

(D) THE DATE THE TRANSFER WAS REGISTERED.

(6) AT PERIODIC INTERVALS, AT LEAST ANNUALLY AND AT ANY TIME ON THE REASONABLE WRITTEN REQUEST OF THE REGISTERED OWNER, THE ISSUER SHALL SEND TO THE REGISTERED OWNER OF EACH UNCERTIFICATED SECURITY A DATED WRITTEN STATEMENT CONTAINING:

(A) A DESCRIPTION OF THE ISSUE OF WHICH THE UNCERTIFICATED SECURITY IS A PART;

(B) THE NAME AND ADDRESS AND ANY TAXPAYER IDENTIFICATION NUMBER OF THE REGISTERED OWNER;

(C) THE NUMBER OF SHARES OR UNITS OF THE UNCERTIFICATED SECURITY REGISTERED IN THE NAME OF THE REGISTERED OWNER ON THE DATE OF THE STATEMENT;

(D) THE NAME AND ADDRESS AND ANY TAXPAYER IDENTIFICATION NUMBER OF ANY REGISTERED PLEDGEE AND THE NUMBER OF SHARES OR UNITS SUBJECT TO THE PLEDGE; AND

(E) A NOTATION OF ANY LIENS AND RESTRICTIONS OF THE ISSUER AND ANY ADVERSE CLAIMS (AS TO WHICH THE ISSUER HAS A DUTY UNDER § 8-403(4)) TO WHICH THE UNCERTIFICATED SECURITY IS OR MAY BE SUBJECT OR A STATEMENT THAT THERE ARE NONE OF THOSE LIENS, RESTRICTIONS OR ADVERSE CLAIMS.

(7) AT PERIODIC INTERVALS, AT LEAST ANNUALLY AND AT ANY TIME UPON THE REASONABLE WRITTEN REQUEST OF THE REGISTERED PLEDGEE, THE ISSUER SHALL SEND TO THE REGISTERED PLEDGEE OF EACH UNCERTIFICATED SECURITY A DATED WRITTEN STATEMENT CONTAINING:

(A) A DESCRIPTION OF THE ISSUE OF WHICH THE UNCERTIFICATED SECURITY IS A PART;

(B) THE NAME AND ADDRESS AND ANY TAXPAYER IDENTIFICATION NUMBER OF THE REGISTERED OWNER;

(C) THE NAME AND ADDRESS AND ANY TAXPAYER IDENTIFICATION NUMBER OF THE REGISTERED PLEDGEE;

(D) THE NUMBER OF SHARES OR UNITS SUBJECT TO THE PLEDGE; AND

(E) A NOTATION OF ANY LIENS AND RESTRICTIONS OF THE ISSUER AND ANY ADVERSE CLAIMS (AS TO WHICH THE ISSUER HAS A DUTY UNDER § 8-403(4)) TO WHICH THE UNCERTIFICATED SECURITY IS OR MAY BE SUBJECT OR A STATEMENT THAT THERE ARE NONE OF THOSE LIENS, RESTRICTIONS OR ADVERSE CLAIMS.

(8) IF THE ISSUER SENDS THE STATEMENTS DESCRIBED IN SUBSECTIONS (6) AND (7) AT PERIODIC INTERVALS NO LESS FREQUENT THAN QUARTERLY, THE ISSUER IS NOT OBLIGED TO SEND ADDITIONAL STATEMENTS UPON REQUEST UNLESS THE OWNER OR PLEDGEE REQUESTING THEM PAYS TO THE ISSUER THE REASONABLE COST OF FURNISHING THEM.