

Regular meetings of the Council are scheduled each month, September through July.

## DIVISION OF SECURITIES

Susan M. Rittenhouse, *Commissioner*

Delia A. Burke; Sarah McCafferty.

7 N. Calvert St.  
Baltimore 21202 Telephone: 576-6360

The Division of Securities was established in 1962 with the passage of the Maryland Securities Act. The principal executive officer of the Division is the Securities Commissioner, who is appointed by the Attorney General.

The Securities Commissioner and the Division are charged by statute with the administration and enforcement of three Maryland laws: the Maryland Securities Act (Code Corporations and Associations Article, secs. 11-101 through 11-805); the Franchise Registration and Disclosure Law (Code 1957, Art. 56, secs. 345-365D); and the Business Opportunities Sales Act (Code 1957, Art. 56, secs. 401-415).

Administration of these acts involves a substantive scheme of regulation imposed by statute and by rule requiring the registration of investment opportunities prior to their offer and sale to the public and, in addition, under the Securities Act there is imposed a licensing requirement on persons to be engaged in the sale of securities (subject to annual renewals). Enforcement of these acts is accomplished through a comprehensive statutory framework imposing administrative, civil, and criminal standards. Enforcement is conducted through the use of investigatory powers, administrative hearings and administrative orders, litigation in State and federal courts, and through cooperative efforts with State's Attorneys' Offices in criminal matters.

## MARYLAND BLUE SKY ADVISORY COMMITTEE

Susan M. Rittenhouse, *Chairperson*

Virginia K. Adams, 1987; E. Robert Kent, Jr., 1987; Gerald M. Rashbaum, 1988; Arthur C. Strasburger, 1988; Mark D. Coplin, 1989; John S. Lalley, 1989.

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The Maryland Blue Sky Advisory Committee was created in 1962 (Chapter 1, Acts of 1962). The Committee meets, when called by the Securities Commissioner, to advise the Commissioner on any and all matters pertaining to the administration of the Maryland Securities Act, particularly on the adoption, amendment, or repeal of rules, regulations, or forms (Code Corporations and Associations Article, sec. 11-202).

The Committee consists of six members appointed by the Governor for staggered terms of three years each. The Committee serves without compensation and has no staff.

## CORRECTIONAL LITIGATION DIVISION

Richard M. Kastendieck, *Supervising Attorney*

Glenn W. Bell; Karen Stakem Hornig; Stephanie Lane-Weber; Mark D. McCurdy; Rex C. Schultz.

Telephone: 576-6429

The Correctional Litigation Division is responsible for the representation of State's Attorneys in any lawsuit challenging their official actions, and of sheriffs where the matter involves correctional matters. The Division also represents State officials and employees who are sued in State or federal courts by prisoners for asserted violations of their rights in State institutions.

## CRIMINAL APPEALS DIVISION

Gary E. Bair, *Chief*

Vacancy, *Deputy Chief, Criminal Appeals*

Richard B. Rosenblatt, *Senior Counsel, Capital Litigation*

John S. Bainbridge, Jr.; Mary Ellen Barbara; Ronald M. Levitan; Valerie W. Loftin; Anne E. Singleton; Jillyn K. Schulze; Norman L. Smith; Valerie Johnston Smith; Patricia D. Storch.

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The Criminal Appeals Division represents the State in suits involving the criminal justice system. Advocating the State's position in written briefs and at oral argument, the Division handles all appeals in criminal cases in the U.S. Supreme Court,