

REQUEST FOR RECORDS RETENTION SCHEDULE

To be Submitted to the Records Management Division
Hall of Records Commission

SCHEDULE NO. **472**

PAGE NO. 1

Extra copy

1. Requesting Agency
STATE TREASURER

2. Division or Bureau of Requesting Agency
Annapolis Office

3. Authorization Requested (Check only one of the squares below).

A Dispose of present accumulation. No additional accumulation is anticipated. Records have ceased to have value to warrant retention.

B Establish retention schedule for records for which there is a continuing accumulation. The records will cease to have value to warrant their retention after the period of time indicated.

C Microfilm and destroy originals. Originals if not microfilmed would be retained for the period of time indicated.

4. Item No.	5. Description of Records Describe records accurately. Include title, form number, size of documents, work or activity to which the records relate, inclusive dates, and quantity (cubic or linear feet). Show recommended retention period.	6. Recommendation of Hall of Records and Board of Public Works.																								
1	<p>GENERAL ACCOUNTING RECORDS</p> <p>Size: Varied Quantity: 200 cu. ft. File Arrangement: Chronological Audit: State</p> <p>This record series includes all standard State accounting forms, as well as general accounting records which are supporting data to the permanently retained General Ledgers or other books of final entry.</p> <p>These records have value only for the three-year statutory limitation or, if subject to audit, for three years or until audited, whichever is later. All or some of the following records are found in each agency:-</p> <p style="text-align: center;">COMPTROLLER OF THE TREASURY</p> <p><u>Form No.</u></p> <table border="0"> <tr> <td>E-1-S</td> <td>Memorandum of Adjustment</td> </tr> <tr> <td>E-1 & E-1/2</td> <td>Distribution of Charges</td> </tr> <tr> <td>DD-1</td> <td>Transmittal</td> </tr> <tr> <td>GAO-1 & GAO-1B</td> <td>Certificate of Deposit and Bank Deposit Slip</td> </tr> <tr> <td>GAO-B15</td> <td>Monthly Report of State Funds Collected and Deposited</td> </tr> <tr> <td></td> <td>Distribution of Unexpended & Obligated Balances</td> </tr> <tr> <td></td> <td>Monthly Statement of Balances</td> </tr> <tr> <td></td> <td>*Transmittals (General Schedule G-5, Item 3)</td> </tr> <tr> <td></td> <td>*Warrants, Paying & Receiving (General Schedules G-3 and G-4, Item 3)</td> </tr> </table> <p>*State Treasurer's copies of Transmittals and Warrants are either permanently retained or microfilmed and the film retained permanently.</p> <p style="text-align: center;">PURCHASING BUREAU (DEPARTMENT OF BUDGET & PROCUREMENT)</p> <table border="0"> <tr> <td>1-A</td> <td>Requisition for Supplies (also Agency Inter-office Requisitions)</td> </tr> <tr> <td>47-A</td> <td>Purchase Order</td> </tr> <tr> <td>100-16</td> <td>Out-of-Schedule Requisition for Supplies</td> </tr> </table> <p style="text-align: right;">(continued)</p>	E-1-S	Memorandum of Adjustment	E-1 & E-1/2	Distribution of Charges	DD-1	Transmittal	GAO-1 & GAO-1B	Certificate of Deposit and Bank Deposit Slip	GAO-B15	Monthly Report of State Funds Collected and Deposited		Distribution of Unexpended & Obligated Balances		Monthly Statement of Balances		*Transmittals (General Schedule G-5, Item 3)		*Warrants, Paying & Receiving (General Schedules G-3 and G-4, Item 3)	1-A	Requisition for Supplies (also Agency Inter-office Requisitions)	47-A	Purchase Order	100-16	Out-of-Schedule Requisition for Supplies	
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7. Agency, Division or Bureau Representative

E. J. Schmitt
Signature

Chief Deputy Treasurer
Title

JUN 13 1969
Date

Schedule Authorized as Indicated in Col. 6 by Hall of Records Commission.

Disposal Authorized as Indicated in Col. 6 by Board of Public Works.

JUN 16 1969
Date

Morris E. Durbell
Archivist

6-18-69
Date

Leander H. Hurlburt
Secretary

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1 (cont.)

PURCHASING BUREAU (cont.)

Form No. Description

39-A & 40-A Stores Requisition

CF-2 & CF-3 Copy of Contract Awarded

27-A " " " "

100-24 Actual Emergency & Repairs Report

26-A Notice of Award of Contract

51 Report of Partial Delivery

52 Credit Memorandum

BUDGET BUREAU (DEPARTMENT OF BUDGET & PROCUREMENT)

BB-1 (revised,
formerly BB-1 & BB-2) Budget Schedule Amendment

BP Inv. R101 Report of Fixed Assets

BP Inv. R102 Report of Materials & Supplies

BP Inv. 31-6 Materials and Supplies Physical Inventory

BP 1-11 Budget Estimates

BB-40 Request for Position Action

GENERAL ACCOUNTING RECORDS

Paid Bills and Invoices

Receipt Copies and Stubs

Bank Books, Statements, and Deposit Receipts

Check Copies

Reconciliation and Trial Balance Sheets

Budget Papers and Work Sheets

Requisitions and Purchase Orders

Delivery Orders and Receipts

Receiving Reports

Daily and Monthly Time Sheets

Gas Withdrawal Tickets and Mileage Reports

P-1 Stock Record Card

P-3 Memorandum Receipt & Property Condemnation Report

P-7 Delivery Order & Receipt

Periodic Financial Reports to Local and State Agencies

Withholding Tax Forms and Statements (Local, State and Federal)

PAYROLL ACCOUNTING

Payroll & Check Register (formerly Payroll Journal), [General
Schedule G-2, Item 1-d]*

Payroll Exceptions Time Report (formerly Payroll Exceptions,
Additions & Deductions), [Gen. Sched. G-2, Item 2-b]*

Payroll Warrants, [Gen. Sched. G-3, Item 3]*

Payroll Transmittals, [Gen. Sched. G-5, Item 3]*

*State Treasurer's copies of the Payroll records are the master copies and are either permanently retained or microfilmed and the film retained permanently.

Tab cards (punched cards) used for intermediate accounting purposes, and all printed and mimeographed material are considered nonrecord within the meaning of the statute governing nonrecord material (Annotated Code of Maryland, 1957 edition as amended, Art. 41, Sec. 179), and may be destroyed as soon as no longer needed by the office and their retention is not necessary to maintain an audit trail.

RECOMMENDATION: RETAIN ALL EXCEPT NONRECORD MATERIAL FOR THREE YEARS OR (IF SUBJECT TO AUDIT) UNTIL AUDITED, WHICHEVER IS LATER, THEN DESTROY.

(continued)

REQUEST FOR RECORDS RETENTION SCHEDULE
(Continuation Sheet)

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2	<p>MASTER AUTHORIZATION CARD (Now: EMPLOYEE'S STATUS CARD)</p> <p>Form No. CPB-DPS 100-11 (MS 853-A) Size: 5" x 8" (7-5/16" x 3 1/4" punched card) Quantity: (negligible) Dates: Current Audit: State</p> <p>These cards are the authorization for placing an employee's name on the payroll and for making changes in pay or employment status.</p> <p>The Master Authorization Card was superseded in 1964 by the Employee's Status Card (MS 853-A), a punched card with interpretation. It has space to show reason for employee leaving the agency, for reclassification, change of status, promotion, or transfer; accrued leave, and effective date.</p> <p>This card, updated and replaced if there is a change in status, is retained until the employee leaves the agency. After a position becomes vacant, the card is returned to the Commissioner of Personnel, who sends back a vacancy card. When the position is filled, the agency returns the card to the Commissioner with the appointment form (MS 350-D), and a new Employee's Status Card is returned to the agency so that the file is always current at the agency level, and thus there is no accumulation of disposable cards.</p> <p>Disposition of cards which accumulate in the files of the Commissioner of Personnel and in the Central Payroll Bureau is provided for in separate schedules. The Recommendation below applies only to the old Master Authorization Cards (CPB-DPS 100-11).</p> <p>RECOMMENDATION: RETAIN MASTER AUTHORIZATION CARD FOR THREE YEARS AFTER REPLACEMENT BY EMPLOYEE'S STATUS CARD, THEN DESTROY.</p>	
3	<p>LEAVE RECORDS</p> <p>Form No.: MS 920 (Standard State form) Size: 5" x 8" Quantity: 1 file drawer Dates: 1950... Audit: State</p> <p>This file includes the following records:-</p> <p>Leave Record Cards (MS 920) - prepared annually for each employee: <u>See Recommendation "A"</u>.</p> <p>Leave Applications (requests) - <u>See Recommendation "B"</u>.</p> <p>Doctor's Certificates - <u>See Recommendation "B"</u>.</p> <p>RECOMMENDATION: A. RETAIN LAST LEAVE RECORD FOR FOUR YEARS AFTER DATE OF SEPARATION OR UNTIL AUDITED, WHICHEVER IS LATER, THEN DESTROY.</p> <p>B. RETAIN OTHER RECORDS FOR THREE YEARS OR UNTIL AUDITED, WHICHEVER IS LATER, THEN DESTROY.</p>	