

11-414, ~~and 11-415, and 11-416~~ to be under the amended subtitle "Subtitle 4. Requirements of Broker-Dealers, Agents, Investment Advisers, Investment Adviser Representatives, and Federal Covered Advisers"; and 11-501, 11-506, 11-510(a), 11-510.1, 11-513, 11-601(7) and (14), 11-702(b), 11-703(a)(3), and 11-802(a)

Annotated Code of Maryland  
(1993 Replacement Volume and 1996 Supplement)

BY repealing and reenacting, with amendments,

Article - Corporations and Associations

Section 11-101(h) and (i)

Annotated Code of Maryland

(1993 Replacement Volume and 1996 Supplement)

(As enacted by Section 1 of this Act)

BY adding to

Article - Corporations and Associations

Section 11-101(e) and (f), 11-103, 11-503.1, and 11-601(14)

Annotated Code of Maryland

(1993 Replacement Volume and 1996 Supplement)

BY repealing and reenacting, with amendments,

Article - Corporations and Associations

Section 11-405(b) and 11-503.1

Annotated Code of Maryland

(1993 Replacement Volume and 1996 Supplement)

(As enacted by Section 2 of this Act)

SECTION 1. BE IT ENACTED BY THE GENERAL ASSEMBLY OF MARYLAND, That Section(s) 11-101(e) through (q), respectively, of Article - Corporations and Associations be renumbered to be Section(s) 11-101(g) through (s), respectively.

SECTION 2. AND BE IT FURTHER ENACTED, That the Laws of Maryland read as follows:

**Article - Corporations and Associations**

11-101.

(a) In this title, unless the context requires otherwise, the following words have the meanings indicated.

(b) (1) "Agent" means an individual other than a broker-dealer who represents a broker-dealer or issuer in effecting or attempting to effect the purchase or sale of securities.