(2) Has willfully violated or willfully failed to comply with any provisions of this title, a predecessor act, or any rule or order under this title or a predecessor act;

(3) Has been convicted, within the last 10 years, of a felony, or of an offense that:

(i) Involves the taking of a false oath, the making of a false report, bribery, perjury, burglary, or attempt or conspiracy to commit any of those offenses;

(ii) Arises out of the conduct of business as, OR EMPLOYMENT BY OR ASSOCIATION WITH, a broker–dealer, municipal [securities dealer,] OR government securities broker[, government securities] OR dealer, investment adviser, bank, SAVINGS INSTITUTION, TRUST COMPANY, CREDIT UNION, SAVINGS AND LOAN ASSOCIATION, insurance company OR BROKER, fiduciary, INVESTMENT COMPANY, ACCOUNTANT, OR REAL ESTATE AGENT OR BROKER, or any entity or person required to be registered under the Commodity Exchange Act; or

(iii) Involves the larceny, theft, robbery, extortion, forgery, counterfeiting, fraudulent concealment, embezzlement, fraudulent conversion, or misappropriation of funds or securities, or an attempt or conspiracy to commit any of those offenses;

(4) Is permanently or temporarily enjoined by any court of competent jurisdiction from engaging in or continuing any conduct or practices involving any aspect of the securities OR INVESTMENT ADVISORY OR ANY OTHER FINANCIAL SERVICES business;

(5) Is the subject of an order of the Commissioner denying, suspending, or revoking registration as a broker–dealer, agent, investment adviser, or investment adviser representative;

(6) Is the subject of an order entered within the past five years by the securities administrator OR ANY OTHER FINANCIAL SERVICES REGULATOR of any other state or by the Securities and Exchange Commission denying or revoking registration as a broker–dealer, investment adviser, investment adviser representative, or agent or the substantial equivalent of those terms as defined in this title, OR ANY OTHER FINANCIAL SERVICES LICENSE OR REGISTRATION, or is the subject of an order by the Commodity Futures Trading Commission denying, suspending, or revoking registration under the Commodity Exchange Act, or is suspended or expelled from a national securities exchange or national securities association registered under the Securities Exchange Act of 1934 either by action of a national securities exchange or national securities association, the effect of which action has not been stayed by appeal or otherwise, or by order of the Securities and Exchange Commission, or is the subject of a United States post office fraud order, but:

(i) The Commissioner may not institute a revocation or suspension proceeding under this item (6) more than one year from the date of the order or action relied on; and