

Article – Financial Institutions

5-804.

(b) An officer or employee who violates any provision of this section is guilty of a misdemeanor and on conviction is subject to imprisonment in the State Penitentiary for a period not exceeding 3 years.

9-102.

(c) Any person who is convicted of knowingly or willfully violating subsection (a) of this section is subject to imprisonment in the penitentiary for not more than 10 years for each offense, or a fine of not more than \$100,000 for each offense, or both.

9-216.

(i) (1) Any person who directly or indirectly acquires shares of an association or interest in an entity which controls an association in violation of this section, or who violates subsection (f) of this section shall be punished, upon conviction, by imprisonment in the penitentiary for a period not more than 10 years, or a fine not more than \$100,000, or both.

9-224.

(b) (2) A person who violates this section is subject to a fine of not more than \$100,000, or imprisonment in the penitentiary for not more than 10 years, or both.

9-307.

(k) Any controlling person, director, officer, employee, or attorney who knowingly violates or causes a violation of this section on conviction is subject to imprisonment in the penitentiary for a period not more than 10 years, or a fine of not more than \$100,000, or both.

9-913.

(a) Except as otherwise provided in this section and in this title, any violation of any provision of this title or any regulation adopted in accordance with this title by a director, officer, controlling person, or employee of an association is punishable by a fine not to exceed \$10,000, or imprisonment in the penitentiary for not more than 18 months, or both.

(b) (1) In addition to any of the remedies provided by this title or Title 8 of this article, the willful omission, making, or concurrence in making or publishing a written report, exhibit, or entry in a financial statement on the books of the association, which contains a material statement known to be false, upon conviction, is punishable by a fine not more than \$100,000, or imprisonment in the penitentiary for not more than 10 years, or both.

(2) For purposes of this subsection, “material” means “substantial and important as to influence a reasonable and prudent businessman or investor”.