate Finance Committee	24	\$31,065.06
Senate Judicial Proceedings Committee	7	\$9,904.25
Southern Maryland Delegation	3	\$8,463.91
Upper Eastern Shore Delegation	3	\$3,599.70
Western Maryland Delegation	5	\$9,896.08

TOTAL: \$1,055,785.32

(NOTE: Where more than one committee was invited to the same event for the purposes of this report, there may be a proportionate allocation.)

APPENDIX D

LOBBYING FIRMS REPORTING COMPENSATION OF \$1,000,000.00 OR MORE

November 1, 2008 - October 31, 2009

	Name of Firm	Amount of Compensation Reported
1	Rifkin, Livingston, Levitan & Silver, LLC	\$4,148,321.00
2	Alexander & Cleaver, P.A.	\$3,309,011.77
3	Gordon, Feinblatt, Rothman, Hoffberger & Hollander, LLC.	\$2,099,936.30
4	Manis Canning & Associates	\$1,362,350.00
5	Venable, LLP	\$1,270,566.00
6	G.S. Proctor & Associates, Inc.	\$1,143,164.00

APPENDIX E

STATE ETHICS COMMISSION MEMBERS – 1979 TO PRESENT

* Herbert J. Belgrad	1979 to 1986
William B. Calvert	1979 to 1980
Jervis S. Finney	1979 to 1983
Reverend John Wesley Holland	1979 to 1987
* Barbara M. Steckel	1979 to 1990
Betty B. Nelson	1981 to 1988
* Thomas D. Washburne	1984 to 1986
* M. Peter Moser	1987 to 1989
* William J. Evans	1987 to 1993
Reverend C. Anthony Muse	1988 to 1990
Robert C. Rice, PhD	1989 to 1993
* Mark C. Medairy, Jr.	1990 to 1999
Mary M. Thompson	1990 to 1994
Shirley P. Hill	1992 to 1994
* Michael L. May	1993 to 2003
Robert J. Romadka	1994 to 1997
April E. Sepulveda	1994 to 2003
* Charles O. Monk, II	1995 to 2003
* Dorothy R. Fait	1999 to 2005
D. Bruce Poole	2000 to 2004
* Julian L. Lapidés	2002 to present
Ava S. Feiner, Ph.D.	2003 to 2005
* Robert F. Scholz	2003 to present
Daryl D. Jones	2005 to 2006
Janet E. McHugh	2005 to present
Paul M. Vettori	2006 to present
H. Richard Duden, III	2006 to 2008
Jacob Yosef Miliman	2008 to present

**Person served as Chairman during some part
of their term on the Commission.*