

(h) Any condition, stipulation, or provision binding any person acquiring any security OR ASSET or receiving any investment advice to waive compliance with any provision of this title or any rule or order under this title is void.

11-801.

(a) Sections 11-301, 11-302, 11-303, 11-304, 11-401, 11-501, and 11-703 of this title apply to any person who [sells]:

(1) SELLS or offers to sell if:

[(1)](I) The offer to sell is made in this State; or

[(2)](II) The offer to buy is made and accepted in this State; OR

(2) OFFERS OR PROVIDES INVESTMENT ADVISORY SERVICES
IF:

(I) THE CONTRACT FOR THE INVESTMENT ADVISORY
SERVICES IS EXECUTED IN THIS STATE;

(II) THE INVESTMENT ADVISORY SERVICES ARE
RENDERED IN THIS STATE; OR

(III) ANY ACTION INSTRUMENTAL IN EFFECTING
PROHIBITED CONDUCT IS TAKEN IN THIS STATE, WHETHER OR NOT
EITHER PARTY IS THEN PRESENT IN THIS STATE.

(b) Sections 11-301, 11-302, 11-303, 11-304, 11-401, and 11-703 of this title apply to any person who [buys]:

(1) BUYS or offers to buy if:

[(1)](I) The offer to buy is made in this State; or

[(2)](II) The offer to sell is made and accepted in this State; OR

(2) OFFERS OR PROVIDES INVESTMENT ADVISORY SERVICES
IF:

(I) THE CONTRACT FOR THE INVESTMENT ADVISORY
SERVICES IS EXECUTED IN THIS STATE;

(II) THE INVESTMENT ADVISORY SERVICES ARE
RENDERED IN THIS STATE; OR

(III) ANY ACTION INSTRUMENTAL IN EFFECTING
PROHIBITED CONDUCT IS TAKEN IN THIS STATE, WHETHER OR NOT
EITHER PARTY IS THEN PRESENT IN THIS STATE.

(e) An offer to sell or to buy, OR TO PROVIDE INVESTMENT ADVISORY
SERVICES, is not made in this State if: