

(2) THE AMOUNT, NATURE, QUALITY, AND LIQUIDITY OF THE ASSETS OF THE APPLICANT;

(3) THE AMOUNT AND NATURE OF THE LIABILITIES, INCLUDING CONTINGENT LIABILITIES, OF THE APPLICANT;

(4) THE HISTORY OF, AND PROSPECTS FOR, THE APPLICANT TO EARN AND RETAIN INCOME;

(5) THE QUALITY OF THE OPERATIONS OF THE APPLICANT;

(6) THE QUALITY OF THE MANAGEMENT OF THE APPLICANT;

(7) THE NATURE AND QUALITY OF THE PERSON THAT HAS CONTROL OF THE APPLICANT; AND

(8) ANY OTHER FACTOR THE COMMISSIONER DEEMS RELEVANT.

[12-406.] 12-407.

(a) [(1)] To apply for a license, an applicant shall [sign] COMPLETE and submit to the Commissioner [a verified] AN application MADE UNDER OATH [in.] ON the form that the Commissioner requires.

[(2)] The application shall include:

(i) The applicant's name, business address, and, if the applicant is an individual, residence address;

(ii) If the applicant is a corporation or association, the name and business address of each of its officers and directors;

(iii) If the applicant is an unincorporated entity with less than 100 members or a partnership, the name and business address of each of its members;

(iv) The address at which the business is to be conducted; and

(v) The name, business address, and nature of business of each agent who is authorized to do business on behalf of the applicant.

(b) (1) With the application, the applicant shall submit the most recent unconsolidated financial statement of the applicant.

(2) The statement shall:

(i) Be prepared in accordance with generally accepted accounting principles applied on a consistent basis;

(ii) Be audited and certified by an independent certified public accountant; and

(iii) Include a schedule of all of the permissible investments of the applicant.]

(B) AN APPLICANT SHALL PROVIDE: