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In The Circuit Court for Baltimore City CIVIL

in the Matter of

CHARLES WILLIAMS

VS

BOARD OF APPEALS DEPT. OF ECONOMIC AND EMPLOYMENT DEV. STATE OF MARYLAND, ETAL

IN THE CIRCUIT COURT FOR BALTIMORE CITY

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PARTIES		ATTORNEY(S)	
CHARLES	WILLIAMS	PHYLLIS A. HOTCHKISS, ESQ. 91189	
VS			
	APPEALS DEPARTMENT OF ECONOMIC OYMENT DEVELOPMENT STATE OF	Lynn Wiskittel	
AND		Lynn Waishillel	
LOCKE IN	SULATORS, INC.		
DATE	DOCK	ET ENTRIES NO.	
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	OF ECONOMIC AND EMPLOYMENT DEV	/ELOPMENT, STATE OF MARYLAND AND	
	PETITION (930-BR-90)	1	
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LOCKE INSULATORS, INC.

MEMORANDUM OPINION AND ORDER

MEMORANDUM OPINION AND ORDER

Hollander, J.

I. Introduction and Background

Charles Williams ("Williams") has appealed from the decision of the Board of Appeals (the "Board") of the Department of Economic and Employment Development (the "Department"), dated September 17, 1990. The Board affirmed the decision of the Hearing Examiner (R.59) finding that Williams was discharged for gross misconduct in connection with his work, within the meaning of Maryland Unemployment Insurance Law, Md. Ann. Code, Art. 95A, Section 6(b). R.67. Accordingly, the Board determined that Williams was not entitled to unemployment benefits.

^{1.} Unless otherwise indicated, all statutory references are to Maryland Annotated Code, Art. 95A.

^{2.} The letter "R" denotes reference to numbered items in the record.

II. Factual Summary

Williams was employed as an assembly worker by Locke Insulators, Inc. ("Locke" or "Employer"), from October 19, 1989 until May 21, 1990. R.2,8. He was a member of the United Electrical Workers Union, Local 120, which negotiated a contract with Locke, pursuant to which Williams was employed. The contract provided that Locke had the right to discharge any employee after four written warnings issued within a one year period. 3 R.10, 46-47. Williams was discharged after receiving four warnings within a one year period. R.10,11,15,16,25. On August 18, 1989, he received a warning for absenteeism (R.11,52); on September 18, 1989, he received a warning for poor workmanship in regard to several items he assembled (R.15,53); on January 19, 1990, he received a warning for absenteeism and defective assembly (R.16,54); on May 21, 1990, he received another warning for absenteeism. R.25,57.

The record reflects various efforts on the part of Locke to avoid terminating Williams' employment. For example, on one occasion, rather than giving Williams another warning, he was sent home for improperly wearing the ear plugs required under MOSHA regulations.⁴ R.19-21. On a separate occasion, two warnings were combined into one (R.16,21) and a letter was sent to Williams warning him of the precariousness of his

^{3.} Under the contract, warnings could be issued for various reasons including absenteeism, and violation of company rules, policies or practices. R.46-50.

^{4. &}quot;MOSHA" refers to the Maryland Occupational and Safety Hazards Administration.

employment. R.54. Appellant conceded that suspension was warranted after his third warning. Indeed, he acknowledged that "[i]t might have straightened [him] out." R.39.

It is undisputed that Williams was absent from work on the indicated occasions. R.36.⁵ At the hearing, Williams claimed that he was summoned to appear in court on one occasion, and had doctors' notes for the other absences. R.38. But William Hiel ("Hiel"), Locke's Employee Relations Manager, testified that the two absences in August, 1989 were not accompanied by doctors' notes. R.12. In contrast, when Williams provided Locke with a doctor's note, or other excuse, a notation to that effect was made on the warning notice. R.57. The warning notices for absences in weeks 50, 2, or 14 did not indicate that any excuse was offered by Williams.

Williams also was warned with respect to the quality of his work. The record is replete with testimony that Williams was trained to perform the work assigned, but that he neglected to satisfy Locke's minimum standards of performance. In rebuttal to Williams' assertions that he was inadequately trained to perform to Locke's standards, Hiel testified that Williams was on a progressive schedule. Accordingly, Williams was only expected to produce 80% of the established standard. Hiel indicated that the work related warning which Williams received was not the result of inadequate training. Rather, it

^{5.} It is interesting to note that three of Williams' unexcused absences occurred on Fridays. Cf. Ellis v. Comwlth. Unemp. Comp. Bd. of Rev., 460 A.2d. 1240 (Pa. Cmwlth. 1983).

was due to Williams' attitude and performance. After conferring with Williams' foreman, Hiel believed that these attitude problems were attributable to Williams' expectation that he was only assigned temporarily to the particular work area.

The Hearing Examiner found that Williams had received four warnings within one year for various infractions, notably absenteeism and a violation of MOSHA regulations. In addition, the Hearing Examiner found that Williams was afforded two additional opportunities to improve his work. The Hearing Examiner concluded that Williams' conduct demonstrated a gross indifference to the Employer's interest and constituted gross misconduct. The Board affirmed the Hearing Examiner's decision without making any new findings of fact. The Board concluded that the Hearing Examiner's decision was in conformity with Maryland Unemployment Law. R.67. Accordingly, Williams was denied unemployment benefits.

III. Scope of Review

Code, Art. 95A, Section 7(h) governs the standards of judicial review in connection with the administrative adjudication of unemployment insurance benefits. It provides, in pertinent part, as follows:

In any judicial proceeding [in regard to claims for benefits], the findings of the Board of Appeals as to the facts, if supported by competent, material and substantial evidence in view of the entire record, and in the absence of fraud, shall be conclusive, and the jurisdiction of [the circuit court] shall be confined to questions of law.

Board of Educ., Mont. Co. v. Paynter, 303 Md. 22,34-35 (1985). See also, Board of Appeals v. City of Baltimore, 72 Md. App. 427, 431-32 (1987); Adams v. Cambridge Wire Cloth Co., 68 Md. App. 666, 673 (1986).

Section 7(h), and the case law interpreting it, make clear that "findings of fact made by the Board are binding upon the reviewing court, if supported by substantial evidence in the record." Board of Appeals, supra, 72 Md. App. at 431. See also, Allen v. Core Target City Youth Program, 275 Md. 69 (1975). Any inference to be drawn from the facts is also left to the agency. It is "the province of the agency to resolve conflicting evidence, but where inconsistent inferences from the same evidence can be drawn, it is for the agency to draw the inference." Baltimore Lutheran High School Assoc., Inc. v. Employment Sec. Admin., 302 Md. 649, 663 (1985).

The test is not how this court would resolve a factual dispute or questions of credibility. On review, this court may only determine "if, from the facts and permissible inferences in the record before the [Board], reasoning minds could reach the same result." <u>Id</u>. Consequently, this court may not reject the Board's decision if it is supported by substantial evidence, unless the decision is wrong as a matter of law. Adams, supra, 68 Md. at 673.

Decisions of administrative agencies are <u>prima facie</u> correct. On appeal, the agency's decision must be viewed in the light most favorable to the agency. <u>Paynter</u>, <u>supra</u>, 303 Md. at 35-36. <u>See generally</u>, <u>Bulluck v. Pelham Woods Apts.</u>,

283 Md. 505 (1978). Accordingly, "the reviewing court should not substitute its judgment for the <u>expertise</u> of those persons who constitute the administrative agency from which the appeal is taken. <u>Paynter</u>, <u>supra</u>, 303 Md. at 35 (emphasis in original).

III. Discussion

The Board's decision denying unemployment benefits is supported by substantial evidence and is correct as a matter of law. "Gross misconduct" is defined in Section 6(b) as:

[C]onduct of an employee which is (1) a deliberate and willful disregard of standards of behavior which an employer has a right to expect, showing a gross indifference to the employer's interest or (2) a series of repeated violations of employment rules proving that the employer has regularly and wantonly disregarded his obligations. Misconduct not falling within this definition shall not be considered gross misconduct.

Hearing Examiner concluded that Williams' conduct The satisfied both categories of Section 6(b). But she did not make findings as to the specific conduct on which she relied to disqualify Williams from receipt of benefits. It is apparent, however, from a review of the record, that the Examiner's based a consideration decision was on of the totality of Williams' conduct from August, 1989 until May 21, 1990, the date he was discharged.

There is no bright line test to determine what constitutes deliberate and willful misconduct within the meaning of Section 6(b)(l). Employment Security Board of Maryland v. LeCates, 218 Md. 202 (1959). In LeCates, the Court noted that such a determination will vary with each particular case. What is required is

an utter disregard for the employee's duties and obligations to his employer and [conduct] calculated to disrupt the discipline and order requisite to the proper management and control of the company.

Id. at 210; Watkins v. Employment Security Administration, 266
Md. 223 (1972).

The Court of Appeals has held that chronic absenteeism in the face of warnings constitutes gross misconduct within the meaning of Sec. 6(b). In <u>Watkins v. Employment Security Administration</u>, 266 Md. 223 (1972), the Court found the employee to be guilty of misconduct and noted:

Absenteeism or tardiness is directly connected with an employee's work. Whether an employer may be able to have the absent employee's duties performed by others is simply not relevant to the issue whether, in the language of the act, there has been a 'deliberate and willful disregard of standards of behavior.' A disregard which is disruptive of discipline or destructive of morale may, in some circumstances, be as damaging as that which may be solely directed at interference with performance.

266 Md. at 228.

Appellant argues that the Employer's attendance policy failed to distinguish between absences due to medical reasons and those for which there was no legitimate excuse. He thus contends that legitimate absences do not amount to gross misconduct. Ιn fact, Locke's attendance policy distinguish between legitimate absences, which are not counted towards disciplinary action, and illegitimate absences. R.50. In any event, the record is clear that Williams was absent at least five times without any excuse or notification to his employer. "Even in a case in which an absence might otherwise

be justifiable due to illness, failure to abide by an employer's reasonable rule regarding the notice of that absence may constitute gross misconduct for which the award of unemployment insurance benefits is not proper." Painter v. Department of Employment and Training, 68 Md. App. 356 (1986).

A Pennsylvania court, applying a statutory scheme similar to that in Maryland, considered a situation much like that in the instant case. In <u>Smeal v. Unemployment Com. Bd. of Rev.</u>, 197 Pa. Super. 555, (1962), the claimant was absent on numerous occasions because of either her own illness or the illnesses of her children. On three different occasions, she did not report her intended absences, as required by company reglations. As a result, she was dismissed for excessive absenteeism and found disqualified from receiving unemployment compensation benefits. The court said that, notwithstanding her illness and those of her children, there was no reasonable justification for the failure to give the notice required by the company regulations.

Applying the reasoning of <u>Smeal</u> here, there is substantial evidence in the record to support the finding that Williams voluntarily absented hmself from work on numerous occasions, without notice to the Employer or justification. The absences alone, then, would constitute gross misconduct. The Board's decision, affirming the hearing examiner on this ground, is therefore correct as a matter of law.

As to the claim of poor work product, it is generally recognized that substandard work performance is not "misconduct" so as to disqualify an employee from receiving

unemployment insurance benefits, if it is the result of inability. A different result obtains, however, when a capable employee refuses to perform. An employee's refusal to apply himself where he is able can evidence an intentional and substantial disregard of the employer's interests. Rycraft v. United Technologies, 449 So. 2d. 282 (Fla. App. 1984).

Williams' testimony that he was never instructed on the proper use of the earplugs (R.36) was clearly unconvincing. Hiel testified that Williams absolutely should have known how to use the earplugs. Hiel also stated that Williams used the earplugs all of the time and that they are issued to employees with a picture demonstrating proper usage. R.40,41. As a result of Williams' failure to properly wear his earplugs, Locke was issued a citation by the MOSHA inspector, along with a \$750.00 fine. R.20. There was ample evidence in the record to show that Williams performed substandard work on two occasions, and failed to adhere to safety regulations on another occasion.

Williams was treated leniently by his supervisors, given numerous warnings regarding his misconduct and offered various opportunities to correct his conduct. In light of all of the facts, the record clearly supports the determination that Williams committed gross misconduct within the meaning of Section 6(b), by engaging in a course of conduct showing a general disregard of the Employer's interests. The Board's finding that Williams' conduct amounted to gross misconduct is supported by the record and applicable case law, and, accordingly, Williams was lawfully denied unemployment benefits.

Based on the foregoing, it is this $3^{1/2}$ day of April, 1991, by the Circuit Court for Baltimore City, ORDERED that the decision of the Board be, and the same hereby is, AFFIRMED.

Costs to be paid by Appellant.

cc: Amy S. Scherr, Esquire Assistant Attorney General Phyllis A. Hotchkiss, Esquire Attorney for Appellant

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CHARLES WILLIAMS,

Appellant

LOCKE INSULATORS, INC.

and

BOARD OF APPEALS, DEPARTMENT OF ECONOMIC AND EMPLOYMENT DEVELOPMENT,

Appellees

IN THE

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CIRCUIT COURTERCUIT COURT FOR MALTIMORE DITE

占FOR

BALTIMORE CITY

#90285042/CL120734

MEMORANDUM IN SUPPORT OF THE BOARD OF APPEALS

I. Introduction

The Board of Appeals of the Department of Economic and Employment Development (the "Board"), one of the Appellees herein, found that Charles Williams ("Williams"), Appellant, was not entitled to unemployment insurance benefits by a decision dated September 17, 1990. Based upon its review of the administrative record, the Board affirmed the decision of the Hearing Examiner finding that Williams was discharged from his employment with Locke Insulators, Inc. ("Locke"), an Appellee, for gross misconduct within the meaning of Maryland Annotated Code, Article 95A, §6(b).1

Williams timely appealed that decision to this Court. The factual findings and conclusions made by the Hearing Examiner and adopted by the Board are supported by

Unless otherwise indicated, all statutory references are to Article 95A of the Maryland Annotated Code.

competent, material and substantial evidence in the administrative record. The Board made no errors of law and that, therefore, the Board's decision should be affirmed.

II. Scope of Review

Judicial review of the administrative adjudication of unemployment insurance appeals is governed by Maryland Annotated Code, Article 95A, §7(h). Findings of fact made by the Board are binding upon this court if there is substantial evidence in the record to support them. Section 7(h); Board of Education of Montgomery County v. Paynter, 303 Md. 22, 491 A.2d 1186 (1985); Allen v. Core Target City Youth Program, 275 Md. 68, 338 A.2d 237 (1975). This court may only determine if reasoning minds could reach the same conclusion from the facts and permissible inferences in the record before the Board. Baltimore Lutheran High School Association, Inc. v. Employment Security Administration, 302 Md. 649, 490 A.2d 701 (1985). If the Board's conclusions could be reached by reasoning minds, the decision is based upon substantial evidence and this court has no power to reject that conclusion. Paynter, 303 Md. at 35, 491 A.2d at 1193; Baltimore Lutheran High School, 302 Md. at 662, 490 A.2d at 707-708.

This Court must decide if there is substantial evidence to support the Board's findings. The determination of the credibility of witnesses' testimony is properly left to the agency. Board of Appeals, Department of Employment and Training v. Mayor and City Council of Baltimore, 72 Md. App.

427, 530 A.2d 763 (1987); <u>Jacocks v. Montgomery County</u>, 58 Md. App. 95, 472 A.2d 485 (1984).

When faced with conflicting inferences, ". . .it is for the referee to draw the inference, not the reviewing court."

Paynter, 303 Md. at 36, 491 A.2d at 1195. "Furthermore, not only is it the province of the agency to resolve conflicting evidence, but where inconsistent inferences from the same evidence can be drawn, it is for the agency to draw the inference." Baltimore Lutheran High School, 302 Md. at 663, 490 A.2d at 708.

The administrative findings in this case are supported by competent, material and substantial evidence contained in the record submitted by the Board. Because no fraud has been alleged, the findings of fact are conclusive, and this court's jurisdiction is confined to questions of law.

Section 7(h); Paynter, 303 Md. at 35, 491 A.2d at 1192.

III. The Board's decision to disqualify Williams from receiving unemployment benefits is supported by substantial evidence and is correct as a matter of law.

A. Statement of Facts

Williams was employed by Locke from October 19, 1988 until May 21, 1990 as an assembly worker (R. 2, 8). Williams was employed full-time earning \$9.32 an hour. He was a member of the United Electrical Workers Union, Local

²The letter "R" refers to the handwritten, numbered pages of the administrative record submitted to this Court by the Board of Appeals.

120, which initiated a contract with Locke pursuant to which he was employed (R. 10).

Under the union contract, Locke had the right to discharge any employee after four written warnings for various enumerated reasons for minor offenses or for one continuing reason that had been issued within a one year period (R. 10, 46-47).

Williams was discharged after receiving four consecutive warnings within a one-year period (R. 10, 11, 15, 16, 25). Leading to his termination were four warnings over a period of eight months; on August 18, 1989 he received a warning for absenteeism for two days within a 13 week period (R. 11, 52); a warning on September 18, 1989 for poor workmanship regarding rejects in the number of pieces he assembled (R. 15, 53); he received a notice on January 18, 1990 which was a combined warning notice regarding absenteeism and defective assembly (R. 16, 54); and finally, be received notice on May 21, 1990 because he had 3 occurrences of absence within a 13 week period (R. 25, 57).

On May 21, 1990, rather than receiving a warning because of his precarious job status when Locke was cited by MOSHA and given a \$750.00 fine for Williams' failure to properly wear his ear plugs, he was sent home (R. 19-21). After three warnings in January, 1990, Hiel, the employer relations manager sent Williams a letter warning him of the precariousness of job position (R. 55).

Finally in May of 1990, as a result of Williams last course of absences for personal reasons, Hiel had no choice under the policy enumerated in the contract, but to terminate Williams' employment (R. 57). Based upon the testimony offered by Williams and the representatives of Locke, the Hearing Examiner believed that Williams was discharged under Locke's contract with the union that the basis for his discharge constituted gross misconduct within the meaning of \$6(b) (R. 59-60). Based upon its review of the administrative record, the Board agreed (R. 68). It is from that decision that this appeal has been taken.

B. Williams was properly disqualified from receiving unemployment insurance benefits because he was discharged from his employment with Locke for gross misconduct connected with his work.

The Board found that Williams' was discharged from his employment with Locke for gross misconduct within the meaning of §6(b) which provides, in pertinent part:

. . . the term "gross misconduct" shall include conduct of an employee which is (1) a deliberate and willful disregard of standards of behavior, which his employer has a right to expect, showing a gross indifference to his employer's interest, or (2) a series of repeated violations of employment rules proving that the employee has regularly and wantonly disregarded his obligations.

The Board agreed with the Hearing Examiner that Williams' conduct met this definition of gross misconduct (R. 62).

The Maryland Court of Appeals has stated that there is no hard and fast rule to determine what constitutes

of Maryland v. LeCates, 218 Md. 202, 210, 145 A.2d 840, 844 (1959). Gross misconduct has been described as displaying "... an utter disregard for the employee's duties and obligations to his employer and ... [conduct] calculated to disrupt the discipline and order requisite to the proper management and control of the company...". LeCates, 218 Md. at 210, 145 A.2d at 841; Watkins v. Employment Security Administration, 266 Md. 223, 292 A.2d 653 (1972).

This record reveals an experienced employee who was given repeated warnings and counseling by Locke in an effort to preserve the employment relationship. In this case, on at least two occasion Hiel declined to give Williams an official warning which could have resulted in his termination (R. 16, 21). Instead, he opted for combining two warnings into one and following up that action by a letter indicating the seriousness of Williams' employment with Locke (R. 54).

The testimonial and documentary evidence produced by Locke regarding the union contract terms as well as Williams prior absenteeism and lateness and as problems with productivity was supported by Williams' own testimony (R. 38-39). Williams agreed that he was aware of the terms of the union contract, but felt that he should have suspended after his third warning, and perhaps "It might have straightened me out" (R. 39). He did agree that Locke did not, in any way, condone his behavior (Id.).

The Court of Appeals of Maryland has held that chronic absenteeism that continues in the face of warnings constitutes gross misconduct within the meaning of §6(b).

In Watkins v. Employment Security Administration, 266 Md.

223, 292 A.2d 653 (1972), the Court found gross misconduct and stated:

Absenteeism or tardiness is directly connected with an employee's work. Whether an employer may be able to have the absent employee's duties performed by others is simply not relevant to the issue whether, in the language of the act, there has been 'deliberate and willful disregard of standards of behavior. . .'. A disregard which is disruptive of discipline or destructive of morale may, in some circumstances, be as damaging as that which may be solely directed at interference with performance. 292 A.2d 65.

Even in a case in which an absence might otherwise be justifiable due to illness, failure to abide by an employer's reasonable rule regarding the notice of that absence or the reporting of a significant change in or recovery from the illness may constitute gross misconduct which is not compensable with unemployment insurance benefits. Painter v. Department of Employment and Training, 68 Md. App. 356, 511 A.2d 585 (1986).

The Board made no error of law. The issue was approached in light of the applicable statute, §6(b). The Board recognized the relevant statutory criteria for disqualification, namely, that the conduct was a series of events which showed a gross indifference to the employer's interests and that the rules violated were reasonable rules.

The Board comprehended the legal substance of the appropriate test and did not misapply its precepts to the facts. The Board was not erroneous in the conclusion that Williams' conduct constitutes gross misconduct.

Unemployment insurance benefits are intended for persons who are unemployed through no fault of their own. Section 2. In the present case, it was Williams' blatant disregard of reasonable rules, his repeated violations of the employer's policy, after written and verbal warnings, that led to his discharge. Thus, he is not one that the statute was designed to compensate. The Board acted properly in disqualifying him from the receipt of benefits.

IV. Conclusion

Based upon the aforegoing and the record as a whole, the Board's decision is correct as a matter of law and should be affirmed.

Respectfully submitted,

J. JOSEPH CURRAN. JR. Attorney General of Maryland

Assistant Attorney General

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Baltimore, Maryland 21202

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CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this 8th day of January, 1991 a copy of the aforegoing Memorandum in Support of the Board of Appeals was mailed, postage prepaid, to Phyllis A.

Hotchkiss, Esquire, Suite 5, 5900 York Road, Baltimore, Maryland 21212. AMY S. SCHERR

CHARLES WILLIAMS

vs.

* CIRCUIT COURT

CINCUIT

CORR

BALTIMORE CITY

DOARD OF APPEALS

* Case No. 90285042/CL120734

Employment Development

*

MEMORANDUM IN SUPPORT OF APPELLANT'S PETITION

NOW COMES the Appellant, Charles Williams, by his attorney Phyllis A. Hotchkiss, Esquire and presents unto this Honorable Court his memorandum as required by Maryland Rule B12 and says:

<u>ISSUE</u>: Whether the Board of Appeals, when adopting the reasoning and decision of the Hearing Examiner, erred in it's interpretation of the meaning of gross misconduct within Section 6(b) of the Maryland Unemployment Insurance Law as per prior cases when it disqualified the appellant from receiving unemployment benefits.

ARGUMENT: The appellant was employed by Locke Insulators, Inc. He is a member of the United Electrical Workers Union, Local 120. The union and management have a negotiated agreement whereby the employer has the right to discharge an employee after receiving four written warnings for whatever reason within a one year period. This right to discharge is not the same as "gross misconduct". "Gross misconduct" as defined by Article 95A Section 6(b) and case law must be found to disqualify the appellant from receiving unemployment benefits.

Article 95A Section 6(b) states "For the purposes of this article, the term "gross

misconduct" shall include conduct of an employee which is (1) a <u>deliberate and willful</u> disregard of standards of behavior, which his employer has a right of expect, showing a gross indifference to the employer's interest, or (2) a series of repeated violations of employment rules proving that the employee has regularly <u>and wantonly</u> disregarded his obligations. <u>Misconduct not</u> falling within this <u>definition shall</u> not be considered gross misconduct. (Emphasis added).

The Board of Appeals' finding of gross misconduct was based upon the appellant receiving warnings due to absences from work, inadequate job performance including inefficiency or incompetence, and incorrect use of ear protection devices.

A violation of an Employer's absenteeism policy is not misconduct per se where the policy does not distinguish between absences which occurred because of legitimate medical reasons and absences for which there was no legitimate excuse. Randall v. Nationwide Mutual Life Insurance Company, 1641-BR-82. Locke Insultors, as per testimony and their definition of absence and it's application to their progressive discipline/warning procedure does not distinguish between legitimate medical absences and absence with no legitimate excuse (pgs 16, 42, 43, 44). Three of the five warnings received by the appellant were for medically verified illnesses (pgs 25, 38 52, 54, 57). Appellant provided verification in the form of doctor's notes which were given to the employer and are no longer in the appellant's possession (pg 38). In the case of Dawson v. Allied Chemicals , 612-BR-83, where the company had a policy that absences due to illness was not excusable, the Board of Appeals ruled that absences due to illness are not misconduct. Also in_ Randall v. Nationwide Mutual Insurance, 1641 - BR-82, where the claimant was discharged for four absences caused by medically verifiable illness as a reult of the employer's policy mandating termination for three absences, the Board held that the absences were due to illness and therefore did not constitute misconduct. Therefore, the Board erred in using the warnings involving absences due to illness as elements in it's finding of gross misconduct.

The employer, as a basis for it's warning on May 21, 1990 cites as absence on 2/22/90 (court), 2/27/90 (mandatory - office of probation) and 4/6/90 (court) (pgs 25, 57, 38).

Locke Insulators Wage Management Instructions/ Attendance Control Procedures specifically excludes court appearances as absences for which discipline is received (pg 50). Therefore, the Board erred in using the warning of May 21, 1990 as an element in it's finding of gross misconduct.

The appellant received warnings on September 18, 1990 for substandard quantity output (pg 53) and on January 18, 1990 for defective workmanship (pg 54). Inadequate job performance based on inefficiency or incompetency is not gross misconduct. In <u>Chambers v. J.P. Mancini, Inc.</u> 408-BR-84, the Board held that in order for misconduct to be proven, there must be something more then proving of mere substandard performance. Where no linkage is shown between claimant's substandard performance and any misconduct or negligence, a finding of gross misconduct is not supported. In the case at bar, there is no testimony supporting misconduct or negligence relative to the appellants workmanship. Also, in the cases of <u>Aebaugh v. The Good Samaritan Hospital</u>, 186-BH-83, and <u>Raffaelle v.Mayor's Office</u>, 184-BH-84, where the claimant's errors and mistakes were attributable to a lack of competence, skill and experience, the Board held that the inability to do ones job is not gross misconduct. Therefore, the Board erred when it considered the two warnings concerning workmanship in it's decision to find gross misconduct in this case.

When finding gross misconduct, the Hearing Examiner, whose reasoning and decision was adopted by the Board stated "The most serious infraction occurred on March 21, 1990, when a MOSHA inspector cited the employer and the claimant because the claimant was observed improperly wearing ear plugs..." (pg 63). Mr. Heil, of Locke Insulators, testified that his intention was not to issue a warning notice as a result of this incident. Mr. Heil explained that in

good faith be would not issue the warning notice because upon his return to the department he observed "another individual without his earplugs in. I did'nt think at that time maybe it was strictly fair to this individual [the appellant] [to] single him out". (pg 21) The appellant, who was wearing his ear plugs, was not acting deliberate, wanton or willful when his ear plugs were inserted incorrectly. The employer accepted more grievous behavior by another employee. The Board erred in it's decision to consider this incident in it's finding of gross misconduct based upon the employers own acts and admissions.

<u>CONCLUSION</u>: The employer's policy of termination after four warnings is not equivalent to a finding of gross misconduct necessary to disqualify the appellant from receiving benefits.

After a careful review of the applicable case law and its application to the testimony upon which the Hearing Examiner and Board relied, it is clear that the appellant's conduct was not a deliberate and willful disregard of standards of behavior or regular and wanton disregard of his obligations; therefore the appellant did not exhibit gross misconduct as defined by Section 6(b) and should not be disqualified from receiving his unemployment benefit.

Respectfully submitted,

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Baltimore, Maryland 21212

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Attorney for the Appellant

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this $/\%^{\mu}$ day of December, 1990, a copy of the aforegoing Memorandum In Support of Appellant's Petition was mailed to J. Joseph Curran, Jr, Lynn Weiskittel and Amy Scherr, Office of the Attorney General, State of Maryland Department of Economic and Employment Development, 217 East Redwood Street, Room 1101, Baltimore, Maryland 21202.

Physics C. Lewiss

Phyllis A. Hotchkiss, Esquire

FILED

NOV 16 1990

CHARLES WILLIAMS

vs,

LOCKE INSULATORS, INC.

and

BOARD OF APPEALS Department of Economic and Employment Development



CIRCUIT COURT FOR

- * CIRCUIT COMPREMORE CITY
- * FOR
- * BALTIMORE CITY
- * #90285042/CL120734

*

ANSWER

The Board of Appeals, Department of Economic and Employment Development, in response to Appellant's Petition states:

- 1. That it denies the allegation in said Petition.
- 2. That pursuant to Section 7(h), Article 95A, Maryland Annotated Code, the jurisdiction of the court is confined to questions of law, and this is not a trial de novo.
- 3. That the findings of the Board of Appeals are supported by competent, material and substantial evidence and, there being no allegation of fraud, in accordance with Section 7(h), supra, such findings are conclusive.

WHEREFORE, the Board of Appeals prays that its decision be affirmed.

Respectfully submitted,

J. JOSEPH CURRAN, JR. Attorney General of Maryland

Amy S. Scheri

Assistant Attorney General

2ym Whiskittel

Lynn Weiskittel
Assistant Attorney General
217 East Redwood Street
11th Floor
Baltimore, Maryland 21202
Telephone: (301) 333-4813

CERTIFICATE OF COMPLIANCE

Appellee, Board of Appeals, Department of Economic and Employment Development by its attorney Amy S. Scherr and pursuant to Maryland Rule B2 d, hereby certifies that a written notice of Appellant's appeal, a copy of the appeal, and a copy of the petition were mailed, postage prepaid, to Locke Insulators, Inc., 2525 Insulator Drive, Baltimore, MD 21230.

Respectfully submitted,

Amy S. Scherr

Assistant Attorney General

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this 14th day of November, 1990, I mailed a copy of the aforegoing Answer to Phyllis A. Hotchkiss, Attorney for Appellant, 5900 York Road, Suite 5, Baltimore, MD 21212 and to Locke Insulators, Inc., 2525 Insulator Drive, Baltimore, MD 21230, coAppellee herein.

Amy S. Scherr

PHYLLIS A. HOTCHKISS, ESQUIRE 5900 YORK RD, SUITE 5 BALTIMORE, MD. 21212

AMY S. SCHERR
ASSISTANT ATTORNEY GENERAL
217 E. REDWOOD ST. ROOM 1101
BALTIMORE, MD. 21202



NOTICE SENT IN ACCORDANCE WITH MARYLAND RULE B-12				
CHARLES WILLIAMS	Docket:			
уз.	Folio:			
	ECONOMIR 90285042/CL12073 T STATE Date of Notice: 11/20/90			
STATE OF MARYLAND, ss:				
I HEREBY CERTIFY, That on the	15TH day of NOVEMBER			
	ETY, I received from the Administrative			
Agency, the record, in the above caption	ned case.			
	SAUNDRA E. BANKS, Clerk Circuit Court for Baltimore City			
CC-39				
NOTICE SENT IN ACCORDANCE V	VITH MARYLAND RULE B-12			
CHARLES WILLIAMS VS. BOARD OF APPEALS DPT OF ECONOMICS & EMPLOYMENT DEVELOPMENT OF MARYLAND, et al	Folio: CONOMINE:90285042/CL120734 STATE Date of Notice: 11/20/90			
STATE OF MARYLAND, ss: HEREBY CERTIFY, That on the 16	TH day of NOVEMBER , I received from the Administrative			
Agency, the record, in the above captioned	case.			
Wanely management	DANKS Clerk			

SAUNDRA E. BANKS, Clerk
Circuit Court for Baltimore City

CC-39

J. JOSEPH CURRAN, JR.
Attorney General

JUDSON P. GARRETT, JR. DENNIS M. SWEENEY Deputy Attorneys General

NORMAN E. PARKER, JR. Assistant Attorney General Counsel to the Department

LAILA K. ATALLAH
Assistant Attorney General
Deputy Counsel to the Department

OFFICES OF THE ATTORNEY GENERAL



AMY S. SCHERR
BARBARA G. SWAIN
BARBARA CURNIN KOUNTZ
ELIZABETH S. ROESE
LYNN M. WEISKITTEL
JAMES G. DAVIS
SHEILA McDONALD GILL
ILENE S. GARTEN
ANITA E. HILSON
ASSISTANT ATTURGES GEOGRA

(301) 333-4813 Fax: (301) 333-8298

STATE OF MARYLAND

DEPARTMENT OF ECONOMIC AND EMPLOYMENT DEVELOPMENT

217 EAST REDWOOD STREET — ROOM 1101

BALTIMORE, MARTEAND 21202

mbel 15, 1990

NOV 15 1990

Charles Williams CIRCUIT COURT FOR c/o Phyllis Hotchkiss, Esquire BALTIMORE CITY 5900 York Road Suite 5
Baltimore, MD 21212

Re: #90285042/CL120734

Dear Mr. Williams:

Enclosed is a copy of the administrative record before the Board of Appeals in the above-captioned appeal. This record has been filed with the Clerk of the Circuit Court for Baltimore City.

Maryland Rule B12 requires that you file with the Court a Memorandum setting forth a concise statement of the issues raised by your appeal and legal arguments in support of your position, referencing the enclosed record. The rule provides a thirty (30) day period for filing the Memorandum. The period begins when you receive notification from the Clerk of the Court that the record has been filed. A copy of the Memorandum you filed with the Clerk of the Court must be sent to this office.

Please be further advised that unless a memorandum is filed with the Court in accordance with Rule B12, the Board of Appeals will file a Motion to Dismiss your appeal.

Sincerely,

Assistant Attorney General

AS:dw Enclosures

cc: Saundra E. Banks, Clerk Locke Insulator, Inc.

P.S. - Clerk: Please file the original Administrative

Record attached hereto.

AN EQUAL OPPORTUNITY EMPLOYER

Rule B12. Memoranda.

Within 30 days after being notified by the clerk of the filing of the record, the appellant shall file a memorandum setting forth a concise statement of all issues raised on appeal and argument on each issue, including citations of legal authorities and references to pages of the transcript and exhibits relied on. Within 30 days thereafter any other party desiring to be heard, including the appropriate agency when entitled by law to be a party to the appeal, shall file an answering memorandum in the same form. The appellant may file a reply memorandum within 15 days after the filing of any answering memorandum. This Rule shall not apply to appeals from the Workmen's Compensation Commission.

(Added Oct. 1, 1980, effective Jan. 1, 1981.)

CHARLES WILLIAMS

vs.

LOCKE INSULATORS, INC.

and

BOARD OF APPEALS
Department of Economic and
Employment Development

* IN THE

* CIRCUIT COURT

* FOR

* BALTIMORE CITY

* #90285042/CL120734

*

RECORD BEFORE THE

DEPARTMENT OF ECONOMIC AND EMPLOYMENT DEVELOPMENT

BOARD OF APPEALS



William Donald Schaefer Governor I. Randall Evans Secretary

Board of Abbeals 1100 North Eutaw Street Baltimore, Maryland 21201

Telephone: (301) 333-5033

CHARLES WILLIAMS

vs.

LOCKE INSULATORS, INC.

and

BOARD OF APPEALS Department of Economic and Employment Development

RECORD BEFORE THE

DEPARTMENT OF

ECONOMIC AND EMPLOYMENT

DEVELOPMENT

9008848 APPEAL NO.

THIS IS TO CERTIFY that the following is a true copy, to the best of our knowledge, of all documents and papers, and transcript of all testimony taken in the matter, together with findings of fact and decision therein, this Morenles , 1990.

> STATE OF MARYLAND DEPARTMENT OF ECONOMIC & EMPLOYMENT DEVELOPMENT

BY:

Zimmermann, Appeals Counsel

BOARD OF APPEALS

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STATE OF MARYLAND DEPARTMENT OF ECONOMIC AND EMPLOYMENT DEVELOPMENT OFFICE OF UNEMPLOYMENT INSURANCE

FACT FINDING REPORT

Date Conducted	Unresolved Issue (HO2)
Obcaine Will'a 10	_
	Create and Resolve Issue (HO3)
Social Security Number 21960 9622	Redetermination/Corrected Determination (HO5)
Issue: Discharge or Suspension From Work	
CLAI	MANT'S STATEMENT
Claimant present? YES NO If no, haw contacted?	
Name of employer Licito In Subotto	Name of immediate supervisor. CAIVII (Lillice)
Name and title of person who notified you of your discharge:	Wilkens Suspice 1800
What reason were you given for your discharge? Descared Of	niscing time.
Did you agree with this reason? YES NO DIN If no, explain:	Was and 5/14 \$15 des to having good To
DRIOR ABSERCE 11:00 M 2/20	JOO AN COURT I Was summered to >
In relation to the reason for discharge, did you receive any:	-1
verbal warnings? YES ① NO ☐ How many?	I Date of most recent warning: 2490
written warnings? YES 🗌 NO 🗍 How many?	Date of most recent warning:
suspensions from work? YES NO How many?//	Date of most recent suspension:
Did you protest your discharge? YES U NO [
Additional information: 020002117 Chick & Ma	a showy warle the surrenters of asked in
adjust for the time off	
Cimpanus policus is 4	at we are until allowed to lave one
OCCURATE WITHIN ON 13 WED	ok penaltis.
I have fixed a arie	classe with the union and am
waiting to see what history	,
Are you able, available and actively seeking full-time work? YES \ NO	□ Hac avalain
Are you able, available and actively seeking full-time work: TES 1	If no, explain:
CLAIMANTS REBUTTAL THE WARNINGS I	necessed in 89 weeps outer to me at the
Smil tine & Red & tomfrey &	DEPUISEN. INFORMED ABILIA BOLLA LOS
take these of mil second is	nce T wasn't teemed propoplist Time
Mandespiel 40 Another Violet	must
The same of the sa	4 / 194
I have read and hereby affirm under penalties of perjury that the aforegoing inform	nation is true and correct to the best of my knowledge/information/and belief

DEED/OUT 221 (6BC) (Revised 11-89) (Side 1)

FACT FINDING REPORT

			EMP	LOYER'S STATEMENT		
Name of employer/company: Separation notice received:	Locke Jes	Insul	atoes W)(Employer present? YES NO C
nation Lopes	. PRIDGED		1.6189 0/25		<u>1:8189 unsetz</u>	Actual Work
performance 13	9' 1 B191			STAIRD OLDERAY	foim.	
Employer contacted by phone? Name of company officer:	? YES□ N	O □ Telephone Nu ゴル	umber: <u>علام</u>		enposi. M	a.2.
Claimant present when telepho		was received?	YES NO	FOSITION/ Unit)
Was the claimant discharged f	or a violation o	f written company	policy? YES 🗆	NO 🗆 If yes, specify:		
		<u> </u>				-
In relation to the reason for dis	scharge, was th	e claimant:				
warned verbally?	WES -	NO 🗆 How	many times?	Dates of warnings: _		
wamed in writing?	YES		many times?	<u> </u>		
suspended from work? Additional information:	YES 🗆	NO 🗆 How	many times?	Dates of suspensions:		
ify wrigh	cirtae	of stai			INES & WERE	ing nation in one ul
have marten	ovnatio	Swan	· Grais	missal he a	occurd 4 A a	deally stourd
	Con sa	vee red	10 5/10 x	S I MAN MACH	excuse for	2/27/90
469 120 d	10 170 1 L to see	dia un	when office	<u> 0.17 2/02/9</u>	O DEXIST OFF	10000000 2/27/90
If the reason for discharge was		r latenece:	,		1 ,	
Number of days absent:		Dates: 💆	10-15/90	4/6/90 2/22/0	0 82127190	
Number of days late:		Dates:				
Was the company prope Was medical certificatio						
Were the absences/later				piani.		
			ADDI	TIONAL INFORMATION		
Ur 2131190		10 100	received_	or when we		FRO MY USCOSING
San broketo	11CO 834	hon Coff	A Gard	us for but h	edd perculo	13-14N 1814.
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						1.1.0790
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			BENI	EFIT DETERMINATION		1 112 2 11 34
ssn 219	66	96	82	Name Check	C WIL	
Sequence Number:	Issue	Code 57	Progra	m: O O Java:		
Resolution Code: 50) Pena	aity?	Count?	Time Lapse:		
Statement Number: 5	07	Text i	Date 5	a11910	Examiner ID:	12416
Employer Number: 3 9	09	975		Non-Charge?	Non-Charge Start Date:	
Start Date:		Dis	q. Weeks:	OP Source:	OP Fault:	
Redet/Corr. Det. Reason:				Orion.	Autoria	
Date Completed ()	17/	Claims	Examiner:	(als)	xxxyax	
DEED/OUI 221 (6BC) (Revised 1	1-89) (Side 2)		4	• •		

OFFICE OF UNEMPLOYM STATE OF MARYLAND		REQUEST FOR SEPARATION IN		LOCAL OFFICE
The claimant whose nam Please answer the ques if this form is returned to	ne is shown below has filed a claim for Unemployment Insurance Benef tions below, sign and mail one copy of this form in the enclosed enve late. NOTE: The law provides penalties for false statements.		5 will be assessed	
SOCIAL SECURITY NO.	EMPLOYEE'S INITIALS AND LAST NAME OTHER LAST NAME	EMPLOYER ACCOUNT NO	EFFECTIVE DATE OF CLAIM RUN DATE	DOE DATE
219-66-9682		39099756/		15/90 06/25/90
REASON FOR SEPARATION	CLAMANT:	S FIRST DAY OF WORK CLAIMANT'S LAST DAY OF	F WORK	of malochity the
1. LAYOFF (10 WEEKS OR LES	SSI (97) EXPECTED DATE OF RETURN	19 88 05/21	YOUR FORMER EMPLOY	ER HAS PLEDA CHAIN OR UI BENEFITS A RESULT WAGES ARE BEING TRANS
2 LACK OF WORK (99)	3 OUT (30)		LEERRED OUT OF-STATE	MD HAS NO JURISDICTION OVER THIS MAY BE CHARGED FOR BENEFITS PAID
4. DISCHARGED (50)	5. VACATION SHUT DOWN (28) STAFT DATE END DATE		NOTE If the engaration	information by an year give of this notice
	s claimant have a written, verbal he/she will be returning to substantially 7 LABOR DISPUTE (29) 8 OTHER		differs substantially from	that given by your former amployee you
Cross Musco	when school resumes? Yes_No_ xduct - Warning notice Procedure		Hearing for the pulcond rendered may result in cl claimant.	and a Pre-determination or Pre-termination or presidence this dispute. The decision are to be paid the
(848-89 alise	nteeson (2) 9-18-89 Unsatisfactory Work	O Performance EMPLOYER	Ul Camain.	FICE
PERIOD SINCE THE LAST	DAY WORKED HAS THE CLAIMANT (3) 1-18-90 alisentecism (4)	95 A 90 alisesteers	7) Taigus 67000 7410	
1. PENSION, OR ANY OTHER RETIR		DATE PAID LUCKE	INSULATORS INC	
PER MONTH 8	DATE 5. VACATION PAY \$	DATE PAID		
LUMP SUM S	io localita	DATE PAID 2525	INSULATOR DR	
DID THE CLAMANT CONTRIBUTE		BALTO		MD 21230-0000
2. PROPIT SHARING AMT. S	DATE PAID	RETURN TO LC	DCAL OFFICE # 02	
3. BONUS OR SPECIAL PAYMENT S CLAMANT'S WEEKLY WAGE CL	DATE PAID AMANUS HOURLY RATE DID THE CLAIMANT WORK ALL AVAILABLE HOURS DURING THE CALENDAR W	DEEN/	OFFICE OF UNEMP	LOYMENT INS.
360.92	9.023 DAY OF WORKS THES TWO IF NO.		BURNIE LOCAL OF	
TRADE NAME OF EMPLOYER	ENTER THE AMOUNT OF THE CLAMMANT'S EARNINGS FOR THE CALENDAR WEEK WHICH INCLUDES THE LAST DAY OF WORK \$	P. C.	BOX 284	
Locke clasu	VALICATION LIDES THE LAST DAY OF WORKS NOTE: CALENDAR WEEK BEGINS SUNDAY, ENDS SATURDAY	13310 GLEN	BURNIE, MD 2106	1
SIGNATUR Rolly	N Novak me Yayall (leck	SEE LOCAL OFFIC	E INFORMATION ON REVERSE SIDE
DATE	6-21-90 TELEPHONE NO 347-	1970	022 200	OUI 207 (Revised 1/88) [MABS) SIDE 1

SEE LOCAL OFFICE INFORMATION ON BEVERSE SIDE DEED OUI 207 (Revised 1/88) [MABS] SIDE 1

NOTICE TO APPEALS DIVISION OF LOWER APPEAL

SSN: 219 66 9682 DATE RECEIVED/TAKEN BY LO: 07/05/90 ENTRY DATE: 07/05/90

LO: 02 PROGRAM TYPE: 00 BYB: 06/10/90 SPECIALIST ID: 02416

DATE OF APPEAL: 07/05/90 APPEAL DEADLINE: 07/11/90 TIMELY APPEAL? Y

LATE APPEAL REASON:

APPELLANT: EMPLOYER MULTIPLE APPEALS? N TYPE OF APPEAL: INTRASTATE

DISCHARGED FROM EMPLOYMENT WBA: \$181-00

COMMENTS: NOTE EMPLOYER CLOSED 7-23THRU8-5-90 DO NOT SCHEDUL

TELEPHONE: 301 727 5311 CLAIMANT: CHARLES A WILLIAMS

ADDRESS: 1518 BELT STREET

BALTIMORE MD 21230-0000

EMPLOYER: LOCKE INSULATORS INC TELEPHONE: 301 752 8020

ADDRESS:

2525 INSULATOR DR

BALTO MD 21230-0000

REPRESENTATIVE: REPRESENTATIVE ADDRESS: REPRESENTATIVE TELEPHONE:

~3848 Et

BENEFIT DETERMINATION

THE CLAIMANT WAS DISCHARGED OR SUSPENDED AS A DISCIPLINARY MEASURE BY THE EMPLOYER, LOCKE INSULATORS INC ON 05/21/90 BECAUSE OF EXCE ABSENTEEISM. INFORMATION HAS BEEN PRESENTED, HOWEVER, SHOWING THAT THE ON 05/21/90 BECAUSE OF EXCESSIVE CLAIMANT'S ABSENCES WERE OF A COMPELLING AND NECESSITOUS NATURE. AS A RESULT, THE CIRCUMSTANCES SURROUNDING THE SEPARATION DO NOT WARRANT A DISQUALIFICATION UNDER SECTION 6(8) OR 6(C) OF THE MARYLAND UNEMPLOYMENT INSURANCE LAW.

(X) BENEFITS ARE ALLOWED.

() BENEFITS ARE DENIED WEEK BEGINNING AND FOR THE WEEKS ENDING

() BENEFITS ARE DENIED WEEK BEGINNING AND UNTIL THE CLAIMANT BECOMES REEMPLOYED AND EARNS AT LEAST TEN (10) TIMES HIS/HER WBA

() BENEFITS ARE DENIED WEEK FROM TO

() BENEFITS ARE DENIED WEEK BEGINNING UNTIL MEETING REQUIREMENTS OF THE LAW.

() AS A RESULT OF THIS DETERMINATION, THE CLAIMANT IS FOUND TO HAVE RECEIVED BENEFITS FOR WHICH HE/SHE WAS INELIGIBLE. THIS CREATES AN OVERPAYMENT WHICH MUST BE REPAID.



July 2, 1990

State of Maryland
Department of Economic and Employment Development R
Office of Unemployment Insurance
Glen Burnie Local Office
P.O. Box 284
Glen Burnie, Maryland 21061

Re: Charles A. Williams

To Whom It May Concern:

On behalf of Locke Insulators, Inc. I am formally requesting an appeals hearing concerning the award given to our former employee Charles A. Williams, (SS# 219-66-9682) on June 26, 1990 by Specialist I.D. 02416.

Our plant will be closed down from Try 23, 1990 thrul August 5, 1990 for our vacation period.

Respectfully,

W.F. Hiel

Employee Relations Manager

WFH/bk

DEPARTMENT OF ECONOMIC AND EMPLOYMENT DEVELOPMENT

STATE OF MARYLAND APPEALS DIVISION - ROOM 511 1100 NORTH EUTAW STREET BALTIMORE, MARYLAND 21201 333-5040 OUTSIDE OF BALTIMORE: 1-800-492-2137

APPEAL HEARING NOTICE

Claimant's Name

Employer's Name

Date Mailed

Appeal No.

SS No.

CHARLES A WILLIAMS

3.0

LOCKE INSULATORS INC.

07/06/90

9008848

219-66-9682

Appellant:

EMPLOYER

Local Office No.

EDT

002

A hearing on this appeal will be held before the Hearing Examiner on

JULY, 18 1990

at 10:00

(Please be on time)

JULY, (EIGHTEENTH) 1990

HEARING LOCATION GLEN BURNIE U.I. OFFICE 7500 RITCHIE HIGHWAY

Hearing Examiner:

JUDY-LYNN GOLDENBERG

you may be required to pay back some or all of the benefits received.

RM 305 GLEN BURNIE, NO 21061

NOTICE TO PARTIES: If you have already received benefits, a partial or total disqualification may be imposed by the Hearing Examiner. If this occurs,

CHARLES A WILLIAMS 1518 BELT STREET BALTIMORE, MD 21230

AN ISSUE THAT MAY BE RULED ON BY THE HEARING EXAMINER.

WHETHER THE CLAIMANT IS ABLE, AVAILABLE AND ACTIVELY SEEKING WORK WITHIN THE MEANING OF SECTION 4(C) OF THE LAW IS ALWAYS

See the other side of this notice for important information.

THIS HEARING IS THE LAST STEP AT WHICH EITHER THE CLAIMANT OR THE EMPLOYER HAS THE ABSOLUTE RIGHT TO PRESENT EVIDENCE. THE DECISION WILL BE MADE ON THE EVIDENCE PRESENTED. THE DECISION WILL AFFECT THE CLAIMANT'S CLAIM FOR BENEFITS, AND IT MAY AFFECT THE EMPLOYER'S CONTRIBUTION TAX RATE OR REIMBURSEMENT ACCOUNT.

PLEASE BRING THIS NOTICE WITH YOU.

Issue:

WHETHER THE CLAIMANT WAS SUSPENDED OR DISCHARGED FOR MISCONDUCT, OR GROSS MISCONDUCT, WITHIN THE MEANING OF SECTION 6(B) OR 6(C) OF THE LAW. (SECTION 6(A) MAY ALSO APPLY. SEE OTHER SIDE FOR SECTION 6 ISSUES.)

DEPARTMENT OF ECONOMIC AND EMPLOYMENT DEVELOPMENT

STATE OF MARYLAND APPEALS DIVISION - ROOM 511 1 100 NORTH EUTAW STREET BALTIMORE, MARYLAND 21201 333-5040

OUTSIDE OF BALTIMORE: 1-800-492-2137

APPEAL HEARING NOTICE

Claimant's Name

Employer's Name

Date Mailed

Appeal No.

SS No.

CHARLES A WILLIAMS

LOCKE INSULATORS INC.

07/06/90

9008848

219-66-968

Appellant:

EMPLOYER

Local Office No.

002

A hearing on this appeal will be held before the Hearing Examiner on

JULY, 18 1990

at 10:00

EDT

(Please be on time)

JULY, (EIGHTEENTH) 1990

HEARINGLECATIONNIE U.I. OFFICE 7500 RITCHIE HIGHWAY RM 305

Hearing Examiner:

JUDY-LYNN GOLDENBERG

GLEN BURNIE, MD 21061

NOTICE TO PARTIES: If you have already received benefits, a partial or total disqualification may be imposed by the Hearing Examiner. If this occurs, you may be required to pay back some or all of the benefits received.

Mail To: LOCKE INSULATORS INC.

THIS HEARING IS THE LAST STEP AT WHICH EITHER THE CLAIMANT OR THE EMPLOYER HAS THE ABSOLUTE RIGHT TO PRESENT EVIDENCE. THE DECISION WILL BE MADE ON THE EVIDENCE PRESENTED. THE DECISION WILL AFFECT THE CLAIMANT'S CLAIM FOR BENEFITS, AND IT MAY AFFECT THE EMPLOYER'S CONTRIBUTION TAX RATE OR REIMBURSEMENT ACCOUNT.

2525 INSULATOR DRIVE BALTIMORE, ND 21230 WHETHER THE CLAIMANT IS ABLE. AVAILABLE AND ACTIVELY SLEKING WORK WITHIN THE MEANING OF SECTION 4(C) OF THE LAW IS ALWAYS AN ISSUE THAT MAY BE RULED ON BY THE HEARING EXAMINER.

See the other side of this notice for important information.

PLEASE BRING THIS NOTICE WITH YOU.

issue:

WHETHER THE CLAIMANT WAS SUSPENDED OR DISCHARGED FOR MISCONDUCT, OR GROSS MISCONDUCT, WITHIN THE MEANING OF SECTION 6(B) OR 6(C) OF THE LAW. (SECTION 6(A) MAY ALSO APPLY. SEE OTHER SIDE FOR SECTION 6 ISSUES.)

DEPARTMENT OF ECONOMIC & EMPLOYMENT DEVELOPMENT 1100 NORTH EUTAW STREET BALTIMORE, MARYLAND 21201

TRANSCRIPT OF TESTIMONY

Heard before

Judy-Lynn Goldenberg

Hearings Examiner

in the case

Charles A. Williams 1518 Belt Street Baltimore, MD 21230 S.S. #219-66-9682

Appeal #9008848

Locke Insulators, Inc. 2525 Insulator Drive Baltimore, Maryland 21230

APPEARANCES

Charles A. Williams - claimant

William Hiel - Employee Relations Manager Kelvin Wilkens - Foreman Steven Wright - Observer

Judy-Lynn Goldenberg Hearings Examiner

TIME:

10:00 a.m.

DATE:

July 18, 1990

PLACE:

Glen Burnie, MD

TRANSCRIBED BY: ALICE MARIE BROGDEN

This is the claim of Charles Williams. The employer is Locke Insulators, Inc. The appeal number is 8848. We're here on an appeal by the employer, which was filed in a timely manner on July 5th. The deadline was July 11th. The employer is appealing a determination by the Glen Burnie local office, which allowed benefits to Mr. Williams. They found he was discharged as a disciplinary measure on May 21st because of excessive absenteeism. However, they found that information was presented by the claimant showing that his absences were compelling and necessitous. And, as a result, they found no misconduct or gross misconduct under either Section 6(b) or Section 6(c). Claimant is here to protect his benefit rating. And the employer has brought with it three witnesses: William F/ Hiel, Employee Relations Manager; Calvin Wilkens, Foreman of the Assembly Department and Hardware; and Steven Wright is an observer. Gentlemen, I'll take testimony under oath. Would you raise your right hands if you intend to testify. OATH ADMINISTERED

All Parties: Yes.

Hearings Examiner: Okay. Thank you. Mr. Williams,

according to my records, your first day

of work was October 19th, 1988. Is that

correct?

Mr. Williams: Right.

Hearings Examiner: And I have as your last day of work May

21st, 1990. Is that correct?

Mr. Williams: Right.

Hearings Examiner: And at that time, your rate of pay was

\$9.00 and - I don't know whether it was
\$9.02 or \$9.23 an hour.

No. His earnings, and this is off the

payroll records recently, were \$9.31...

Hearings Examiner: Is that correct?

Mr. Hiel: ...\$9.32 an hour.

Mr. Williams: Right.

Mr. Hiel:

Hearings Examiner: \$9.32?

Mr. Hiel: \$9.316.

Hearings Examiner: Okay. And was this for a full time job,

sir?

Mr. Williams: Yes.

Hearings Examiner: What did you do for the company?

Mr. Williams: I worked in the assembly department.

Hearings Examiner: What kind of a business is this?

Mr. Williams: They make insulators.

Hearings Examiner: Okay. Are you working now?

Mr. Williams: No.

Hearings Examiner: Did you quit your job or did they fire

you?

Mr. Williams: They fired me.

Hearings Examiner: We call that a discharge. In a

discharge, we hear from the employer

first for the reason or the reasons that

you were let go. Mr. Hiel and Mr.

Wilkens will testify. After Mr. Hiel

finishes, you can ask him questions if

you have any. And after Mr. Wilkens finishes, you can ask him questions. Then you can tell me your side of the story. And when you finish, then they have the right to ask you questions.

Okay?

Mr. Williams:

Okay.

Hearings Examiner:

Mr. Hiel, who will be your first witness?

I will be.

Hearings Examiner:

Can you tell me the reason or reasons

this gentleman was let go?

Mr. Hiel:

Mr. Hiel:

In our union - company union contract

since 1951...

Hearings Examiner:

Who's the union?

Mr. Hiel:

UE local 120.

Hearings Examiner:

Go ahead.

Mr. Hiel:

...It stipulates that any individual who

receives four written warning notices

within a one year period, results in

automatic termination of employment.

Hearings Examiner:

For any reasons?

Mr. Hiel:

For any reasons, combination thereof. We

have - These come under what we call

minor offenses. We do have, what we say,

gross misconduct or major offenses which

result in immediate termination.

However, under his circumstances, he came

under the four warning notice routine.

Hearings Examiner: Now, this was a contract that was

 $negotiated \ between \ the \ management \ at$

Locke and the union leadership?

Mr. Hiel: Correct.

Hearings Examiner: And is the claimant a member of the

union?

Mr. Hiel: He is.

Hearings Examiner: Uhm um. Go ahead.

Mr. Hiel:

Uh, Mr. - It's stipulated, I believe, in that write-up that he was discharged the

way I read it strictly for absenteeism.

It's an accumulation - He received four

warning notices.

Hearings Examiner: Okay. Let's hear about them. Are you

gonna be submitting them?

Mr. Hiel: Yeah. I will submit them for his

review. The first warning notice that he

received was on 8/18/89 for

absenteeism. I have a copy of the

company's attendance control procedures

that I will submit to you, that

stipulates the conditions they must

adhere to, all employees. If you're

absent two days within a thirteen week

period, that is grounds for "a warning

notice." If you are tardy twice and

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absent once, it's grounds for a warning notice.

Hearings Examiner:

What happened in his case?

Mr. Hiel:

He had missed two days in another - he worked in another department at that time. He received a warning notice on 8/18/89, for which he signed...

Hearings Examiner:

Two days within how many days?

Mr. Hiel:

Within a thirteen week period. I don't know exactly - This would be within a

thirteen week period.

Hearings Examiner:

Even if he has doctor's notes for these

absences?

Mr. Hiel:

Yes, maam. An absence is an absence.

These were not accompanied by a doctor's

notice. If it is, it's so stipulated in

the warning notice. And...

Hearings Examiner:

Are you gonna submit this?

Mr. Hiel:

Pardon?

Hearings Examiner:

Are you gonna submit this?

Mr. Hiel:

Yes, maam.

Hearings Examiner:

Well, let's get it as you go. As you go,

I'd like the exhibits, okay.

Mr. Hiel:

Well, I'll have to start...

Hearings Examiner:

Makes my life simpler.

Mr. Hiel:

I was just gonna give you the whole file.

Hearings Examiner:

No. I want them individually, please.

It's easier for me to follow along what's happened cause you're familiar with these documents. Unfortunately, I am not.

If you would care to have the first sheet of it, that may be - that's information of his hire date and...

Hearings Examiner:

Okay. I already...

Mr. Hiel:

Mr. Hiel:

...(inaudible).

Hearings Examiner:

...have that. Okay. You can keep that.

Mr. Hiel:

This would be the table of contents of everything you will receive.

Hearings Examiner:

Okay.

Mr. Hiel:

If you'd like to have that.

Hearings Examiner:

That's fine.

Mr. Hiel:

What I was going to - Upon hiring, every individual, as far as their orientation program, receives the company's Code of Conduct, which stipulates in there the warning notice procedure. I have the appropriate numbers marked there for you.

Hearings Examiner:

Okay. Employer's Exhibit 1 is a table of contents of what I will be receiving, accompanied by a Locke Insulators' Code of Conduct...

Mr. Hiel:

Each employee receives that during his orientation that I personally give to him.

Hearings Examiner:

Okay.

Mr. Hiel:

They also receive...

Hearings Examiner:

Are you familiar with this Code of

Conduct, sir?

Mr. Williams:

Yes.

Hearings Examiner:

Okay. Go ahead.

Mr. Hiel:

They also receive this company's wage management instruction concerning the attendance control procedures. Each employee received that.

Hearings Examiner:

Which is a policy about calling in...

Mr. Hiel:

Right. And it spells out...

Hearings Examiner:

...and absences?

Mr. Hiel:

Right.

Hearings Examiner:

Employer's 2 - Hold on a minute - three pages - is an attendance control procedures policy. Are you familiar with

this, sir?

Mr. Williams:

Yes.

Hearings Examiner:

Go ahead.

Mr. Hiel:

Each employee, after the orientation session, signs what we call the Early Addition to Payroll Checklist, that they have received such information and it has been explained to him and they understand the procedures.

Hearings Examiner:

Employer's 3 is a sign-off sheet, one

page. Mr. Williams, is this your signature?

Mr. Williams:

Yes.

Hearings Examiner:

Go ahead.

Mr. Hiel:

Then he received the first warning notice on 8/18/89.

Hearings Examiner:

Okay. And this...

INTERRUPTION

Hearings Examiner:

...this is for...

Mr. Hiel:

That was his first warning notice.

Hearings Examiner:

Employer's 4, one page. He missed an absence on 7/21 and 8/18. And he signed the warning. Go ahead.

Mr. Hiel:

This is the second warning notice he received for poor workmanship.

Hearings Examiner:

What date?

Mr. Hiel:

9/18/89.

Hearings Examiner:

Specifically, what was the problem with his workmanship?

Mr. Hiel:

Rejects in a number of pieces that he assembled.

Hearings Examiner:

Employer's 5. It's a one page document, again, signed by Mr. Williams. I should note that on these warning notices, there is a place for employee comments. And so far, on Exhibits 4 and 5, there were no comments by Mr. Williams. Do you recall

this warning, sir?

Mr. Williams:

Yes. This was in the other department where I wasn't properly trained.

Hearings Examiner:

Okay. I'll hear from you about that when it's your turn. Okay.

Mr. Hiel:

This is a warning notice here that was issued on 1/18/89...

Hearings Examiner:

1/18/89?

Mr. Hiel:

I mean, excuse me, 1/18/90.

Hearings Examiner:

Okay.

Mr. Hiel:

You'll note on this warning notice here, which Mr. Williams will be familiar with this, there were two warning notices that were gonna be issued that same date. they been issued, he would have been terminated because it would have been his third and fourth. The one I - The foreman, Mr. Wilkens, came up and told me about it. I reviewed it. And he had brought to my attention, along with the union shop steward, that if we were to issue two warning notices on this date, it would be his termination of employment. I reviewed the situation. The one was for absenteeism, the one warning notice. The second one was gonna be issued because of the amount of

defects that he assembled that day. had thirty-three rejects because of crooked caps. I took in consideration the young man's starting out, to give him a break. So I told the foreman and told the union representative, I'll tell you what I'll do. Give him a break. And I said, we'll combine the two items into one warning notice. The shop steward signed it. We made the notation, which Mr. Williams is familiar with. note: "To prevent discharge, Items 1 and 2 are combined into one warning notice." Employer's 6 is a two page exhibit, which is the warning of 1/18/90, third warning notice and a note, combining the notices, which was acknowledged by the shop steward...

Hearings Examiner:

Mr. Hiel:

Hearings Examiner:

Mr. Hiel:

Hearings Examiner:

That happened to be the reject, uh - I'll - If I could have that back when we're done....

This?

... That's our official record of the work that he performed that day.

Okay. I'm just gonna make it a one page exhibit, then. You can have this back. I don't need this.

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Mr. Hiel:

Okay. Thank you.

Hearings Examiner:

Are you familiar with this warning notice, sir?

Mr. Williams:

Yes.

Hearings Examiner:

Okay. And, go ahead, sir.

Mr. Hiel:

In addition to that warning notice he received on that day, I wrote him a personal letter, calling to his attention the seriousness of his situation and that he should take some positive steps to correct whatever his problem was. This is a copy of the letter that I sent him.

Hearings Examiner:

Employer's 7, one page exhibit from Mr. Hiel. It's a form letter but it pertains to the fact that he's on the edge of hire in the sense that he is close to being discharged. Did you receive a copy of this letter?

Mr. Williams:

Yes.

Hearings Examiner:

Okay. Go ahead.

Mr. Hiel:

On 3/21/90, we have a MOSHA inspection of our plant...

Hearings Examiner:

For the record, would you explain what MOSHA is?

Mr. Hiel:

It's a safety inspection conducted by the State of Maryland for a full compliance of the federal rules and regulations

under OSHA. The MOSHA inspector came
in. There are certain designated areas
where we have requirements for the
wearing of personal, protective
equipment, such as safety glasses,
hearing protection, respirators....

Hearings Examiner:
Mr. Hiel:

This is required by MOSHA?

By MOSHA. The areas had been designated on a prior inspection by the inspector.

The law required that 90 decibals - noise of 90 decibals or above, it is mandatory that employees wear their earplugs. It is mandatory that the said company so enforce such laws and the company is held strictly responsible for their compliance. During the inspection, uh,...

Hearings Examiner:

When was the inspection, what date? I'm sorry.

Mr. Hiel:

3/21/90.

Hearings Examiner:

Okay. Go ahead.

Mr. Hiel:

During the inspection, the inspector noticed Mr. Williams on an area and job where it is a full requirement for hearing protectors. He...

Hearings Examiner:

And he wasn't wearing his plugs?

Mr. Hiel:

...He wasn't wearing - We were issued a

Hearings Examiner:

Mr. Hiel:

Hearings Examiner:

Mr. Hiel:

citation...

Is there a fine involved?
...accompanied by a \$750 fine.

36/18/

Because of this?

Because of his not having his ear protection on. He was called immediately. While the inspector was still on the sight I had his foreman immediately call him into the office. He was sent home at 1:15 p.m. because of the violation. And a warning notice was going to be issued. The - That same afternoon, I met with the chief shop steward and told him what had transpired. It so upset the safety inspector, he left our plant in an outrage and said he would be back the following day with an additional inspector because he was gonna do our plant from one end to the other. left. I met with the union chief stop I told him that he had been sent home because of it and he would receive a warning notice. The chief shop steward, who I have worked with for about twenty-five years, we went up to my office. He pleaded his case. He knows

the young man, I guess, personally. Pleaded his case, "Isn't there anything I can do," because at that time, this again, would have been his fourth. I listened to him and I said well, in faith, I really can't - I won't issue that warning notice. What I will do - I sent him home. It's cost him a half a day's pay. And the reason that I did it - I went back down into the department and I had seen - the inspector didn't see it, but I saw it when I went back down later that afternoon, I saw another individual without his earplugs in. I didn't think at that time maybe it was strictly fair to this individual, (inaudible) single him out. And so, I wrote on this. The foreman wrote this. I met with the union. I gave them a copy of this. If you note the notation on the bottom...

Hearings Examiner:

Mr. Hiel:

Hearings Examiner:

Employer's 8 is a last chance, again, given. Is that correct?

Yes, maam.

Was this discussed with the claimant, the fact that he was being given a final opportunity?

Mr. Wilkens:

Yes, it was.

Hearings Examiner:

For the record, that's Mr. Wilkens

 $\mathcal{F} \in \mathcal{F}_{2}$

speaking up.

Mr. Hiel:

I'm sure Mr. Williams will remember the

day.

Hearings Examiner:

Mr. Williams, do you recall this incident

on March 31st?

Mr. Williams:

Yes, but I had my glasses and earplugs

in.

Hearings Examiner:

Okay. We'll get to that when you

testify. No problem.

Mr. Hiel:

May I say this?

Hearings Examiner:

Yes.

Mr. Hiel:

He says that he had his earplugs in.

They were in sideways and that's what

ticked the inspector off.

Hearings Examiner:

Okay.

Mr. Hiel:

He said, "Who's he trying to kid?" And

he looked - I didn't pick it up. I mean,

this was...

Hearings Examiner:

What do these earplugs look like?

Mr. Hiel:

They're little - They're like sponge,

soft, and you roll and you press them in

- you roll them into a cone, put them in

your ears, hold them for a couple

seconds...

Hearings Examiner:

And then they expand?

Mr. Hiel:

... (inaudible). They expand.

Hearings Examiner:

So, how...

Mr. Hiel:

They're approved by OSHA.

Hearings Examiner:

...What was the problem with his?

Mr. Hiel:

Mr. Hiel:

Hearings Examiner:

The inspector said he had them in sideways. When I got a look at them, one

was sticking in - He just had them in.

Like the inspector said, "He's trying to

kid me." And that's what ticked him off.

You mean they were not in correctly? Hearings Examiner:

They were not in the hole...

So he had them on his person...

Mr. Hiel: Yeah.

... They were just not properly worn? Hearings Examiner:

Mr. Hiel: No. He just (inaudible) them in the side

of his ear (inaudible)...

Would they - Would they function Hearings Examiner:

correctly?

No way! No way!

And, of course, what's the purpose behind Hearings Examiner:

these MOSHA regulations?

Human protection. If you get into a Mr. Hiel:

noise situation of from 85 to 90

decibals, it's a - this kind of thing -

you tell the individual that they should,

for their own protection, wear some type

of ear protection, which we have three

Thev...

Mr. Hiel:

different types that they can pick from, whatever seems to be more comfortable for them. At 90 decibals, it is mandatory by law that the employee wear hearing protection. It's a loss of hearing. It's a gradual loss of hearing. The law states that what we do, we have to establish when we hire the individuals, every employee that we hire, we establish what is called a baseline, augmentary testing procedure where we test their hearing at the time they come in. By law it is mandatory. It used to be two years. Now, it's once every year. You retest. If there is a ten decibal shift, "loss of hearing" from that point, we must send a letter to the individual, notifying him of such a shift, bring him in, counsel him, give him a letter to that affect as to what the shift actually is. All three...

Hearings Examiner:
Mr. Hiel:

To give him a right to decide what to do? Give him a right to - And we suggest that you go to a specialist to have your hearing checked and to verify our findings, and to, at that time, make sure that he understands what the hearing

protection's for and that he has been properly wearing it. Again, go through the procedure of whether or not he knows how to wear...

Hearings Examiner:

Has it been shown that if you wear these earplugs, you should not suffer a loss of hearing?

Mr. Hiel:

The government said that this would prevent hearing loss. And that's why the law is so strict.

Hearings Examiner:

Okay. What happened then?

Mr. Hiel:

Uhm, ...

Hearings Examiner:

He was basically given another last chance.

Mr. Hiel:

Right. Then, on 5/21/90 he received this fourth and final warning notice.

Hearings Examiner:

What happened? What caused that?

Mr. Hiel:

He was absent for court one day. Another day he had to take off four hours to go see his probation officer. On week fourteen he was absent another day. And then he had four days absence, accompanied by a doctor's certificate. He was out four days then. We look at doctors' certificates. We accept them on this basis under our absenteeism plan as

you will see in that write-up. The thing

with the doctor's certificate, the only validity that we attach with it at that time, if he was out those four days without a doctor's certificate, he would be charged for each individual day. In other words, that would be four days.

That's an automatic. So,...

So you only gave him one?

...we gave him one.

One occurrence?

One occurrence.

So, he still had two absences within...

And plus a half a shift missing.

(Inaudible) - We had the shop steward in there. As you can see, it's so noted

that he refused to sign that.

Hearings Examiner: Employer's 9 is a five page document

dated 5/21. Mr. Wilkens' signature is on

this as a supervisor, I imagine. And,

uh, does the union aggrieve things if

they think your decisions are incorrect?

Absolutely. On a discharge, they grieve

within thirty days.

Hearings Examiner: Was the union involved in a grievance in

this procedure?

Mr. Hiel: No, maam.

Hearings Examiner:

Hearings Examiner:

Hearings Examiner:

Mr. Hiel:

Mr. Hiel:

Mr. Hiel:

Mr. Hiel:

Hearings Examiner: At this point in time, there's been no...

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Mr. Hiel:

Hearings Examiner:

No, maam.

...union grievance? All employees are well aware of the union policy under which they are hired with regard to attendance, is that correct?

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Mr. Hiel: Correct.

Hearings Examiner:

Mr. Hiel:

Is there anything else?

If I could just make a summation of what I've said.

Hearings Examiner:

Mr. Hiel:

Go right ahead.

I think that we have, the company, has established beyond a reasonable doubt the legitimate justification in there, in our termination of Mr. Williams. I believe that Mr. Williams, in fact, knowingly violated our long established company standards of conduct and our attendance control procedures. I think that the evidence that I have presented to you leaves not the slightest doubt that the primary basis for Mr. Williams' termination was, in fact, justified. The company has exercised its managerial rights in the management and control of our business. I think that the company has acted properly with its inherent and contractual rights. The company has

followed his progressive disciplinary procedures according to our negotiated contract, which has been enforced since 1951. If Mr. Williams had been as aggressive and concerned about holding his job as he seems to be about trying to apply for unemployment benefits. I don't think any of us would be sitting here today. I think it's clearly been shown that it's Mr. Williams who failed, not the company. I don't think that any company can be expected to alter its production schedules to coincide with problems created by an employee on the outside. Mr. Williams, as evidence has shown, was extended "two big breaks" on two different occasions. It should be noted that actually he could have been issued six warning notices instead of the four that we actually issued to him. The - As you noticed, a couple of those violations were combined into one. on another occasion he was only given a half a day's suspension under that MOSHA violation instead of the warning notice. To rule that Mr. Williams is entitled to unemployment benefits, I

feel, would be totally unfair to the company and grossly, I feel, unjustified. It, most certainly, I feel, would open up a - deliver the wrong message and open up a can of worms. think we've proved that Mr. Williams was given every opportunity. We're not a hard company to work for. We are probably as lenient, if the facts of our company are known, which they are. Our company is a damn good place to work with. We're very understanding. We have a reputation of working with people. Where a lot of companies throw people out the door left and right, we don't. We feel we have an investment in these people, which we do. We want them to become longtime employees for our company. It's very costly for us. I terminated Mr. Williams, he had to be replaced. I had to train a man - Mr. Wilkens. He was a capable employee. question here is not whether or not he can do the job. He could. He had all the capability to do it. He did not follow procedures in order to hold that job.

Hearings Examiner: For the record, is the UE United

Electrical Workers Union?

Mr. Hiel: Yes, maam.

Hearings Examiner: Okay. Are there any questions, Mr.

Williams, that you'd like to ask Mr. Hiel

based on the testimony and the opinions

he's just given?

Mr. Williams: Well, for one thing, I don't think it had

anything to do with misconduct...

Hearings Examiner: (Inaudible), sir.

Mr. Williams: ...or gross misconduct.

Hearings Examiner: Well, at this time, do you have any

questions to ask him?

Mr. Williams: No.

Hearings Examiner: All right. Is Mr. Wilkens going to

testify?

Mr. Hiel: Maybe you can just ask questions or

rebut, maybe, a statement...

Hearings Examiner: Okay. Mr. Wilkens, were you present

during any of these occurrences where

warnings were issued?

Mr. Wilkens: Yes. The last two. The, uh,...

Hearings Examiner: Earplugs?

Mr. Wilkens: The earplugs, I was present then when the

MOSHA inspector cited him as having his

earplugs in, I think, turned sideways or

cockeyed.

Hearings Examiner:

Did you see them?

Mr. Wilkens:

Yes, I did.

Hearings Examiner:

Did you agree with the inspector?

Mr. Wilkens:

Yes, I did.

Mr. Hiel:

I may add, if I could, maam, at this time that the OSHA inspector also made a write-up on Mr. Wilkens as negligence as a foreman of enforcing the MOSHA regulations.

Hearings Examiner:

Okay. So, you made a...

Mr. Hiel:

Which didn't do him any good on his appraisal.

Hearings Examiner:

So, you made it a point to go and observe the claimant at the time, and you agreed that the inspector wasn't counseling you for nothing; that, indeed, he was correct?

Mr. Wilkens:

Right. He was correct.

Hearings Examiner:

What about the last warnings? You said - And I do see your signature on there.

Okay. He had reasons that were legitimate absences. On February 22nd, he was in court. And on February 27th, he needed some time to see his probation officer. Why is that counted against him? Can you tell me?

Mr. Wilkens:

This, really, is his personal problems

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Mr. Hiel:

and the company doesn't cite them as being excused absences (inaudible)...

If I may add, interject on that, I don't know what - the reason why and I really could care less why he was on probation. However, I got a notice that he had violated his probation as one - Uh, we just don't consider that a legitimate - Our production schedules are set for people to be there everyday. We don't have the luxury - We don't carry any surplus help. We run a real tight ship (inaudible).

Hearings Examiner:

So, he knew as being a member of the union, what the contract required and his own personal problems had to be resolved on his personal time? Is that what you're saying?

Mr. Hiel:

Yes, maam.

Hearings Examiner:

Mr. Wilkens, is that what you would agree to?

Mr. Wilkens:

Yes.

Hearings Examiner:

Uh, is there anything else you'd like to add?

Mr. Wilkens:

No.

Hearings Examiner:

Mr. Williams, do you have any questions for Mr. Wilkens?

Mr. Williams:

No.

Hearings Examiner:

Okay, sir. Let's hear from you. You had mentioned earlier something about not being trained properly. Would you like to go into that?

Mr. Williams:

On the first warning notice - I was transferred to another department before I received the first warning notice for not making the rate. I was only trained...

Hearings Examiner:

Second warning notice.

Mr. Williams:

... I was only trained several hours.

Hearings Examiner:

Did you complain to your shop steward?

Mr. Williams:

Yes.

Hearings Examiner:

What did he say?

Mr. Williams:

He said stick with it, try to make the rate.

Hearings Examiner:

They didn't grieve the warning?

Mr. Williams:

No.

Hearings Examiner:

Is there anything you could have done if they did not speak up on your behalf about that warning?

Mr. Williams:

Yes, I did.

Hearings Examiner:

What was it?

Mr. Williams:

I filed a grievance.

Hearings Examiner:

And what happened?

Mr. Williams:

Nothing. They just told me to stick with

it.

Hearings Examiner:

Well, beyond filing a grievance, if the shop steward didn't support you, is there anything more you could have done?

Mr. Williams:

No.

Hearings Examiner:

Mr. Hiel is shaking his head....

Mr. Hiel:

Yes. There is...

Hearings Examiner:

What could he have done?

Mr. Hiel:

He has alternatives. He can file a grievance if he's not satisfied with it. He can request a meeting with the union for them to explain to him because if they're not satisfied with the answer, if the shop steward doesn't buy the (inaudible), there is a step 1 procedure of the grievance. He files the grievance. It goes to step 1, which would be in Mr. Wilkens' hand, where the individual and the shop steward of the department would meet with the foreman.

Hearings Examiner:

So, if the shop steward says don't bother, what can he do?

Mr. Hiel:

He can object. He has a chief shop steward.

Hearings Examiner:

Uh huh.

Mr. Hiel:

The chief shop steward then will take it

and if he deems it legitimate to fight the case, then he will request a meeting with the manager of shop operations.

What if he doesn't deem it legitimate?

Then the grievance - After sixty days,

the last answer given on the grievance is

the one that is mutually accepted by both

the company and the union.

What happened in this case?

At this time, I was put back in my first department, which was under Mr. Wilkens, and I was told not to bring it up no more by my shop steward.

Did you take it any further?

No. He told me not to. He told me to let it lie because normally when a person is put in another department and they don't make their rate, they're laid off. And he told me not to bring it up no more. Just to stay...

I object to that statement...

Well, you can respond to that after he finishes testifying. You can rebut that.

That's what I was told.

Okay. And did you pursue it any further?

Is there anything else I should know?

Hearings Examiner:

Mr. Hiel:

Hearings Examiner:

Mr. Williams:

Hearings Examiner:

Mr. Williams:

Mr. Hiel:

Hearings Examiner:

Mr. Williams:

Hearings Examiner:

Mr. Williams:

Hearings Examiner:

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Mr. Williams:

Uh, ...

Hearings Examiner:

You said as we had these exhibits put into evidence that, indeed, these things did occur, is that correct?

Mr. Williams:

Yes. (Inaudible), yes.

Hearings Examiner:

Okay. What about the, uh,...

Mr. Williams:

I agree with all the absenteeism.

Hearings Examiner:

...earplug business?

Mr. Williams:

The earplugs? I had them in the best way that I knew how. I was never shown how to put them in. They come around after that, after and they said write me up and showed us - they made everybody sign a paper and showed us how to put them in.

So I went and bought different earplugs, the kind that stick in better.

Mr. Hiel:

Can I make a statement?

Hearings Examiner:

After he finishes.

Mr. Williams:

Also, on the one notice where I received for crooked pins, I was working in a switch gang and I was not the only person reliable for those crooked pins on that date. It was only, uh, I think 33 out of, like 3200. And that's only like 1%. What are crooked pins? What are we

Hearings Examiner:

talking about? Oh! I see. Employer

6. Now, you had an opportunity on this

to make comments and you didn't. Is there any reason why you didn't make comments about this?

Mr. Williams:

Well, I was getting the warning notice about the absenteeism. I did comment to my shop steward.

Hearings Examiner:

Well, it was on there...

Mr. Williams:

I did, I did go...

Hearings Examiner:

... Two reasons were on here.

Mr. Williams:

Right.

Hearings Examiner:

Now, why didn't you rebut in the section for employee comments? Why didn't you rebut the fact or respond to this, this is about the crooked pins, if you thought that it was...

Mr. Williams:

I took my shop steward in the office.

Hearings Examiner:

Yeah. But I'm asking you why didn't you

put it in writing?

Mr. Williams:

Well, I...

Hearings Examiner:

Is there any reason?

Mr. Williams:

No.

Hearings Examiner:

Okay. Did your shop steward pursue it?

Mr. Williams:

Yes. (Inaudible) went into the office.

Hearings Examiner:

Did he grieve it?

Mr. Williams:

Yes. He said it was too many, too many errors. It was costing the company too much money.

Hearings Examiner:

So, did the shop steward grieve this for

you?

Mr. Williams:

With my foreman.

Hearings Examiner:

Did it go any further than that?

Mr. Williams:

No.

Hearings Examiner:

It was resolved in the company's favor?

Mr. Williams:

Right.

Hearings Examiner:

Okay. Is there anything else I should

know?

Mr. Williams:

Just the absenteeism. I had court

notes. I was subpoensed to court. So I

had to go. And then I had doctor's slips

for the absenteeism.

Hearings Examiner:

All right. But you did know that as a

member of the union that there was a

contract that had been negotiated ...

Mr. Williams:

Right.

Hearings Examiner:

... and what the terms of that contract

were? And you were hired under those

terms, is that correct?

Mr. Williams:

Also, I was...

Hearings Examiner:

For the record,...

Mr. Williams:

Right....

Hearings Examiner:

...yes?

Mr. Williams:

... Under the contract, though, I was

supposed to be suspended after the third

warning notice. And I never was.

Hearings Examiner:

Are you objecting to the fact that they didn't suspend you?

Mr. Williams:

Yes.

Hearings Examiner:

Why?

Mr. Williams:

Well, they're supposed to follow form.

It might have straightened me out.

Hearings Examiner:

Oh! So, you're saying...

Mr. Williams:

Also, I did file...

Hearings Examiner:

... Are you saying that they condoned your behavior or forgave your behavior?

Mr. Williams:

No. No....

CASSETTE #4916

Hearings Examiner:

We're back on the record. And Mr. Hiel wanted to make some rebuttal testimony based on the statements made on direct by the claimant, Mr. Williams. Go ahead, sir.

Mr. Hiel:

On a particular job he said he wasn't properly trained. On many occasions we borrow people from other departments, put them in there and they make the standard. He was on a, what we call, a progressive schedule. I think at the time, we were looking for output from him in the range of 75 or 80-- not more than 80% of the established standard. In talking with the foreman, I reviewed each

and every warning notice. As you can see, I signed every one of them, reviewed with the foreman why. The foreman felt that, number one, he wasn't putting forth his effort. That he felt that he would only be over there on a temporary basis and be going back to this original department.

Hearings Examiner:

So, the warning had nothing to do with training. It had to do with simple attitude and performance?

Mr. Hiel:

We - As I say, we borrow people out of other departments and put them in there and, hell, we have no problem at all.

Hearings Examiner:

Okay. Anything else?

Mr. Hiel:

I - As I say, I rebut because the seeing that he was part of a settlement
on a MOSHA citation, was that we must go
to every employee and re-establish the
use of earplugs and have them sign a
letter to that affect. That was part of
the citation that I had to post in his
department...

Hearings Examiner:

Should he have known how to use the earplugs before the inspection?

Absolutely. He's been - Several

Mr. Hiel:

occasions - He gets earplugs all the time

from the foreman cause they don't last very long. They use them one or two days at the most and then they disregard them and get a new pair. I cannot conceive in my mind as much as I've been around - down there enforcing the wearing of earplugs and with the foreman, that he could sit there and say he didn't know how to wear the earplugs. I mean it's so simple. It even has a picture on the little package, how you roll them and stick them in your ear. It's - I can't buy that at all. I give him credit (inaudible)...

Hearings Examiner:

Mr. Hiel:

Hearings Examiner:

Mr. Williams:

Hearings Examiner:

Mr. Williams:

Hearings Examiner:

Mr. Hiel:

Okay.

... at least average intelligence.

Final statement you'd like to make, sir?

Just about the earplugs.

Go ahead.

You put them in and they expand when you work a little bit. And that's what happened. They were sticking out. They weren't inside. They (inaudible) right. And you've already made a final statement on behalf of the employer earlier, which I allowed earlier. Okay....

Yeah. I, I didn't write that. I didn't

issue the citation.

Hearings Examiner: I understand that.

Mr. Hiel:

Mr. Williams:

Hearings Examiner:

The safety inspector did.

I understand that it was made by him and

not by the company; an outside party.

Okay. Gentlemen, it's not our policy to

make an instant decision at the

hearing. What I will do is, I will be in

Baltimore tomorrow to dictate the

decision, which goes to our Word

Processing Department in Baltimore. It

takes seven to ten days to have a

decision mailed out to all the parties.

Sir, are you getting your checks and

you're getting your claim cards?

Yes.

Hearings Examiner: You'll continue to receive them pending

my decision. If my decision is in your

favor, you will still receive them. And,

of course, it's your responsbility to

to receive the following check and the

following form. If I decide in the

employer's favor, the checks will stop

and you'll be given instructions as to

what is necessary as far as repayment if

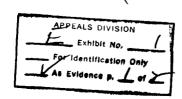
that is part of the ramification of a

keep sending them back in a timely manner

decision in favor of the employer. If I rule in the claimant's favor, the employer has a right for a further appeal and vice versa, if I rule in the employer's favor, Mr. Williams can appeal. What to do if either party wants to appeal above my head is on the first page of my decision. It's called the Board of Appeals. It's a three attorney panel in Baltimore. There's a deadline just like there was when the employer filed this appeal, if anybody is interested in taking this case further. Thank you very much. It'll be seven to ten days when you get the decision. This hearing is adjourned. Thank you, sirs.

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Insulators

CODE OF CONDUCT

45

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2010/1/34

INTRODUCTION

Whenever a group of people work together, the objective of orderliness and harmony necessitates an understanding of work rules designed for the welfare of all. Here at Locke Insulators, we have standards of conduct for our common guidance, just as communities have laws. The purpose is the same in both cases -- equal protection and benefit for all concerned.

It is impossible to cover every standard of conduct for every circumstance, and the Company tries to keep these standards to a necessary minimum. We do, however, expect all of our employees to meet and comply with these standards at all times.

Whenever an employee breaches one of these standards, then measures must be undertaken to correct the situation and to curtail further occurrences. Forms of discipline include oral counseling, written warnings, suspension from work, and discharge. The degree of discipline as decided by the Company depends on the gravity of the offense and circumstances under which it occurred.

All violations of these standards of conduct will be investigated before any discipline is imposed. (The procedure and standards for handling absenteeism and lateness problems are treated separately in the Company's "Attendance Control Procedures".)

If you have any questions about what is contained in Locke's Code of Conduct, please see your foreman or the Relations Department.

WORK PRACTICES

The following work practices are not all-inclusive, but are intended to be illustrative of the type of conduct which is required of employees at Locke Insulators:

- 1. Each employee is required to be at his or her work place ready to work at the beginning of the assigned work period and leave work promptly at the end of assigned work period. If an employee is unable to report for work, or is going to be late in reporting for work, for any reason, he or she is required to notify the supervisor or other designated individual in advance of the time he or she is scheduled to report.
- 2. Employees are required to be at their work place applying themselves

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to assigned duties during the full period for which they are being paid, except that two "break" periods are scheduled by the company for you to take care of your personal needs (12 minutes each). Requirements with respect to use of drinking fountains, refreshment areas, and lavatories are included within the two "break" periods.

- 3. Employees are required to meet full shift production standards as established by the company and to report to their supervisors any conditions or circumstances, as they become known, which will prevent them from performing effectively or from completing their assigned tasks.
- 4. Employees are required to follow the instructions and procedures specified for their work and to report any inadequacies in the work instructions and procedures to their supervisors.
- 5. Each employee is required to produce acceptable work and to report promptly any deviations from design or quality specifications.
- 6. Each employee is required to work safely and to use safety equipment when necessary. Each employee is required to adhere strictly to the company's safety rules and procedures, to dress appropriately, to use safety equipment when specified and to report unsafe conditions to the direct supervisor immediately.

STANDARDS OF CONDUCT

Minor Offcases

The procedure for handling minor offenses and the penalties to be invoked are as follows:

- 1. A separate written warning notice shall be given to an employee for a minor offense in violation of each company rule, policy, or practice. At the discretion of the supervisor, and depending upon the circumstances, including the past record of the employee, a documented oral reprimand or contact notice may be substituted for the first offense.
- 2. A warning notice remains active for a period of one year from the date of issue. Accumulation of three active warning notices for the same or different offenses will result in disciplinary action, usually a one week suspension without pay.
- (3) Accumulation of four active warning notices for the same or different

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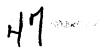
offenses will result in discharge.

NOTE. Copies of all warning and contact notices shall be given to the employee involved, his supervisor and the employee relations administrator.

Major Offcases

Major offenses carrying a penalty of immediate suspension, subject to discharge, shall include but not be limited to the following major offenses:

- 1. Failure or refusal to carry out orders or instructions.
- 2)Unsatisfactory work performance.
- 3. Failure to fulfill the responsibilities of the job to an extent that might or does cause injury to a person or substantial damage to or loss of product, machinery, equipment, facilities or other property.
- 4. Chronic or habitual absenteeism or lateness, under the Company's separate attendance standards.
- (5.) Violation of an established safety, fire prevention, health or plant security rule.
- 6. False, fraudulent or malicious statement or action involving relations with the Company, another employee, or a customer, or any action disloyal to the Company.
- 7. Falsification of hours worked or Company records and/or omission of information requested on Company records or documents.
- 8. Unauthorized use of, removal of, theft, or intentional damage to the property of the Company, an employee, an independent contractor, or a customer.
- 9. Threatened or actual physical violence or profane or abusive language.
- 10. Carrying any weapon on Company premises without authorization from the Company.
- 11. Bringing onto Company premises, having possession of, being under the influence of, or consuming on Company premises or while on Company business, any intoxicant.
- 12. Bringing onto Company premises or having possession of, being



under the influence of, or having in one's system, or using, transferring, selling or attempting to sell on Company premises or while on Company business any form of narcotic, depressant, stimulant or hallucinogen, the possession, use, transfer or sale of which is prohibited by law, excepting only the taking of prescribed drug under the direction of a physician.

- 13. Gambling, disorderly, or immoral conduct while on Company premises or business.
- 14. Excessive garnishments, to the extent permitted by law, except that no employee will be discharged because of garnishments for any one indebtedness.
- 15. An arrest, the filing of a disorderly person or criminal complaint, or the return of an indictment against an employee for any alleged wrongful activity may result in an indefinite suspension without pay, subject to discharge, depending on the particular circumstances and the offense charged. (All employees are obligated to cooperate with the Company by notifying their Supervisor of any arrest or charges filed involving them, to provide and assist the Company in obtaining all relevant information needed to enable the Company to consider the allegation, and to provide current status information on the arrest or allegations as required by the Company).
- 16. Leaving the plant without proper notification and authorization, including leaving the plant without clocking OUT when leaving and IN upon returning.
- 17. Sleeping during working hours or hiding with the intent to sleep.
- 18. Punching another employee's time card or using another employee's ID badge to register time worked or to gain access to the plant, or allowing another person to use your ID badge.
- 19. Refusal to submit to the request by authorized personnel for an inspection of any container which is being carried into or out of the plant, or to refuse to submit to a request for an inspection of personal items, locker, or vehicle. (While on Company property)
- 20. Performing work or services for, or supplying proprietary information to, competitors, or any other acts which may be deemed disloyal to the Company.
- 21. Refusal to submit to any and all physical examinations, tests,

LOCKE INSULATORS, INC

WAGE MANAGEMENT INSTRUCTIONS

'UBJECT:

ATTENDANCE CONTROL PROCEDURES

No. 140.1

pq. 1 of 3

I. POLICY

Each employee is required to be at his or her work place ready to work at the beginning of the assigned work period and to leave work promptly at the end of the assigned work period. If an employee is unable to report for work, or is going to be late in reporting for work, for any reason, he or she is required to notify the supervisor or other designated individual in advance of the time he or she is scheduled to report.

II. PURPOSE

The purpose of this procedure is to encourage regular, punctual attendance; to provide guidelines for effective management of attendance: and to ensure consistent, fair and equitable treatment of employees who are tardy and/or absent.

III. DEFINITIONS

- A. Absence Any continuous period beginning with the starting time of the shift and exceeding 50% of the scheduled shift. Multiple days of continuous absence will be counted as a single occurrence only when the absence is due to a <u>verified</u> personal illness (Doctor's Certificate).
- B. Tardiness Any lateness. An employee is late if he/she is not at the work station at the start of the shift or, is late in returning to his work station at the end of a scheduled "break" or lunch period. (An employee who clocks in exactly at the starting time of the shift is <u>late</u>.)
- C. Incomplete Shift Departure prior to the end of the shift will be treated the same as tardy. (Early departure could also be a more serious offense. Refer to paragraph IV.E. following.)

IV. GUIDELINES

A. Progressive Discipline Procedure

1. Employees who are absent 2 times (2 occurrences) within any 13 week period or who are absent for a continuous period of 5 working days for any reason (except those listed in IV E) shall

be issued a warning notice.

APPROVED BY

SUPERCEDES ISSUE DATE

EFFECTIVE

Relations

ISSUED BY

J.G. McClellan

2/1/86

3/7/88

SUBJECT:

ATTENDANCE CONTROL PROCEDURES

pq. 2 of 3

- 2. For the purposes of administration, two tardinesses and/or incomplete shifts shall be equivalent to one absence.
- 3. Warning notices issued for absenteeism will remain active for one year and will be counted along with warning notices issued for other infractions according to the Company's warning notice procedure. A disciplinary suspension of 2 days will be imposed on an employee when a 3rd warning notice issued within a one year period is for absenteeism regardless of what the prior two warning notices were for.

B. Failure to Call In or to Give Advance Notice

- 1. A failure to call in prior to the start of the shift to report an absence or tardiness is a very serious offense in and of itself. Therefore a failure to call in will result in the issuance of a warning notice unless there are extenuating circumstances. When it is impossible to call before the start of the shift, employees must call in at the earliest possible opportunity. Such late call ins will be acceptable only when an employee provides proof that his or her failure to call in before the start of the shift was due to circumstances beyond their control.
- 2. Employees calling in to report they will be late or absent will be given a "log number". This number is important to the employee for verification of the call in.
- 3. An employee who is absent for more than one consecutive day must call in daily to receive a "log number". An employee who knows his or her absence will be extended due to hospitalization for example, should personally contact the foreman, in addition. In this case, daily call-ins will not be required.

C. Absence During Scheduled Overtime

An absence or an incomplete shift during periods of scheduled overtime must also be recognized as a very serious offense. Therefore a Warning Notice will be issued. If there are extenuating circumstances, the absence will be treated under the Attendance Control Program as if it occurred during the normal work schedule.

49

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J.G. McClellan

2/1/86

3/7/88

WAGE MANAGEMENT INSTRUCTIONS

SUBJECT:

ATTENDANCE CONTROL PROCEDURES

pg. 3 of 3

D. Leaving Work Prior to the End of Shift

- 1. An employee who leaves prior to the end of his or her scheduled shift (an incomplete shift) without satisfactory reasons and/or without concurrence of the foreman (when early departure is not` at company convenience) will incur disciplinary action up to and including discharge. The employee may, in fact qualify for an immediate suspension based on his or her having left the work station without notification and/or authorization.
- 2. The foreman in deciding how to treat the early departure will consider any extenuating circumstances which may have caused the employee to act as he or she did.

E. Absences Not Counted in Disciplinary Procedure

1. Absences which are not normally counted in determining the need for disciplinary action are: days for which pay is received such as vacation (<u>must be scheduled in advance</u>), holiday, jury duty, <u>court appearance</u>, paid "sick" days, death in family; layoff; and <u>military leave</u>. Where possible, as much advance notice of the absence as can be given should be given so that schedules can be adjusted. At least one week's notice will be required, except for emergencies. The granting of paid sick/personal days "after the fact" shall be contingent upon the reasons for such absence.

V. Summary of Guidelines for Disciplinary Action

A. Absences

1. <u>All</u> unpaid absences will be counted in determining the need for disciplinary action. Paid absences will not be counted.

B. Warning Notice

- 1. Two (2) occurrences of absence within a 13 week period (Two tardy and/or incomplete shifts = 1 absence).
- 2. A continuous period of 5 working days (even if verified illness).

50

ISSUED BY

APPROVED BY

SUPERCEDES ISSUE DATE EFFECTIVE DATE

2/1/86

Relations J.G. McClellan

86 3/7/88

HOURLY ADDITION TO PAYROLL - CHECKLIST

Control of the contro

NAME: Charles Williams	BOCIAL BECURITY # 219 66 9682
DATE ADDED TO PAYROLL 10/19/88	PAY NUMBER 155508
FORMS 1. Addition to Paveoll 2. Application 3. Insurance Forms Group Insurance Long Term Disability Personal Accident Dependent Life Blue Cross/Blue Shield (dental) COBRA	HeND BUTS 1. Insurance Flan Booklet 2. Health Insurance Booklet 3. Pension Flan Booklet 4. ID. Badge/Payclock 5. Safety Glasses 6. Code of Conduct Book 7. Union Contract Book 8. Locker Combination Lock 9. Parking Sticker 10. Central Credit Union
4. Birth Certificate 5. Pension Plan 6. Savings Plan 7. Charity 8. Withholding Certificate 9. Personal Data 10. Approved Request for Help 11. Proofession 12. Employee Folger	EXPLAIN 1. Work Rules 2. Safety Rules 3. Telephone 4. Safety Shoes (Payroll Deduction) 5. IDEA Flan 6. Blood Bank 7. Benefits

COMMENTS: Code of conduct book has been explained to me by Mr. W.F. Hiel on $\frac{2-17-89}{}$ Date

DATE: 2/17/89

ORIENTATION CONDUCTED BY: Bunda Kenan

(4/88)

Exhibit No.

For dentification Only

As Evidence p.

Warning Notice - Absences or Tardiness

TU1 (,	Willia	-MS		PAY NO. 1663	372 DATE 8/8/89
	Your absen	ce record	, ;bout which	we have talked in	the past,
	has not im	proved as	you indicate	d it would. This w	arning
	notice is	issued to	you to put o	n record your conti	nued unsatis-
	factory per	rformance	and document	s our discussion of	today.
	Failure on	you part	to correct t	his situation, will	result in
	more sever	e discipl	inary action	up to and including	discharge.
Signed	Foreman Comments:	ley	-	Received Copy Copy Empl	les A William
3.16				Λ:	
WL	29 F	rí	/21/89	1 Abser	nce
WK	33 F	<u> </u>	8/18/89	1 Absen	ce
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cc; Employment Office Foreman

Exhibit No.

Exhibit No.

Bot identification Only

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WARNING NOTICE

Description of Work: Description of Work: Piece No. Description of Constant Many work performance for off fire tables was 43.6% to Andard for week 36. How work performance on down imp for week 31 was 81.6% to Standard, but to this subs supper you are being removed from job code 3908 (off line tables) job code 8050 (desure may small past) per well for much to bear the above jobs, therefore you will be much to to ithis job classification. Received Moules August Employee Comments: Employee Comments:	-89	0ATB - 18-8	PAY NO. 166312		Williams	10: Charles
excription of Conduct. Your work performance for off line tables was 436 % to Flandand for week 36. How work performance on cla- insp for week 31 was 86% to standard. Due to this subs subject you are being removed from job code 3908 (off line tables) job code 8050 (classed maps small people) you were given any line to learn the above jobs, therefore you will be marke to to either job classification. Received Morels Audit Foreman		d below:	sfactory as describe	r work the uns	orm you that you	his is to info
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For Identification Only As Evidence p. _ ef

cc: Employment Office (1)

	EMPLOYEE SIGNATURE:	DATE: 54
	14-144-1-	As Evidence p of
		Exhibit No. C
		APPEALS DIVISION
	EMPLOYEE COMMENTS:	
	FOREMAN Un:	ion Steward: anthon Puller Date:
		KNOWLEDGEMENT OF COPY RECEIVED: ployee: Date:
	COMBINDED TO INTO ONE	E ITEMS 1+2 ARE
	DISPLINARY ACTION.	
	MORIEMENSHIP CAN AND WILL R	
	TOTAL OF 1.2010 DEFECT. CO	·
	CAME OFF THERE WERE 33	
	ASSEMBLY GANG. ON 1/9/90 W	HEN THE ASSEMBLYS
I	ON 1/8/90 YOU WERE THE	COLLAR MAN IN THE SWITCH
	WIT O WILL	
	WK#2- absent	
-	upt to a rate to	
	unsatisfactory. NOTIFICATION: This THI you because of your absenteeism. Your to Company's attendance control policy. Your ary suspension. Such time off will be go business. Your continued failure to adheresult in further disciplinary action up	RD Warning Notice is being given to ime out is in direct violation of the will be given a two-day disciplin-iven to comply with the needs of the ere to the absenteeism policy shall
	DESCRIPTION OF PROBLEM: This is to info	Mgt. Rep:
	For absenteeism.	Union Rep: Antimu Partinu
	WARNING NOTICE	Dept.Mgr.: C.m.W.lb.: Relations: 24.F.Olel
	- THIRD -	APPROVATS:
		DATE: 1-18-90
	LOCKE INSULATORS	TO: C. (1) 144 A M S PAY NUMBER: 155508

CONTROL SECTION OF THE SECTION OF SECTION OF

essayers in

LOCHISC\WARNS-RD 0101908.

LOCKE

Tota: JAN. 1874 1990

Dear Mr. C. WILLIAMS :

This letter is being written to you, to call your immediate attention to the very serious problem you are facing concerning your active warning notice situation. If you receive another ·warning notice for violation of any rule, including absenteeism before 8-18-90 you will be terminated in accordance with the Company's Code of Conduct. The Company cannot tolerate any employee who for whatever the reasons cannot be counted upon for regular attendance. You are an experienced employee, fully capable in the performance of your job ... but your poor attendance record is going to jeopardize your continued employment. The ball is in your court ... its strictly up to you as to what transpires from this point forward. It indeed would be a tragedy to allow another infraction of plant rules to cost you your job. I urge you to take stock of your situation and to take positive steps to correct whatever it is that is placing your employment in a very critical situation. You must turn it around ... its not too late.

Sincerely,

W.F. Hiel Employee Relations Administrator

WFH/bg

Exhibit No.

Sor Identification Only

As Evidence p. 4 of

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9.

	·		
	LOCKE INSULATORS	TO:	155508
	WARNING NOTICE	APPROVALS: Dept.Mgr.:	Pleam F. Stiel
•	For violation of MOSHA law regarding wearing of proper hearing protection.	ISSUED IN PRESENCE the Union Rep:	
	DESCRIPTION OF PROBLEM: This warning result of your faiure to wear ear promandates that, since your current occlevels, hearing protection MUST be wooccupation.	tectors as instructed. upation exceeds the acc	The MOSHA law ceptable noise
	Your continued failure to comply with in further disciplinary action up to	this Federal and State and including discharge	e law will result
	Protect your hearingbecause once are damaged there is NO known procedu matter what the cause. Use an "ounce "pound of cure."	the sensitive nerve entre of restoring normal of prevention"because	function no
	Protect your hearingbecause once are damaged there is NO known procedumatter what the cause. Use an "ounce "pound of cure." What send how we have a send how which have a send how we have a send how we have a send how which have a send how we have a send how we have a send how which have a send how we have a send how we have a send how we have a send how which have a send how we have a send how we have a send how which have a send how how how have a send how how		S DIVISION Inibit No. 8 Initication Only ence p. 4 of 4
	SIGNED BY: Hames	ACKNOWLEDGEMENT OF COR	PY RECEIVED: Date:
	FOREMAN	Union Steward:	Date:
	EMPLOYEE COMMENTS:		
	WAS NOT ISSUE	DAS IT WOULD H	AVE BEEN
	HIS FOURTH.	NAS IT WOULD H	il 56
Marie Landers	RESULTED IN	A CITATION BEING	
İ	EMPLOYEE SIGNATU	RE: BY MOSHA	DATE:

LOCKE INSULATORS	TO: Walliami PAY NUMBER: 155508 DATE: 5/2//90
WARNING NOTICE	APPROVALS: Dept.Mgr.: Lawred F. Howell Relations: Lillian F. Tiel
For absenteeism.	ISSUED IN PRESENCE OF: Union Rep:
•	•
	•
WC#8- about Court.	
wit +9 - walled 4.0 hrs s	robation office. 2/27/90
W14- about 4/6/90	
W1619 - alant 4 days a	loc's office 5/10,5/11,5/14+
As this is your 4th active warning notice w	rithin a one year period your employment with
Locke Insulators, Inc. is terminated as of	May 22nd, 1990. You will be paid one weeks
pay in lieu of notice.	
	·
SIGNED BY: C.M. Wilkeria	ACKNOWLEDGEMENT OF COPY RECEIVED: Employee: REFUSE To LICH Date: 5/21/96
FOREMAN A.	Union Steward: Partloy Date: 5/21/90
EMPLOYEE COMMENTS:	. 57
	APPEALS DIVISION
	Exhibit No.
	AS EVIDENCE P Of .
EMPLOYEE SIGNATU	RE: REFUSE TO SIGN DATE: 5/21/90

C.MIW LOCHIEC WARNS-RD 010190

William Donald Schaefer, Governor J. Randall Evans, Secretary

William R. Merriman, Chief Hearing Examiner Louis Wm. Steinwedel, Deputy Hearing Examiner

> 1100 North Eutaw Street Baltimore, Maryland 21201

> > Telephone: 333-5040

Marie Co

- DECISION -

Date:

Mailed:

7/26/90

Claimant

Charles A. Williams

1518 Belt Street Baltimore, MD 21230 C C No.

Appeal No.:

9008848

S. S. No.:

219-66-9682

Employer.

Locke Insulators, Inc. 2525 Insulator Drive Baltimore, MD 21230

LO. No.:

02

Appellant

Employer

issue:

Whether the claimant was discharged for misconduct connected with the work, within the meaning of Section 6(c) of the Law.

- NOTICE OF RIGHT OF FURTHER APPEAL -

ANY INTERESTED PARTY TO THIS DECISION MAY REQUEST A FURTHER APPEAL AND SUCH APPEAL MAY BE FILED IN ANY OFFICE OF THE DEPARTMENT OF ECONOMIC AND EMPLOYMENT DEVELOPMENT, OR WITH THE APPEALS DIVISION, ROOM 515, 1100 NORTH EUTAW STREET, BALTIMORE, MARYLAND 21201, EITHER IN PERSON OR BY MAIL

THE PERIOD FOR FILING A FURTHER APPEAL EXPIRES AT MIDNIGHT ON

August 10, 1990

- APPEARANCES-

FOR THE CLAIMANT:

FOR THE EMPLOYER:

Claimant-Present

William Hiel,
Employee Relations
Manager;
Kelvin Wilkens,
Foreman of the
Assembly Dept. &
Hardware;
Steven Wright,
Observer/Witness

FINDINGS OF FACT

The claimant's first day of work was October 19, 1988 and his

last day was May 21, 1990. He worked full-time, earning \$9.32 an hour, working in the assembly department of an insulation company. The claimant was a member of the United Electrical Workers Union, Local 120. Presently, he is not employed.

Pursuant to a contract negotiated between the union management, the employer has the right to discharge an employee after four written warnings for various reasons or for one continuing reason had been issued within a one year period. the present case, the credible evidence indicates that claimant was in receipt of the aforesaid four required warnings, as well as being given two additional opportunities to improve his work performance. His first warning occurred in August of 1989 because of two occurrences of absenteeism within thirteen His second warning occurred September 18, 1989 because of weeks. The third warning of January 18, 1990 was poor workmanship. actually a combination of absenteeism and defects production, which were generously combined into one warning instead of two by the employer.

The most serious infraction occurred on March 21, 1990, when a MOSHA Inspector cited the employer and the claimant because the claimant was observed improperly wearing the earplugs, which are required to be worn as protective equipment in certain areas of the plant, under Federal and State Regulations. The claimant was warned verbally and sent home, and instead of issuing a final notice which would have resulted in his discharge then and there, the shop steward spoke up on his behalf, and it was agreed by Mr. Hiel to give the claimant one more opportunity. Finally, on May 21, 1990, the claimant received a fourth and final warning as a result of absenteeism for personal reasons.

As of this date, the union has not chosen to grade the discharge on behalf of the claimant.

CONCLUSIONS OF LAW

Article 95A, Section 6(b) provides that an individual shall be disqualified from benefits where he/she is discharged from employment because of behavior which demonstrates a deliberate and willful disregard of standards which the employer has a right to expect. The preponderance of the credible evidence in the instant case will support a conclusion that the claimant was discharged for actions which meet this standard of the Law.

Article 95A, Section 6(b) provides that an individual shall be disqualified from benefits where he/she is discharged from employment because of a series of violations of employment rules which demonstrate a regular and wanton disregard of his/her obligations to the employer. The preponderance of the credible evidence in this case will support a conclusion that the claimant's actions meet this standard of the Law.

DECISION

It is held that the claimant was discharged for gross misconduct, connected with the work, within the meaning of Section 6(b) of the Maryland Unemployment Insurance Law. He is disqualified from receiving benefits from the week beginning May 20, 1990, and until he becomes re-employed, earns at least ten times his weekly benefit amount (\$1,810.00) and thereafter becomes unemployed through no fault of his own.

The determination of the Claims Examiner below is hereby reversed.

Judy-Lynn Goldenberg
Hearing Examiner

Date of Hearing: 7/18/90 dma/Specialist ID: 02416 Cassette No: 4915, 4916 Copies mailed on 7/26/90 to:

> Claimant Employer Unemployment Insurance - Glen Burnie (MABS)

7/27/90 70 211. mar 0110NI NIG 3 MANIE
CHENOTELE T may Concern I feel the determination of the Claims Expanirer is incorrect and wrong. In absence were of a Compelling and necesitors nature. I had Nactor's efences when absent and the other days MILLY TOL were Court ardered. ays a 1990 The infrastion of not swearing earpluga is incorrect I mas svearing safting glasser as well as having my expluge in place. There were several Witnessie working beside me at this time. again I feel the determination is incorrect and I herely appeal this decision. I have getten a Laufer Thylyss Hotchkiss and I plan to take Locke Insulator to Caut. James Tralif Charles Williams



William Donald Schaefer, Governor J. Randall Evans, Secretary

William R. Merriman, Chief Hearing Examiner Louis Wm. Steinwedel, Deputy Hearing Examiner

> 1100 North Eutaw Street Baltimore, Maryland 21201

> > Telephone: 333-5040

-DECISION-

Date:

Mailed:

7/26/90

Claimant

Charles A. Williams

1518 Belt Street

Baltimore, MD 21230

Appeal No.:

9008848

S. S. No.:

219-66-9682

Employer:

Locke Insulators, Inc. 2525 Insulator Drive Baltimore, MD 21230

LO. No.:

02

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Employer

issue:

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DECISION

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The determination of the Claims Examiner below is hereby reversed.

Judy-Lynn Goldenberg
Hearing Examiner

Date of Hearing: 7/18/90 dma/Specialist ID: 02416 Cassette No: 4915, 4916 Copies mailed on 7/26/90 to:

Claimant
Employer
Unemployment Insurance - Glen Burnie (MABS)

DEDARTMENT OF	ECANANIC	AND EMPLOYMENT DE	VEI ODMENT

STATE OF MARYLAND BOARD OF APPEALS - ROOM 515 1100 NORTH EUTAW STREET BALTIMORE, MARYLAND 21201 333-5032

WILLIAM DONALD SCHAEFER Governor

NOTICE OF APPEAL

Claimant's Name

Employer's Name

Date

Appeal No.

SS No.

CHARLES A. WILLIAMS

LOCKE INSULATORS; INC.

T08/16/90

9008848

<u> 219-66-9</u>68

Appellant:

CLAIMANT

002

The Board of Appeals has received an appeal in this case. The Board may deny a petition for review, it may decide the time the case on the record already established, or it may grant a hearing. You will be notified in the future of the Board's action.

The Board's action may change the result of the Examiner's decision. If the Claimant has been previously disqualified from benefits, that disqualification may be affirmed, modified or reversed.

If the Claimant has been granted benefits, a partial or total disqualification may be imposed by the Board's action. If this occurs, the Claimant may be required to pay back some or all of the benefits received.

It is the duty of all parties to keep the Board of Appeals notified of their current address. Please write to the Board at Room 515, 1100 North Eutaw Street, Baltimore, MD 21201 if your address changes.

Mail To:

CHARLES A. WILLIAMS
1518 BELT STREET
BALTIMORE, MD 21230

PAUL G. ZIMMERMANN

COUNSEL

Copies Mailed To:

LOCKE INSULATORS, INC. ATTN: WILLIAM HIEL EMPLOYEE RELATIONS MANAGER 2525 INSULATOR DRIVE BALTIMORE, MD 21230



EED/OUT/AD 371 C (Rev. 2/6)

DEPARTMENT OF ECONOMIC AND EMPLOYMENT DEVELOPMENT

STATE OF MARYLAND BOARD OF APPEALS - ROOM 515 1100 NORTH EUTAW STREET BALTIMORE, MARYLAND 21201

WILLIAM DONALD SCHAEFER
Governor

NOTICE OF APPEAL

Claimant's Name

Employer's Name

Date

Appeal No.

SS No.

CHARLES A. WILLIAMS

LCCKE INSULATORS, INC.

08/16/90

9008848

219-66-968

Appellant:

received.

CLAIMANT

002

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Mail To:

LOCKE INSULATORS, INC. ATTN: WILLIAM HIEL EMPLOYEE RELATIONS MANAGER 2525 INSULATOR DRIVE BALTIMORE, ND 21230

PAUL G. ZIMMERMANN

COUNSEL

Copies Mailed To:

CHARLES A. WILLIAMS 1518 BELT STREET BALTIMORE, MD 21230

66

FED/OF II/AD 371 C (Bex 2/



William Donald Schaefer, Governor J. Randall Evans, Secretary

> Board of Appeals 1100 North Eutaw Street Baltimore, Maryland 21201 Telephone: (301) 333-5032

Board of Appeals Thomas W. Keech, Chairman Hazel A. Warnick, Associate Member Donna P. Watts, Associate Member

- DECISION -

Decision No.:

930-BR-90

Date:

Sept. 17, 1990

Claimant

Charles Williams 1518 Belt Street Baltimore, MD 21230 Appeal No.:

9008848

S. S. No.:

219-66-9682

Employer:

Locke Insulators, Inc.

ATTN: William Hiel

Employee Relations Mgr.

2525 Insulator Drive Baltimore, MD 21230

L. O. No.:

2

Appellant

CLAIMANT

Issue:

Whether the claimant was discharged for gross misconduct, connected with the work, within the meaning of Section 6(b) of the law.

- NOTICE OF RIGHT OF APPEAL TO COURT -

YOU MAY FILE AN APPEAL FROM THIS DECISION IN ACCORDANCE WITH THE LAWS OF MARYLAND. THE APPEAL MAY BE TAKEN IN PERSON OR THROUGH AN ATTORNEY IN THE CIRCUIT COURT OF BALTIMORE CITY, IF YOU RESIDE IN BALTIMORE CITY, OR THE CIRCUIT COURT OF THE COUNTY IN MARYLAND IN WHICH YOU RESIDE.

THE PERIOD FOR FILING AN APPEAL EXPIRES AT MIDNIGHT ON

October 17, 1990

-APPEARANCES-

FOR THE CLAIMANT:

FOR THE EMPLOYER:

REVIEW ON THE RECORD

Upon review of the record in this case, the Board of Appeals adopts the facts and reasoning contained in the decision of the Hearing Examiner.

DECISION

The claimant was discharged for gross misconduct, connected with his work, within the meaning of Section 6(b) of the Maryland Unemployment Insurance Law. He is disqualified from receiving benefits from the week beginning May 20, 1990 and until he becomes re-employed, earns at least ten times his weekly benefit amount (\$1,810), and thereafter becomes unemployed through no fault of his own.

The decision of the Hearing Examiner is affirmed.

Chairman

Kuch

Associate Member

K:DW kbm COPIES MAILED TO:

CLAIMANT

EMPLOYER

UNEMPLOYMENT INSURANCE - GLEN BURNIE

	· ·		
CHARLES WILLIAMS	*	IN THE	OCT 12 1990
Claimant	×	CIRCUIT COURT	CIRCUIT COURT FOR BALTIMORE CITY
v .	×	FOR 9028	35042
BOARD OF APPEALS DEPARTMENT OF ECONOMIC AND	*	DALTIMODE CITY	
EMPLOYMENT DEVELOPMENT STATE OF MARYLAND	*		
1100 North Eutaw Street	*		
Baltimore, Maryland 21206	*	Case No.:	
and			
	*		
LOCKE INSULATORS, INC 2525 Insulator Drive Baltimore, Maryland 21230	*		
Attention: William Hiel Employer	*		

ORDER FOR APPEAL

Please enter an appeal on behalf of Charles Williams, by Phyllis A. Hotchkiss, Esquire, from the decision no.: 930-BR-90 issued by the Board of Appeals, Department of Economic and Employment Development, State of Maryland, and rendered in the above captioned matter on September 17, 1990.

Charles Williams/PAN Claimant

FILED

Phyllis A. Hotchkiss, Esquire 5900 York Road, Suite 5 Baltimore, Md. 21212 (301) 828-6366 Attorney for the Claimant

COSTS WAIVED

CERTIFICATE OF MAILING

I HEREBY CERTIFY that on this _	124	day of _	0d
1990, a copy of the foregoing Order			
and Amy S. Scherr, Counsel for the	Department of	Economic De	evelopment,
State of Maryland, 217 East Redwo	od Street, 11th	Floor, Baltir	nore, Md.
21202 and William Hiel, Employee	Relations Mana	ger, Locke li	nsulators,
Inc., 2525 Insulator Drive, Baltimo	re, Maryland 2	1230.	

Physics A. Hotchkiss, Esquire

							PETI	TIO	N								
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CHAIN	CITATION WILLIAM								• • • • • • • • • • • • • • • • • • • •	4 11	· -						
CHAR	LES \	WILL	IAM	S				à	<	- 11	V TH	IF .					

The Petition of Charles Williams, by Phyllis A. Hotchkiss, Esquire, respectfully represents:

- 1. Claimant is aggrieved by a decision of the Board of Appeals which denied him unemployment insurance benefits rightfully due him as a result of his employment.
- 2. The Board of Appeals and the Hearing Examiner erred in it's interpretation of the meaning of gross misconduct within Section 6(b) of the Maryland Unemployment Insurance Law as per prior cases when applied to the facts in this case.

3. The Board erred for such other reasons as may become apparent from a reading of the record.

Phyllis A. Hotchkiss, Esquire 5900 York Road, Suite 5 Baltimore, Md. 21212 (301) 828-6366 Attorney for the Claimant

CERTIFICATE OF MAILING

I HEREBY CERTIFY that on this _______ day of ______ day of ______ 1990, a copy of the foregoing Petition was mailed to Alexander Wright, Jr., and Amy S. Scherr, Counsel for the Department of Economic Development, State of Maryland, 217 East Redwood Street, 11th Floor, Baltimore, Md. 21202 and William Hiel, Employee Relations Manager, Locke Insulators, Inc., 2525 Insulator Drive, Baltimore, Maryland 21230.

Physis A. Hotchkiss, Esquire

D. Lee 2-1-10

Image 111

DL 2-1-10 Image 111

From: Jennifer Hafner

To: Ray Connor, Doris Byrne, Sheila Simms, Edward Papenfuse

Date: Monday, February 01, 2010 12:53:12 PM

Subject: MSA SC 5458-82-150, 1990 cases

These are the remaining 1990 cases. I have updated the work order.

Mayor AND City Council VS LOUDEN Box 1003 Case No. 90211027 [MSA T2691-3640, OR/11/15/36]

File should be named msa_sc5458_82_150_[full case number]-####

DESSESAURE VS ST PAUL RENTALS Box 1003 Case No. 90211028 [MSA T2691-3640, OR/11/15/36]

File should be named msa_sc5458_82_150_[full case number]-####

LANGREHR VS HOBBS Box 1010 Case No. 90215022 [MSA T2691-3647, OR/11/15/43]

File should be named $msa_sc5458_82_150_[full case number]-\#\#\#$

OZOLIN VS BOARD OF APPEALS, ET. AL. Box 1060 Case No. 90243035 [MSA T2691-3697, OR/11/16/9]

File should be named msa_sc5458_82_150_[full case number]-####

WILLIAMS VS BD. OF APPEALS, ET. AL. Box 1129 Case No. 90285042 [MSA T2691-3766, OR/11/16/77]

File should be named msa sc5458 82 150 [full case number]-####

BOST VS DISTANCE Box 1204 Case No. 90331026 [MSA T2691-3841, OR/11/17/68] File should be named msa_sc5458_82_150_[full case number]-####

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1 of 1