

11-506.

(a) Except as provided in § 11-510.1 of this subtitle, every person filing a registration statement shall pay a filing fee of 0.1 percent of the maximum aggregate offering price at which the registered securities are to be offered in this State, but the fee may not be in any case less than ~~[\$100]~~ \$500 or more than ~~[\$500]~~ \$1,500.

11-510.1.

(a) A face-amount certificate company, an open-end management company, or a unit investment trust, as those terms are defined in the Investment Company Act of 1940, may register an indefinite amount of securities under a registration statement.

(b) The registrant, at the time of filing, shall pay a fee of ~~[\$100]~~ \$500 and within 6 months after the registrant's fiscal year during which its registration statement is effective either:

(1) Pay a fee of ~~[\$650]~~ \$1,300; or

(2) File a report on a form the Commissioner by rule adopts, reporting all sales of securities to persons within this State during the fiscal year, and pay a fee of 0.1 percent of the maximum aggregate offering price at which the registered securities are sold in this State, which fee may not be in any case less than ~~[\$100]~~ \$500 or more than ~~[\$400]~~ \$1,000.

11-701.1.

(b) Whenever the Commissioner determines after notice and a hearing (unless the right to notice and a hearing is waived) that a person has engaged in any act or practice constituting a violation of any provision of this title or any rule or order under this title, the Commissioner may in his discretion and in addition to taking any other action authorized under this title:

(1) Issue a final cease and desist order against such person;

(2) Censure such person if such person is registered under this title;

(3) Bar such person from engaging in the securities business or investment advisory business in this State;

(4) Issue a penalty order against such person imposing a civil penalty up to the maximum amount of ~~[\$2,500]~~ \$5,000 for any single violation of this title; or

(5) Take any combination of the actions specified in this subsection.

11-702.

(b) Whenever it appears to the Commissioner that any person has engaged in any act or practice constituting a violation of any provision of this title or any rule or order under this title, the Commissioner may in his discretion bring an action to obtain one or more of the following remedies:

(1) A temporary restraining order;