

REVISOR'S NOTE: This section presently appears as Art. 32A, §36(a) through (e).

The only changes are technical changes in style.

11-204. HEARINGS TO BE PUBLIC.

EVERY HEARING IN AN ADMINISTRATIVE PROCEEDING SHALL BE PUBLIC UNLESS THE COMMISSIONER IN HIS DISCRETION GRANTS A REQUEST JOINED IN BY ALL THE RESPONDENTS THAT THE HEARING BE CONDUCTED PRIVATELY.

REVISOR'S NOTE: This section presently appears as Art. 32A, §36(f).

The only changes are technical changes in style.

11-205. FILING OF SALES AND ADVERTISING LITERATURE.

THE COMMISSIONER BY RULE OR ORDER MAY REQUIRE THE FILING OF ANY PROSPECTUS, PAMPHLET, CIRCULAR, FORM LETTER, ADVERTISEMENT, OR OTHER SALES LITERATURE OR ADVERTISING COMMUNICATION ADDRESSED OR INTENDED FOR DISTRIBUTION TO PROSPECTIVE INVESTORS, INCLUDING CLIENTS OR PROSPECTIVE CLIENTS OF AN INVESTMENT ADVISER, UNLESS THE SECURITY OR TRANSACTION IS EXEMPTED BY SUBTITLE 6 OF THIS TITLE.

REVISOR'S NOTE: This section presently appears as Art. 32A, §27.

The only changes are technical changes in style.

11-206. ADMINISTRATIVE FILES AND OPINIONS.

(A) WHEN DOCUMENT IS FILED.

A DOCUMENT IS FILED WHEN IT IS RECEIVED BY THE COMMISSIONER.

(B) REGISTER TO BE KEPT BY COMMISSIONER.

(1) THE COMMISSIONER SHALL KEEP A REGISTER OF EVERY APPLICATION FOR REGISTRATION AND EVERY REGISTRATION STATEMENT WHICH IS OR HAS EVER BEEN EFFECTIVE UNDER THIS TITLE AND EVERY DENIAL, SUSPENSION, OR REVOCATION ORDER WHICH IS ENTERED UNDER THIS TITLE.

(2) THE REGISTER SHALL BE OPEN FOR PUBLIC INSPECTION.