

WITH ANY SIGNIFICANT FINANCIAL INTERESTS SUCH PERSONS MAY HAVE WITH A VENDOR OR PROVIDER OF SERVICES AT THE FACILITY. AS USED IN THIS PARAGRAPH, A "SIGNIFICANT FINANCIAL INTEREST" MEANS AN INTEREST OF 10 PERCENT OR MORE;

(3) A COPY OF THE CORPORATE CHARTER, PARTNERSHIP AGREEMENT, ARTICLES OF ASSOCIATION, MEMBERSHIP AGREEMENT, OR TRUST AGREEMENT AS IT PERTAINS TO THE LEGAL ORGANIZATION OF THE APPLICANT;

(4) A CERTIFIED STATEMENT OF THE APPLICANT'S FINANCIAL CONDITION, INCLUDING BALANCE SHEET AND INCOME STATEMENTS FOR THE 3 MOST RECENT FISCAL YEARS, OR ALL YEARS OF OPERATION IF FEWER THAN 3 YEARS. IF THE APPLICANT'S FISCAL YEAR ENDED MORE THAN 90 DAYS PRIOR TO THE DATE OF FILING, THERE SHALL ALSO BE INCLUDED AN INCOME STATEMENT, WHICH NEED NOT BE CERTIFIED, COVERING THE PERIOD BETWEEN THE DATE THE FISCAL YEAR ENDED AND A DATE NOT MORE THAN 90 DAYS PRIOR TO THE DATE THE APPLICATION IS FILED;

(5) A STATEMENT AS TO ANY AFFILIATION WITH A RELIGIOUS, CHARITABLE, OR OTHER NONPROFIT ORGANIZATION; THE EXTENT OF ANY AFFILIATION; AND THE EXTENT, IF ANY, TO WHICH THE AFFILIATE ORGANIZATION WILL BE RESPONSIBLE FOR THE FINANCIAL AND CONTRACTUAL OBLIGATIONS OF THE APPLICANT;

(6) A COPY OF THE AGREEMENT TO BE ENTERED INTO BETWEEN THE PROVIDER AND SUBSCRIBER FOR CONTINUING CARE;

(7) A STATEMENT OF THE FEE STRUCTURE, INCLUDING ESCALATOR OR OTHER AUTOMATIC ADJUSTMENT PROVISIONS;

(8) A DESCRIPTION OF THE FACILITY WHICH IS PROPOSED TO BE USED OR IS BEING USED TO FURNISH CONTINUING CARE;

(9) A STATEMENT OF THE ROLE OF ANY PUBLICLY FUNDED BENEFIT OR INSURANCE PROGRAM IN THE FINANCING OF CARE;

(10) A SAMPLE OF EACH PLANNED OR PREVIOUSLY PUBLISHED ADVERTISEMENT OR CIRCULAR FOR THE FACILITY DURING THE PAST 5 YEARS; AND

(11) OTHER REASONABLE AND PERTINENT DATA AS THE OFFICE MAY REQUIRE.

[(f) Upon issuance of a preliminary certificate of registration, a provider may enter into continuing care agreements with subscribers.]

(F) A PRELIMINARY CERTIFICATE OF REGISTRATION SHALL BE ISSUED BY THE OFFICE IF IT DETERMINES THAT:

(1) THE FEASIBILITY STUDY HAS BEEN APPROVED;