- (I) A NOTICE IN A FORM THAT THE COMMISSIONER REQUIRES <u>OR</u>
 THE DOCUMENTS FILED WITH THE SECURITIES AND EXCHANGE COMMISSION
 UNDER THE SECURITIES ACT OF 1933;
- (II) A CONSENT TO SERVICE OF PROCESS SIGNED BY THE ISSUER; AND
 - (III) THE FEE REQUIRED UNDER § 11-510.1 OF THIS SUBTITLE; AND
- (IV) ANY DOCUMENT THAT IS PART OF A CURRENT FEDERAL REGISTRATION STATEMENT FILED WITH THE UNITED STATES SECURITIES AND EXCHANGE COMMISSION UNDER THE SECURITIES ACT OF 1933; AND
- (2) AFTER THE INITIAL OFFER OF THE FEDERAL COVERED SECURITY IN THIS STATE:
- (I) ANY DOCUMENT THAT IS PART OF AN AMENDMENT TO A CURRENT FEDERAL REGISTRATION STATEMENT FILED WITH THE UNITED STATES SECURITIES AND EXCHANGE COMMISSION UNDER THE SECURITIES ACT OF 1933; AND
- (II) AS NECESSARY TO COMPUTE FEES, AN ANNUAL OR PERIODIC REPORT OF THE VALUE OF THE FEDERAL COVERED SECURITIES OFFERED OR SOLD IN THIS STATE TOGETHER WITH ANY FEE REQUIRED UNDER § 11-510.1(B) AND (C) OF THIS SUBTITLE.
- (C) WITH RESPECT TO A FEDERAL COVERED SECURITY SPECIFIED IN § 18(B)(3) OF THE SECURITIES ACT OF 1933, THE COMMISSIONER MAY REQUIRE, BY RULE, ORDER, OR OTHERWISE, THE ISSUER TO FILE:
- (1) ANY DOCUMENT FILED WITH THE UNITED STATES SECURITIES AND EXCHANGE COMMISSION UNDER THE SECURITIES ACT OF 1933; AND
 - (2) THE FEE REQUIRED UNDER § 11-506 OF THIS SUBTITLE:
- (D) (C) WITH RESPECT TO A SECURITY THAT IS A FEDERAL COVERED SECURITY SPECIFIED IN § 18(B)(4)(D) § 18(B)(3) OR (4) OF THE SECURITIES ACT OF 1933, THE COMMISSIONER MAY REQUIRE, BY RULE, ORDER, OR OTHERWISE, THE ISSUER TO FILE:
 - A CONSENT TO SERVICE OF PROCESS SIGNED BY THE ISSUER;
 - (2) THE FEE REQUIRED UNDER § 11-506 OF THIS SUBTITLE; AND
- (3) ANY DOCUMENT FILED WITH THE UNITED STATES SECURITIES AND EXCHANGE COMMISSION UNDER THE SECURITIES ACT OF 1933.
- (E) (D) EXCEPT FOR A FEDERAL COVERED SECURITY SPECIFIED IN § 18(B)(1) OF THE SECURITIES ACT OF 1933, THE COMMISSIONER MAY ISSUE A STOP ORDER SUSPENDING THE OFFER AND SALE OF A FEDERAL COVERED SECURITY, IF THE COMMISSIONER FINDS THAT:
 - (1) THE ORDER IS IN THE PUBLIC INTEREST; AND