

11-504.

(b) A registration statement under this section shall contain the following information and be accompanied by the following documents, in addition to the information specified in § 11-507 (a) of this subtitle and the consent to service of process required by § 11-802 (a) of this title:

(12) [Three] TWO copies of the prospectus required by subsection (d) of this section, together with a copy of any other prospectus, pamphlet, circular, form letter, advertisement, or other sales literature intended as of the effective date to be used in connection with the offering;

11-505.

(A) A registration statement may be filed by the issuer, any other person on whose behalf the offering is to be made, or a registered broker-dealer.

(B) A REGISTRATION STATEMENT MAY BE FILED IN THE MANNER AND FORM DESIGNATED BY RULE OR ORDER OF THE COMMISSIONER, INCLUDING FILING BY ELECTRONIC TRANSMISSION.

11-508.

(a) A registration statement remains effective for 1 year after its effective date unless the Commissioner by rule or order extends the period of effectiveness. A registration statement is not effective while a stop order is in effect under §§ 11-511 through 11-513 of this subtitle.

(b) A registration statement may be withdrawn only in the discretion of the Commissioner.

(C) A REGISTRATION STATEMENT THAT HAS NOT BEEN MADE EFFECTIVE WITHIN 1 YEAR FROM THE DATE OF INITIAL FILING MAY BE DEEMED ABANDONED BY THE COMMISSIONER.

11-702.

(b) Whenever it appears to the Commissioner that any person has engaged in any act or practice constituting a violation of any provision of this title or any rule or order under this title, the Commissioner may in his discretion bring an action to obtain one or more of the following remedies:

- (1) A temporary restraining order;
- (2) A temporary or permanent injunction;
- (3) A civil penalty up to a maximum amount of \$2,500 for any single violation of this title;
- (4) A declaratory judgment;
- (5) The appointment of a receiver or conservator for the defendant or the defendant's assets; [and]