

**Division of Securities**

Ronald M. Shapiro, Commissioner of Securities  
 Fred O'Fiesh, Assistant Securities Commissioner  
 Miss Dickie M. Howard, Assistant Attorney General

One S. Calvert Street, Baltimore 21202 Telephone: 383-3714

This Division, created by Chapter 1, Acts of 1962, is headed by a Securities Commissioner appointed by the Attorney General. The division regulates persons involved in the securities business in Maryland, regulates the offering of securities to the public, administers the antifraud provisions of the Act and provides administrative, civil and criminal remedies (Code 1957, 1971 Repl. Vol., Art. 32A, secs. 13-44).

**Maryland Blue Sky Advisory Committee**

Chairman: Mark D. Coplin, 1974

Samuel M. Wasserman, 1974; Alan R. Sachs, 1975; Walter G. Lohr, Jr., 1975; Gerald M. Rashbaum, 1976; Arthur C. Strasburger, 1976.

20th Floor, Maryland National Bank Building,  
 Baltimore 21202 Telephone: 539-2125

The Maryland Blue Sky Advisory Committee, created by Chapter 1, Acts of 1962, consists of six members appointed by the Governor for staggered terms of three years each. The Committee functions without compensation and has no staff. It selects its own chairman. The Committee meets when called by the Securities Commissioner for the purpose of giving him advice on any and all matters pertaining to the administration of the Maryland Securities Act, particularly the adoption, amendment or repeal of rules, regulations or forms (Code 1957, 1971 Repl. Vol., Art. 32A, sec. 39).

**Division of Consumer Protection**

John N. Ruth, Assistant Attorney General  
 Chief of Division  
 John A. Woodstock, Assistant Attorney General  
 Antitrust Division

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The Consumer Protection Division was created by Chapter 388, Acts of 1967, and is headed by an Assistant Attorney General who is Chief of the Division. The Division is concerned with the control and regulation of fraud and false advertising to consumers and is responsible for the enforcement of certain civil and criminal provisions provided by the Act. The Division is also charged with the responsibility of recommending to the Governor and the General Assembly legislation to protect the public from fraudulent schemes and promotions and also reports to the appropriate law enforcement officers any information concerning violations of laws affecting consumers. The Division also seeks to assist, develop and conduct programs of consumer education and information through publications and other informational and educational materials prepared for dissemination to the consuming public. The Division also undertakes activities to encourage business and industry to maintain high standards of honesty, fair business practices and public responsibility "in the production, promotion, and sale of consumer goods and services" (Code 1957, 1969 Repl. Vol., 1972 Supp., Art. 83, secs. 19-27).