

10-213. NOTICE OF DENIAL, SUSPENSION, OR REVOCATION.

IF AN APPLICATION FOR A LICENSE IS DENIED OR A LICENSE IS SUSPENDED OR REVOKED, THE COMMISSIONER IMMEDIATELY SHALL GIVE NOTICE TO THE APPLICANT OR LICENSEE BY REGISTERED MAIL ADDRESSED TO THE APPLICANT'S OR LICENSEE'S LAST ADDRESS OF RECORD WITH THE COMMISSIONER.

REVISOR'S NOTE: This section is new language derived without substantive change from former Art. 48A, § 182(n).

The reference to "certified" mail is deleted as included in the reference to "registered" mail. See Art. 1, § 20 of the Code.

Defined terms: "Commissioner" § 1-101

"License" § 10-201

10-214. INFORMATION REQUIRED BY COMMISSIONER.

(A) IN GENERAL.

THE COMMISSIONER AT ANY TIME MAY REQUIRE A LICENSEE TO PROVIDE INFORMATION THAT THE COMMISSIONER CONSIDERS NECESSARY ABOUT THE BUSINESS METHODS, POLICIES, CONTRACTS, OR TRANSACTIONS OF THE LICENSEE.

(B) REQUEST FOR AND PROVISION OF INFORMATION.

WITHIN 10 DAYS AFTER RECEIVING A WRITTEN REQUEST FOR INFORMATION UNDER THIS SECTION, THE LICENSEE SHALL PROVIDE THE COMMISSIONER WITH THE INFORMATION IN THE FORM THAT THE COMMISSIONER REQUIRES.

REVISOR'S NOTE: This section is new language derived without substantive change from former Art. 48A, § 182(j).

Defined terms: "Commissioner" § 1-101

"License" § 10-201

"Policy" § 1-101

10-215. AGREEMENTS BETWEEN ADVISERS AND OTHERS.

(A) IN GENERAL.

AN AGREEMENT BETWEEN AN ADVISER AND ANOTHER PERSON THAT RELATES TO THE GIVING OF ADVICE OR INFORMATION OF THE TYPE GIVEN BY ADVISERS IS NOT ENFORCEABLE BY OR FOR THE ADVISER UNLESS:

- (1) THE AGREEMENT IS IN WRITING;
- (2) THE AGREEMENT IS EXECUTED PERSONALLY IN DUPLICATE BY THE PERSON TO BE CHARGED OR BY THE LEGAL REPRESENTATIVE OF THE PERSON;
- (3) A DUPLICATE OF THE AGREEMENT IS DELIVERED TO AND KEPT BY THE PERSON WHEN IT IS SIGNED BY THAT PERSON;