8/31/1931 Sune Copie Serven to Outled 8/31/17, Leepe hig and 1000 1273 STATE OF MARYLAND3 3 00 vs. HERMAN WEBB DUKER and DALE LAMBERT 6/17/1931 Ont Our 10/15/31 Indictment to 10/27/31 TRUE, Foreman. Filed Genty muto 10 be Stange WITNESSES : Southe & Lieut. Holzer Sgt. O'Grady Sgt. O'Dea Off. Ward Off. Twele Pauline Webster Verna Tierney -Dr. Boyce MURDER O. K.. 19/13/31 Oler Statues in P. G. 19/13/31 G/17/31 Jr.G. 109 11/0/31 Jule Par Joy 11/0/31 Jule Par Joy HEL302/15

State oi Maryland,

City of Baltimore, to wit:

aforethought did kill and murder John W. Anderson,

contrary to the form of the Act of Assembly in such case made and provided, and against the peace, government and dignity of the State.

The State's Attorney for the City of Baltimore.

| In the Criminal | Court | of Baltin | nore |
|--|--------------|--------------------|-----------------------|
| \ | | JANUARY | |
| The Jurors of the State of Maryland, for present HERMAN. WEBB. DUKER and DALE. LAMB | 10.00 | the City of Baltir | |
| charged with feloniously, wilful | ly and of de | liberately prem | editated |
| malice aforethought killing and malice | murdering Jo | hn W. Anderson | |
| | | | |
| | | | |
| | · | | |
| | in said Ci | ty of Baltimore, a | nd State of Maryland, |
| on or about the 20th | day of | April | , 19 ^{.31} |
| WITNESSES: | | | Foreman. |
| Lieut. Holzer, Northern District | | | |
| Sgt. O'Grady, Detective Headquar | ters | | |
| Sgt. O'Dea, " " | | | |
| Off. Edw. Ward, Southwestern Dis | trict | | |
| Off. Edward Twele | | | |
| Pauline Webster, 1722 N. Durham | Street | | |
| Verna Tierney, 1615 N. Bradford | | | |
| Dr. Frederick Boyce, Union Memor | ial Hospita | 1, | |

" agle Bai ho "

STATE OF MARYLAND

1:43

129

vs.

HERMAN WEBB DUKER - and DALE LAMBERT

Presentment

PRESENTLD

Sevige S. Bug Panders an

STATE OF MARYLAND,

IN THE

vs.

CRIMINAL COURT

HERMAN W. DUKER.

000

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:

:

OF BALTIMORE CITY.

MR. CLERK: -

Please summon the following witnesses to testify on behalf of the defendant, and make the writ returnable Tuesday, October 27, 1931, at 10 o'clock A. M.:-

Mr. Herman S. Duker,
Mrs. Helene Webb Duker,
Mr. Hugh S. Duker,
Dr. D. Corbin Streett,
Dr. John R. Oliver,

V Dr. G. E. Partridge,

Dr. Ralph P. Truitt,

OCT 2 6 1931

1808 Eutaw Place.

1808 Eutaw Place.

4000 St. Paul Street.

712 Park Avenue.

Johns Hopkins Medical School Library; or Latrobe Apartments; or Alumni Memorial Dormitory, Johns Hopkins University.

Maryland Penitentiary; or 16 W. Pennsylvania Avenue, Towson, Maryland.

1014 St. Paul Street; or Tudor Arms Apartments.

Helpre 01

- Attorneys for Defendant -

IN THE CRIMINAL COURT OF BALTIMORE CITY. 1273

1931

STATE OF MARYLAND,

vs.

HERMAN W. DUKER

ORDER FOR WITNESSES

NILES, BARTON, MORROW & YOST ATTORNEYS AT LAW BALTIMORE, MD.

OCT 26 193

CRIMINAL COURT OF BALTIMORE

JANUARY TERM, 1931.

THE STATE OF MARYLAND

To the Sheriff of Baltimore City, Greeting:

We command you that you take the body of

immediately have before the Court here to answer a presentment for

Jerman H

WITNESS the Hon. Samuel K. Dennis, Chief Judge of the Supreme Bench of Baltimore City, the 12th day of Jan., 1931.

Issued the

and

day of

1931.

EDWARD GROSS, Clerk Criminal Court of Baltimore.

1273 STATE OF MARYLAND.

V8. erna Ne gt. TAKE BAIL IN \$ JUDGE. hn St ff C. Steyne SHERIFF JUN 1 (1931

CRIMINAL COURT OF BALTIMORE

JANUARY TERM, 1931.

THE STATE OF MARYLAND

To the Sheriff of Baltimore City, Greeting:

We command you that you take the body of

and immediately have before the Court here to answer a presentment for

en

WITNESS the Hon. Samuel K. Dennis, Chief Judge of the Supreme Bench of Baltimore City, the 12th day of Jan., 1931.

Issued the

day of

1931.

EDWARD GROSS, Clerk Criminal Court of Baltimore.

273 STATE OF MARYLAND. ale Lambert 4 TAKE BAIL IN \$ JUDGE. . , Det fil seft Dugan SHERIFF CEPI.

CRIMINAL COURT OF BALTIMORE

MAY TERM, 1931.

THE STATE OF MARYLAND

To the Sheriff of Baltimore City, Greeting:

We command you that you take the body of

Herman Webb Duker and him immediately have before the Court here to answer a presentment for

Murder

WITNESS the Hon. Samuel K. Dennis, Chief Judge of the Supreme Bench of Baltimore City, the 11th day of May, 1931.

Issued the

3125

day of

august.

1931.

EDWARD GROSS. **Clerk Criminal Court of Baltimore.**

STATE OF MARYLAND.

1273

Herman Well De

JUDGE.

900 SHERIFF

US.

TAKE BAIL IN \$ -

ØEP

FILED. AUG. 3.1. 1931.

SUMMON the following additional witnesses for STATE VS. Dala II Land To EDWARD GROSS, Esq., Clerk Criminal Court te's Attorney.

SUMMON the following additional witnesses for STATE VS. TO EDWARD GROSS, Esq., Clerk Criminal Court State's Attorney.

SUMMON the following additional witnesses for STATE VS. Lucker et To EDWARD GROSS, Esq., Clerk Criminal Court 's Attorney.

No 12 73 Docket 1931 **Criminal** Court of Baltimore STATE OF MARYLAND VS. _Term, 1927 Lambe INDICTED for MR. CLERK: Enter my appearance for Defendant and summon for defense the Witnesses whose names are endorsed hereon. FILED Attorney.

TNES Fred Sambert Carl " alberta " 656 Mm "Jutman ave Gerthunde" Jus Relch 2807 Junset Drive Edwin Deitz 5315 Fulton ave mosterbert fulton 2805 Freemons 928 n Charles St.

STATE OF MARYLAND VS.

Criminal Court of Baltimore

INDICTED for Muler my appearance for Defendant and summon for defense the

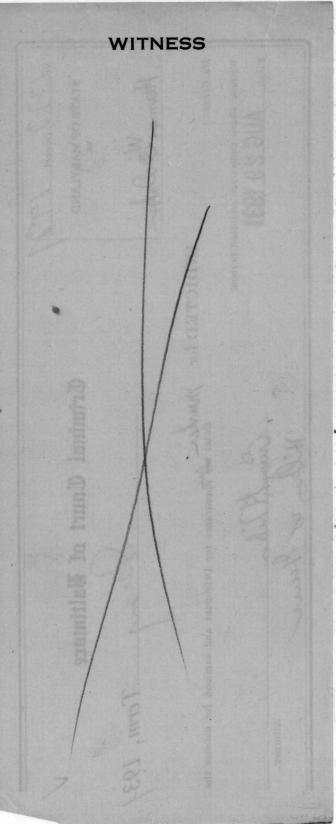
reary

Witness whose names are endorsed hereon.

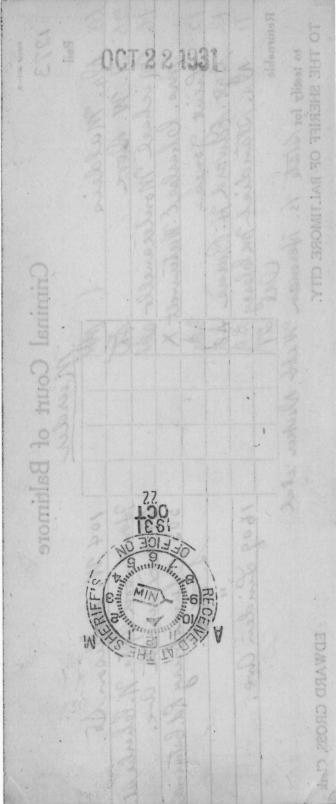
FILED AUG 29

MR. CLERK:

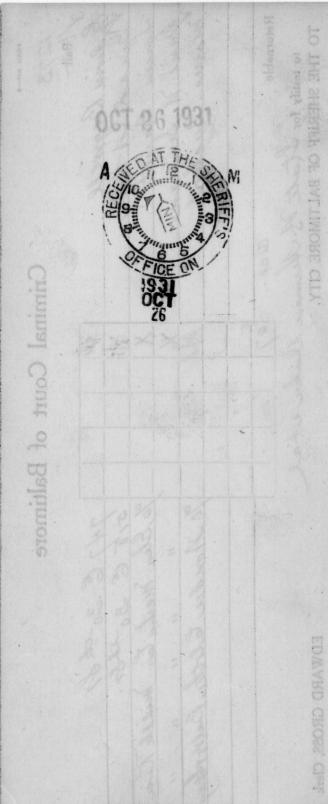
Attorney.



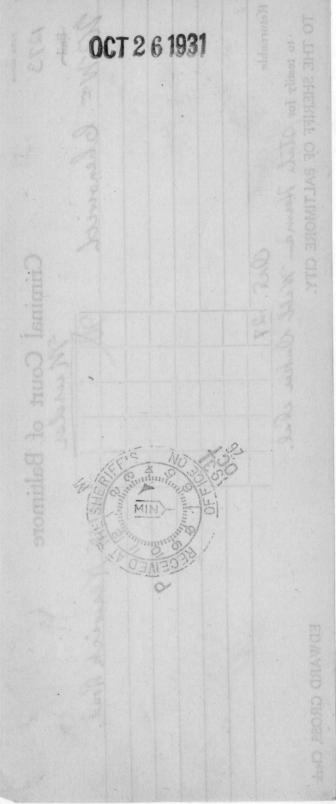
FORM 407-5 Criminal Court of Baltimore 1273 Murder Bail 104 W. Madison AS Nr. Maldeis Homewood apts. n. Charles S. 2400 Lauretta are. a. W. Dove Michael Montecanello al mrs. Charles E. Westerwelt X 505 Blumsbury Rd. Catousville 1. W. 10. Lient Jones 298. Edward H. Gurns Nr. Standish mc bleary A 1609 Linden ave. Returnable to testify for State Vs. Herman Webb Duker Ral TO THE SHERIFF OF BALTIMORE CITY. EDWARD GROSS, Clerk



FORM 407-5 Criminal Court of Baltimore 1273 /Bail 1476.30 th Quin Bagwell 6 30 20 6. middle River B % Nonder Elster 2-Qct. Returnable to testify for State VS. Herman Duke et al TO THE SHERIFF OF BALTIMORE CITY. EDWARD GROSS, Clerk



FORM 407-B Criminal Court of Baltimore 1273 Murder Bail 10 Wr. Chenowith 3115 Reswick Road. to testify for Stale Herman Webb Duker et al. Returnable EDWARD GROSS, Clerk TO THE SHERIFF OF BALTIMORE CITY.



FORM 407-10 Criminal Court of Baltimore 1273 Murder Bail 21 Dr. Frederick Boyce Union Memorial Hospital. 2042 Bradding Cove 18 mu anna learolyno ancerino fel Returnable Ora 27 to testify for State Vs. Herman Webb Duker et al. TO THE SHERIFF OF BALTIMORE CITY. EDWARD GROSS, Clerk



FORM 407-5 Criminal Court of Baltimore 1273 Pai 3 ma ma rnable let 27 to testify for State S. Nerman Holuker et al Returnable TO THE SHERIFF OF BALTIMORE CITY. EDWARD GROSS, Clerk



FORM 407-5 Criminal Court of Baltimore 1273 Murder 4 Dr. G. E. Partridge S Maryland Pententiary; Jor 16 W. Pennsylvania are., Towson, Marylana 4 Dr. Ralph . Truitt. Judor arms apartment. 27 Returnable to testify for Herman W. Duker. TO THE SHERIFF OF BALTIMORE CITY. EDWARD GROSS, Clerk



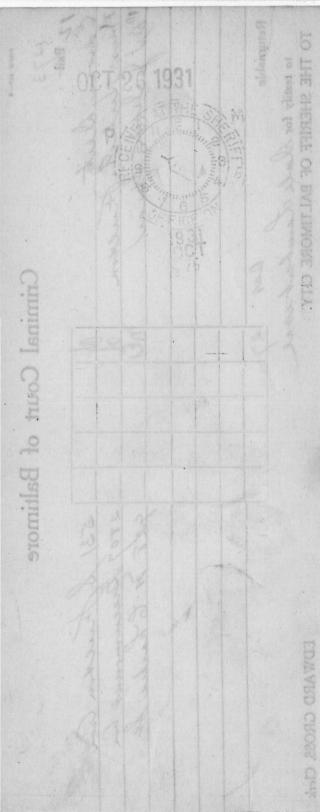
FORM 407-5 Criminal Court of Baltimore 1273 Murder Bail 1808 Entair Dr. Mr. Herman S. Nuker mrs. Helen Webb Nuker 4000 Sr. Paul ST. . Nuker Hugh A Corbin Streett Park ave. 712 Johns Hopkins medical School Library; or Latrole apartments; Nr. John R. Oliver or alumni Memorial Dormitory Qcs. 27 Johns Hopkins University " Returnable to testify for Herman W. Duker. TO THE SHERIFF OF BALTIMORE CITY. EDWARD GROSS, Clerk



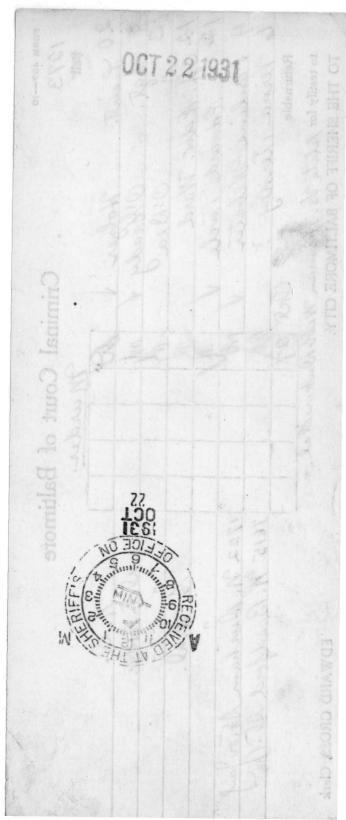
FORM 407-9 Criminal Court of Baltimore 12% 11 Intrude met Aline 2807 to testify for Dale Lamberh et al Returnable TO THE SHERIFF OF BALTIMORE CITY. EDWARD GROSS, Clerk



| FORM 407-5 1273 Crim | ninal Court | of Baltimore |
|--|-------------|---|
| 1273 12 Bail Edwin, Deitz Thus Jubert. Fulton | | 531 S. Freeton Com. 2807 Dreenmount line |
| Alf & Irin Spear | | |
| Returnable Day to testify for hale Lambert | -27 | |
| TO THE SHERIFF OF BALTIMORE CITY. | | EDWARD GROSS Clerk |



FORM 407-10 Criminal Court of Baltimore 1273 Bail Murder. 20 Lient. n.D. Holzer Nor. Udgr. Grade 12 Off. Edw. Ward J. W. N. " Edward Twele 1722 n. Durham St.or Jaif 1615 n. Bradford St. Pauline Webster 6 Verna i erney Returnable to testify for state Vs. Herman Webb Duker et al. TO THE SHERIFF OF BALTIMORE CITY. EDWARD GROSS, Clerk



| | State of Maryland, Baltimore City, to wit: |
|---------|---|
| | To the Warden of Baltimore City Jail.—Greeting. YOU ARE HEREBY COMMANDED to receive from any officer the body of |
| | PAULINE WEBSTER who is hereby committed to your |
|) ရ) (၉ | custody as a witness in the case of State, vs. DALE LAMBERT ET AL |
| | charged with MURDER in default of security in the sum of OUL ChAUMAUD Dollars |
| | for her appearance before the Criminal Court of Baltimore on the day of |
| | and her the said PAULINE WEBSTER |
| | until |
| | WITNESS, the subscriber,Judge of the Supreme Bench of Baltimore City, who hath hereto set his |
| | hand and seal this second day of July, 1931 (SEAL) |
| | Judge of the Supreme Bench of Baltimore City. |

1273 1931

PAULINE WEBSTER

COMMITTED TO TESTIFY

STATE

DALE LAMBERT ETAL

Charge MURDER

No.

10/27/1931 Reliand Lum Gross le lele 1

Paire 118 Days \$ 59.00

11/3/1931

Dale Lambert,

X 43

12/1931

The sentence of the Court is that you be confined in th Maryland Penitentiary for the term of your natural life.

Herman Webb Duker,

15 0 The Sentence of the Court is that you be taken hence by the Sheriff and delivered to the Warden of the Maryland Penitentiary, in whose custody you are to remain until such time as the Governor by his warrant shall designate, at which time, and at the place which under the Law has been provided, you shall be hanged by the neck until you are dead.

fight i her

12 1231 Marine So manual to the Court is the flarge. Marine So many the flarge of the Versel of the Marine as the section of the marine multi such three, and the section of the flarge out of you are to remain until three, and the section of the flarge out of the flarge out of the section of both & noriand

| | AND & XM WAR EDWERDEN ON FORMAL CLASH |
|---|---|
| HABEAS CORPUS AD TESTIFICANDUM. Indictment No. 1273, Docket 1931 | - PC PG # 1465 |
| The State of Maryland | |
| | The body of HERMAN DUKER |
| Court of Baltimore, Part 8, Room 40 | detained name he may be called in the same, before the Criminal Court House, Baltimore, Maryland, at 10 o'clock |
| A. M., TUESDAY DEC 5 case of State of Maryland vs. It ERMAN | DUKER to testify in the |
| then and there to be tried and immediately after | the said <u>HERMIN DUKER</u> shall have |
| given his testimony before the said Court to retu writ. | Irn him to said prison, and have you then and there this |
| Witness the hand of the Judge and the Seal of | of the Criminal Court of Baltimore, this _2.9 |
| day of NOVA. | D., 19.67. |

Currely Sodaw Judge.

RECEIVED CRIMINAL COURT BALTIMORE. MD. 67 102 10 20 AH '67 UEC 2 2 2 LAWRENCE R. MOONEY CLERK us col 1023 hand of the Judge and the Seat of the Criminal bur beind and SHERIFI M KURD THE MARYLAND MUN 14 HALE OF HABEAS CORPUS AD TESTIFICANDUM SERVED OF DUNCE 2 20 h THE 17 50 TOSEPH A MO 0B/ Buen PRESENCE DAKE 4 OW 1122 1 BIVS HOW THE H 1/s 1181 K 6 19. Hd 50 DE NON 31 阿同 10 fre DEFICE + 0 5 00 Q.

SUMMON the following additional witnesses for STATE VS. To EDWARD GROSS, Esq., Clerk Criminal Court .State's Attorney.

SUMMON the following additional witnesses for STATE VS. 1273 0 To EDWARD GROSS, Esq., Clerk Criminal CourtState's Attorney.

SUMMON the following additional witnesses for STATE VS. 06726 2 th E. 30 wel 40 wonder To EDWARD GROSS, Esq., Clerk Criminal Court State's Attorney.

| THE STATE OF MARYLAND |) | | : | | | | IN THE |
|-----------------------|---|---|---|---|---|---|-----------------------|
| VS. | | | : | | | | CRIMINAL COURT PART I |
| | | | : | | | | OF |
| HERMAN WEBB DUKER | | | : | - | | | BALTIMORE CITY. |
| | | | | | | | No. 1273 |
| | - | - | | | - | - | |

: : : : : : : : :

TO THE HONORABLE, THE JUDGE OF SAID COURT:

The Defendant, HERMAN WEBB DUKER, by EMORY H. NILES and HILARY W. GANS, his attorneys, moves that the judgment and sentence heretofore rendered against him in this Court be stricken out, for the following reasons, appearing upon the face of the record:

(1) THAT, under Section 403 of Article 27 of the Code of Public General Laws of Maryland, after a verdict of "Guilty of Murder in the First Degree", the Defendant shall (in the sound discretion of the Court) be sentenced to life imprisonment or death; that, as appears from its written opinions, the Court abused the sound discretion vested in it in arbitrarily sentencing the Defendant to death on the Court's private opinion or prediction, contrary to the evidence in the case, that the Defendant would be a danger and menace to the lives of the Penitentiary guards and inmates, due to his emotional underdevelopment and psychopathic personality.

(2) THAT, although the Court in its opinions expressly declared that the Defendant ought to be sentenced to life imprisonment because of his abnormal development and consequent partial moral responsibility, yet nevertheless the Court sentenced the Defendant to be hanged because of the Court's private opinion (unsupported by the evidence in the case) of the inadequacy of the Institutions of this State to properly care for the Defendant.

(3) THAT the Court committed an abuse of the sound discretion vested in it in ignoring the following uncontradicted and pertinent facts, to wit:

- That the Defendant, although twenty-two (22) years of age, has the emotional development of a child;
- That the crime was committed under great emotional strain;
- That the Defendant pleaded guilty and gave an honest and full statement concerning the crime;
- That the Defendant, although legally same, is medically insame,

and arbitrarily and unjustly using the Defendant's unfortunate and involuntary maladjustments as the sole reason for the imposition of the death sentence rather than life imprisonment.

(4) THAT the Court, in sentencing to death the Defendant, whom the Court found to be medically of abnormal psychology and irresponsible to such an extent as to be characterized by the Court as being as little able to conform his conduct to social standards as he would be to walk in the air, violated Article "25" of the Declaration of Rights of the Constitution of Maryland, which forbids the imposition of cruel and unusual punishment. (5) THAT, as will appear upon the face of the record, from the uncontradicted testimony of all of the expert witnesses who testified in relation to the mental condition of the Defendant, and as will appear from the opinions of the Court filed herein, the Defendant is mentally diseased and unbalanced, and not fully responsible for his acts; that said condition has existed over a long period of time and that the Court committed an abuse of the sound discretion vested in it in sentencing to death a man of diseased and unbalanced mind, admittedly abnormal and lacking the power to control his actions.

(6) THAT the Court committed an abuse of the sound discretion vested in it in deciding without evidence or testimony that a man of the Defendant's type could not be restrained adequately and effectively in the Maryland Penitentiary, and in disregarding ample and complete testimony of competent medical witnesses of highest standing and of responsible administrative officials to the contrary, notwithstanding the express statement contained in the Court's opinions relative to mental health and mental disease, as follows:-

> "In this field lawyers and judges are merely laymen, and it would be as presumptuous for the Court to offer its opinion as superior to that of competent medical men as it would be for the doctors to attempt to instruct the lawyers upon the law of Contingent Remainders or like abstruse questions of law".

(7) THAT the Court committed an abuse of the sound discretion vested in it in disregarding the positive testimony of expert witnesses who categorically contradicted the interpretation made by the Court of their

-3-

testimony at the first hearing of this cause, and in disregarding additional testimony of other experts and officials.

(8) THAT the Court committed an abuse of the sound discretion vested in it and prejudicial error in preparing a written opinion, multigraphed in advance in many copies for distribution, containing the Court's decision, before hearing argument upon the case and without allowing the Defendant an opportunity to say whatever he might have to say why sentence should not be imposed.

(9) THAT the verdict and the sentence were against the weight of the evidence.

(10) THAT the punishment imposed by the Court is excessive under the circumstances of this case.

(11) THAT the indictment is insufficient under the evidence in this case to support a verdict of "Murder in the First Degree".

(12) AND for other reasons to be shown at the hearing.

Attorneys for Defendant.

January 6, 1932 Motion Overmed Joseph N human

-4-

1273/1931 IN THE CRIMINAL COURT PART I OF BALTIMORE CITY. STATE OF MARYLAND VS. HERMAN WEBB DUKER MOTION TO STRIKE OUT JUDGMENT & SENTENCE. Mr. Clerk:-Please file. anay Thile W. Gans Attorneys for Defendant. BRUNE, PARKER, CAREY & GANS ATTORNEYS AT LAW BALTIMORE, MARYLAND

JTB:U 4-17-44 1 - 3

| STATE (| OF MARYLAND | : | IN | THE | CRIMINA | L COURT |
|---------|-------------|-------|----|------|----------|---------|
| | vs. | · · · | | | OF | |
| HERMAN | WEBB DUKER | : | | BALI | CIMORE C | ITY |

TO THE HONORABLE, THE JUDGE OF SAID COURT:

The petition of Hilary W. Gans, attorney for Herman Webb Duker, respectfully represents:

<u>1</u>. That as is shown by the records of this Court the said Herman Webb Duker was committed to the Maryland Penetentiary to be there imprisoned for life.

2. That the petitioner proposes to file an application with the Parole Commissioner on behalf of the said Herman Webb Duker for a reduction of sentence if the diagnosis hereafter mentioned shall disclose that he is no longer suffering from a certain ailment with which he was afflicted at the time of the crime for which he was sentenced.

<u>3</u>. That your petitioner is informed that for the purpose of making said diagnosis and ascertaining whether the said Herman Webb Duker is now free from said affliction, it is necessary that an electro-encephalogram be made by Dr. Ruth Lidz and Dr. Dorothy E. Donley; that the only machine in the City of Baltimore on which said electro-encephalogram can be made is located at Phipps Clinic, Johns Hopkins Hospital; that said diagnosis and electro-encephalogram will take approximately one hour and a half.

WHEREFORE your petitioner prays that this Court issue its Order directed to the Warden of the Maryland Penetentiary, directing that the said Herman Webb Duker be transported under guard to the Wolfe Street entrance of the Phipps Clinic, Johns Hopkins Hospital, on Tuesday, April 25th, at 3:20 p.m., and that Dr. Ruth Lidz and Dr. Dorothy Donley be permitted to make an electro-encephalogram of the said Herman Webb Duker.

AND As in duty bound, etc.

Hlung & Laur

Attorney for Herman Webb Duker

STATE OF MARYLAND

vs.

HERMAN WEBB DUKER

IN THE CRIMINAL COURT OF BALTIMORE CITY

ORDER

:

Upon the foregoing Petition, it is this 19 day of appl, 1944, by the Criminal Court of Baltimore City -

ORDERED That the Warden of the Maryland Penetentiary be and he is hereby directed and ordered to transport Herman Webb Duker, now an inmate of said penetentiary, under guard, to the Wolfe Street entrance of the Phipps Clinic, Johns Hopkins Hospital, Baltimore, Maryland, at 3:20 o'clock, p.m., Tuesday, April 25, 1944, and to then and there permit Dr. Ruth Lidz and Dr. Dorothy Donley to examine the said Herman Webb Duker and make an electro-encephalogram, and to thereafter return the said Herman Webb Duker to the Maryland Penetentiary.

J. Mouer Sayler Judge

#1273 1931. 1273/1931 IN THE CRIMINAL COURT OF BALTIMORE CITY STATE OF MARYLAND vs. HERMAN WEBB DUKER Petition and Order thereon Mr. Clerk: Please file, etc. lan a La Attorney for Herman Webb Duker BROWN & BRUNE ATTORNEYS AT LAW BALTIMORE, MARYLAND APR 19 1944

| THE STATE OF MARYLAND | : | IN THE |
|-----------------------|-----|--------------------|
| | : | CRIMINAL COURT |
| VS. | : | PART 1 |
| HERMAN WEBB DUKER | : | OF BALTIMORE CITY. |
| | 000 | |

MR. CLERK: -

Please enter an appeal in the above entitled cause to the Court of Appeals of Maryland on behalf of the defendant Herman Webb Duker from the Order of this Court, dated January 6, 1932, overruling motion of the defendant Herman Webb Duker, made December 31, 1931, to strike out the verdict and sentence herein, and refusing to strike out verdict and sentence herein.

Atlan W. Gans Curry Aliles neys for Defendant.

STATE OF MARYLAND CITY OF BALTIMORE, To wit:-

6th day of I HEREBY CERTIFY That on this January, 1932, before me, the subscriber, a Notary Public of the State and City aforesaid, personally appeared hurry ff biles , attorney for the defendant Herman Webb Duker in the above entitled cause, and made oath in due form of law that the appeal taken in this cause is not made for pusposes of delay. WITNESS my hand and Notarial Seal.

augela Kelly

IN THE CRIMINAL COURT PART 1 OF BALTIMORE CITY

13

1273/1931

THE STATE OF MARYLAND

vs.

HERMAN WEBB DUKER

ORDER OF APPEAL.

Mr. Clerk:

Please File.

Heavy W. Gans In Defendant.

NILES, BARTON, MORROW & YOST ATTORNEYS AT LAW BALTIMORE, MD.

Jaco 6/19

T'Uil

JBRC:G 5/9/44

| STATE OF MARYLAND | : 1 | IN THE CRIMINAL COURT |
|-------------------|-----|-----------------------|
| VS. | : | OF |
| HERMAN WEBB DUKER | : | BALTIMORE CITY |

TO THE HONORABLE, THE JUDGE OF SAID COURT:

The Petition of Hilary W. Gans, attorney for Herman Webb Duker, respectfully represents:

1. That, as is shown by the records of this Court, the said Herman Webb Duker was committed to the Maryland Penetentiary to be there imprisoned for life.

2. That the Petitioner on or about the 19th day of April, 1944, petitioned this Honorable Court for permission to have the said Herman Webb Duker examined at the Johns Hopkins Hospital by Dr. Ruth Lidz and Dr. Dorothy E. Donley in order to make an electro-encephalogram, and that the said Herman Webb Duker be taken to the Johns Hopkins Hospital at a certain time on Tuesday, April 25th, 1944; that your Petitioner alleges that the said Henry Webb Duker was taken to the Johns Hopkins Hospital, in accordance with said Petition and Order thereon, but was unable to be examined on that day due to the sudden and violent illness of the technician, who was to operate the machine in connection with said examination.

3. That your Petitioner is informed that the said technician has now been dismissed from quarantine and

is again available to operate the machine upon which the electro-encephalogram is made.

4. That your Petitioner desires that the said Herman Webb Duker shall again be permitted to be taken by the warden of the Maryland Penetentiary under guard to the Wolfe Street entrance of the Phipps Clinic, Johns Hopkins Hospital, Baltimore, Maryland, at 3.20 P.M. on Friday, May 12th, 1944, and that Dr. Ruth Lidz and Dr. Dorothy Donley be permitted to make an electro-encephalogram of the said Herman Webb Duker at said time and place.

WHEREFORE your Petitioner prays that this Court issue its Order directed to the Warden of the Maryland Penetentiary, directing that the said Herman Webb Duker be transported, under guard, to the Wolfe Street entrance of the Phipps Clinic, Johns Hopkins Hospital, on Friday, May 12th, 1944, at 3.20 P.M., and that Dr. Ruth Lidz and Dr. Dorothy Donley be permitted to make an electro-encephalogram of the said Herman Webb Duker.

AND as in duty bound, etc.

Hilang W. San Attorney for Herman Webb Duker

| STATE OF MARYLAND | : | IN THE CRIMINAL COURT |
|-------------------|---|-----------------------|
| vs. | : | OF |
| | : | |
| HERMAN WEBB DUKER | : | BALTIMORE CITY |

ORDER

: : : :

Upon the foregoing Petition, it is this $\frac{9}{2}$ day of May, 1944, by the Criminal Court of Baltimore City -

<u>ORDERED</u> That the Warden of the Maryland Penetentiary be and he is hereby directed and ordered to transport Herman Webb Duker, now an inmate of said penetentiary, under guard, to the Wolfe Street entrance of the Phipps Clinic, Johns Hopkins Hospital, Baltimore, Maryland, at 3.20 o'clock, P. M., Friday, May 12th, 1944, and to then and there permit Dr. Ruth Lidz and Dr. Dorothy Donley to examine the said Herman Webb Duker and make an electroencephalogram, and to thereafter return the said Herman Webb Duker to the Maryland Penetentiary.

C- Man Sayle

1273/1981_ IN THE CRIMINAL COURT OF BALTIMORE CITY STATE OF MARYLAND VS. HENRY WEBB DUKER Petition and Order Mr. Clerk: Please file, etc., Hilay Whan MAY 9 - 1944 BROWN & BRUNE ATTORNEYS AT LAW BALTIMORE, MARYLAND

COURT OF APPEALS OF MARYLAND

Herman Webb Duker

No. 26 April Term-----Term 19 32.

Appeal from the Criminal Court of Baltimore City. Filed March 3rd, 1932. April 6, 1932. Motion to dismiss appeal filed. May 12, 1932. Motion to dismiss appeal overruled, and order affirmed with costs to the appellee. Opinion filed. Op.. Per Curian To be reported.

vs.

State of Maryland.

Appellant's Cost in the Court of Appeals of Maryland,

| Record | • | • | | \$. | |
|-----------------|---|---|----|----------|--|
| Brief | | • | • | \$ 39.00 | |
| Appearance Fee | | | | \$ 10.00 | |
| Clerk's Costs . | | | `. | \$ 1.30 | |

Appellee's Cost in the Court of Appeals of Maryland,

| Brief | • | • | • | \$ 26.00 |
|-----------------|---|---|---|-------------|
| Appearance Fee | | • | | \$ 10.00 |
| Clerk's Costs . | • | | • | \$ 1.75 |

STATE OF MARYLAND, Sct:

I, James A. Young, Clerk of the Court of Appeals of Maryland, do hereby certify that the foregoing is truly taken from the record and proceedings of the said Court of Appeals.

In testimony whereof, I have hereunto set my hand as Clerk and affixed the seal

of the Court of Appeals, this Thirteenth-----

\$50.30

\$37.75

day of June ---- A. D., 19 32. of the Court of Appeals of Maryland.

\$88.05

1273/1931 State 8.5 Sterman Frett Duked " mandate " JUN 1 4 1932

STATE OF MARYLAND

vs. HERMAN W. DUKER, et al. IN THE

CRIMINAL COURT PART I OF BALTIMORE CITY

MEMORANDUM

7

The supplemental hearings in this case grew out of a request from His Excellency, the Governor, which followed an application to him for executive clemency. Their purpose was to afford an opportunity to the defense to clear up certain alleged misconceptions of the evidence on my part.

The additional testimony taken covered a wide range -probably far wider than the Governor had anticipated. But my disposition was to allow the defense every possible degree of latitude?

time and 20193 Beyond saying that I have considered it carefully, I shall not comment upon any of the testimony. I think it became apparent during the supplemental hearings that the case has now boiled down to a very simple issue. That issue is not even a difference of opinion, properly so called. It is just a difference of prediction. I have said, in my formal opinion heretofore filed, that under existing laws and institutions, I predicted evil and dangerous consequences if the defendant should be confined in the penitentiary for life. As a judicial officer, concerned with the protection of society, I was unwilling to take the responsibility for so confining him. Certain expert witnesses and certain administrative officers of the State have now made a different prediction.

... Upon the whole record, I am compelled to adhere to my original conclusion.

Mr. Clerk, you will be kind enough to transmit the papers covering the supplemental hearings to His Excellency, the Governor of Maryland.

Judge

17 Juliages then we to allow the defense every possible degree now belied down to a very simple issue. and each of the regulation is the melogue and guirub there and plication to him for executive clemency. Their purpose was -Is mistree qu reale of earefeb ant of vilmutroque ma brofts of Lisda ttts. .trag um no sonshive set to anoligeonoptim begel I WILDTERS I berebienco eval I tant guives buo agner abiw a berevoo nexat wnomitest Lanoitibha That issue is not even -od di Maidt I 100

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STATE OF MARYLAND

VS.

HERMAN DUKER

IN THE CRIMINAL COURT OF BALTIMORE

ORDERED, this 7 day of October, Nineteen hundred and thirty-two, that the two volumes of the transcript of the record in the above entitled case which were sent to His Excellency, Governor Albert C. Ritchie at the hearing for commutation of sentence and by him returned to the Clerk of the Criminal Court, be withdrawn from the files in said case and delivered to Deputy State's Attorney J. Bernard Wells, for the further use of this Court.

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Presiding Judge In above entitled case.

OCT 7-1932

· Two volumens as aboon delivered whitis Hom Jutza Alman Ameller 197/32

17 (Mirg-two, shat the two volumes of the transcript of the record in the GA Ritchie at the hearing for commutation of sentence the Clerk of bns use of this Court. delivero the Criminal Court, be withdrawn from the files in said case to Deputy State's Attorney J. Bernard Wells, for the further aint, dan day of October, Wineteen hundred and Tot bearster min yd bas



Criminal Court of Baltimore,

EDWARD GROSS, Clerk

March 3rd 1932 Received of the Clark of the Commal Court of Battimore City record of frocudnings in case of State of manyland & Herman Hebb Duker and Dale Lambert Tames aufound in the Court of Manyland

1273 1931 State VI Stummo & Duker June mas 3-1932

June 14th 1932.

Hon. Albert C. Ritchie, Executive Offices, Annapolis, Maryland.

My dear Governor,

This is to advise you that the Mandate of the Court of Appeals of Maryland was sent us today affirming the original sentence of the Criminal Court of Baltimore in the case of State of Maryland Vs Herman Sebb Duker, the record overing which case you now have at your Office.

with my kind regards I am,

Yours respectfully

EDWARD GROSS, CLEFK

1273 1931 A tan VU Stemme In Durkes * I this June 14. 1932

January 11th 1932.

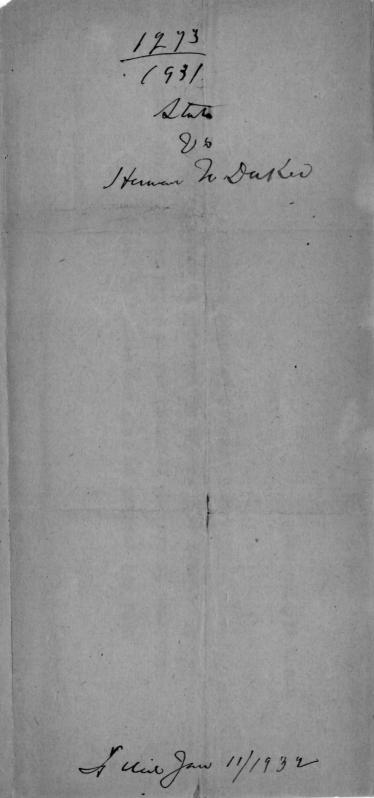
Hon. Albert C. Ritchie, Executive Office, Annapolis, Md.

Dear Governor,

I beg to advise you that on January 6th 1932 there was an Appeal filed to the Court of Appeals of Maryland in the case of State of Maryland Vs Herman Webb Duker. With kind personal regards I am,

Respectfully yours

Clerk.



December 30th 1931.

Hon. Albert C. Ritchie, Executive Offices, Annapolis, Maryland.

My dear Governor:

Enclosed please find Record of the Supplemental Hearing in the case of State of Maryland Vs. Herman W. Duker, et al Will you kindly acknowledge receipt of this record and return the Testimony to our office for our files,, after you have

finished with it.

With my kind personal regards I am,

Respectfully yours,

Clerk.

1273 1931 Alun 23 Sterme & Dutled Fidie Der 30/1971

ALBERT C. RITCHIE

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EXECUTIVE DEPARTMENT

ANNAPOLIS, MARYLAND

November 7, 1931

Mr. Edward Gross, Clerk of the Criminal Court, Court House, Baltimore, Maryland.

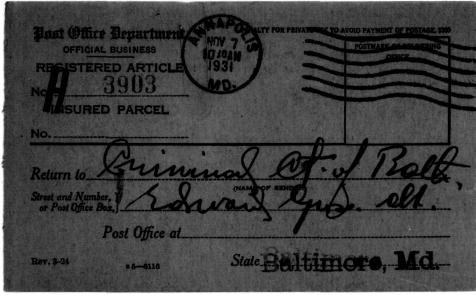
Dear Mr. Gross:-

Governor Ritchie directs me to acknowledge receipt of your letter of November 6th, enclosing Docket Entries and Judgment in the case of State of Maryland vs. Herman Webb Duker.

Very truly yours,

Raymond M. Lauer.

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DAVID C. WINEBRENNER 3P SECRETARY OF STATE



EXECUTIVE DEPARTMENT

Annapolis, Maryland

Dec. 31, 1931.

Mr. Edward Gross, Clerk, Criminal Court of Baltimore, Baltimore, Md.

Dear Mr. Gross:

I beg to acknowledge receipt of your favor of Dec. 30th, to Governor Ritchie, transmitting record of the Supplemental Hearing and Testimony (Volumes I and II) in the case of State of Maryland Vs. Herman W. Duker, et al.

When the Governor has finished with the textimony, we will be glad to return it to you, as requested.

Wishing you the compliments of the Season,

I am,

Very truly yours,

DAVID C. WINEBRENNER, 3d, Secretary of State.

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ALBERT C. RITCHIE GOVERNOR



EXECUTIVE DEPARTMENT

ANNAPOLIS, MARYLAND

September 16, 1932

Hon. Edward Gross, Clerk of the Criminal Court, Court House, Baltimore, Maryland.

Dear Mr. Gross:

Some time ago you sent me the transcripts of testimony in the cases of State vs. Herman Webb Duker and Dale Lambert. I have finished with these now, and am returning them to you.

Very truly yours, scorellitalió

in dur reits

Governor.

R-S

1273 1931 Stre Stemme H. Dusked HOW. Tendor. seers branka . noll Olerk of the , sewel d'ruo. Done a Buno8 ar.Vland Inrimit. ambort. I have finit cases of State Va. Herman too you Julie Sept 16. 1932

STATE OF MARYLAND

IN THE CRIMINAL COURT

OF

VS

HERMAN WEBB DUKER

BALT IMORE INDICTMENT NO. 1273-DOCKET 1931.

ANSWER

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TO THE HONORABLE, THE JUDGE OF SAID COURT:

The State of Maryland, by HERBERT R. O'CONOR, the State's Attorney for the City of Baltimore, in answer to the Motion addressed to this Honorable Court by the Defendant, HERMAN WEBB DUKER, through EMORY H. NILES and HILARY W. GANS, his Attorneys, to strike out the verdict and judgment heretofore rendered, respectfully denies the allegations contained in said Motion to the effect that the Court abused its discretion in the sentence imposed upon the said Defendant, HERMAN WEBB DUKER and further answering the allegations contained in the said Motion, states:

That the judgment of the Court was not the product of the Court's private opinion unsupported by the evidence in the case as contended by the Defendant, but on the contrary, was amply and adequately supported by the evidence in the case;

That the allegations made in the said Motion with regard to the mental development and condition of the Defendant, HERMAN WEBB DUKER are not such as to warrant and justify excusing the Defendant from the full responsibility for his acts.

That there is nothing apparent on the face of the record to justify the allegation contained in the Defendant's Motion that

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the Court ignored certain alleged facts and used as the sole reason for the imposition of the death sentence certain other alleged facts.

That the sentence in this case was warranted by the evidence adduced and therefore, is not in violation of Article 25, of the Declaration of Rights of the Constitution of Maryland forbidding "Cruel and unusual Punishment" and for the further reason that legal execution upon a judgment of Court based upon the evidence in the case cannot be so characterized when it is in accordance with the form provided by law;

That the defendant, according to the evidence in the case, including the testimony of the witnesses produced by the Defense, is in the eyes of the law fully responsible for his acts and amenable on conviction to such punishment as is provided by law;

That the Court did not decide, without evidence, that the Defendant could not be restrained adequately and effectively in the Maryland Penitentiary, but on the contrary, the evidence in the case showed that the Defendant was of a class characterized as of the most dangerous tendencies;

That the Court did not abuse its discretion in its interpretation and weight given to the conflicting testimony of the several witnesses who appeared before it as to what testimony was given at a prior hearing;

In answer to the allegation contained in the Eighth Paragraph of the said Motion, the State respectfully avers that subsequent to October 27, 1931, (the date on which the plea of guilty was entered by the Defendant and testimony taken with respect thereto), the Court informed counsel for the respective traversers, Herman Webb Duker and Dale Lambert, jointly indicted, and counsel for the State, that the Court had reduced to writing tentative impressions and conclusions formed after hearing and considering the testimony in the case, but unqualifiedly anhounced that argument, without limitation of time,would be permitted before the imposition of sentence, whereupon a date was fixed for the hearing of said arguments and that thereafter full argument was made by counsel for all parties in the case prior to the imposition of sentence; that counsel for the State denies that the Defendant was not allowed an opportunity to say whatever he might have to say why sentence should not be imposed and further represents that the said Defendant, Herman Webb Duker, offered himself as a witness on October 27, 1931, after entering a plea of guilty to the Indictment charging Murder in the First Degree; that during the hearing which was being held to determine the question of sentence he was afforded an opportunity to say anything he might wish to say in connection with th e question of sentence.

That the verdict and sentence are not against the weight of the evidence as the Defendant submitted under a plea of guilty in the case and all the evidence offered supported that plea and fully warranted the judgment of the Court on the verdict which had been rendered under said plea.

That the sentence of the Court was not excessive under all the circumstances since it was established that the offense was deliberate, premeditated and malicious and was perpetrated in an attempt to commit robbery; and under the Maryland Statute is made Murder in the First Degree;

That the indictment in this case follows the Statutory form prescribed by our Code and is sufficient to support a verdict of Murder in the First Degree.

Answering generally the allegations of the said Motion the State 'contends that the offense charged in the said Indictment was committed by the said Herman Webb Duker who at the time of the perpetration of the said crime had the reason and capacity sufficient to distinguish between right and wrong and to enable him to understand the nature and consequences of his acts as applied to himself, all of which will appear from the record of this case.

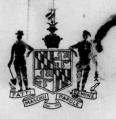
3.

Having fully answered the allegations contained in the said Motion, the State of Maryland respectfully prays that the said Motion be over-ruled.

STATE CITY. BALLIMORE OR

1273/1931 IN THE CRIMINAL COURT OF BALT IMORE INDICTMENT NO. 1273-1931. STATE OF MARYLAND VS. HERMAN WEBB DUKER ANSWER MR. CLERK: et FOR ORE CUTY. STATE BALT 6-1932 DAILY RECORD MD

ALBERT C.RITCHIE GOVERNOR



EXECUTIVE DEPARTMENT ANNAPOLIS, MARYLAND

September 19, 1932.

Clerk of the Criminal Court for Baltimore City, Court House, Baltimore, Md.

Dear Sir:-

Enclosed herewith please find copy of the Commutation of Sentence Certificate issued in the case of HERMAN WEBB DUKER (w).

The original certificate issued in this case has been forwarded to Warden Patrick J. Brady of the Maryland Penitentiary.

Very truly yours,

have beecherice

David C. Winebrenner, 3d., Secretary of State.

W.

Copy.

EXECUTIVE DEPARTMENT ANNAPOLIS---MARYLAND

COMMUTATION OF SENTENCE OF HERMAN WEBB DUKER (w).

To Warden Patrick J. Brady, Greetings:

WHEREAS, a certain HERMAN WEBB DUKER (white) was convicted at the January term 1931 of the Criminal Court for Baltimore City of Murder in the first degree and was sentenced by said Court to Death.

AND WHEREAS, for the reasons given in the statement by me attached hereto I have concluded that this sentence should be commuted.

NOW, THEREFORE, I, ALBERT C. RITCHIE, GOVERNOR OF THE STATE OF MARYLAND, by virtue of the authority confided in me by the Constitution and Laws of this State, do hereby commute the death sentence of the said HERMAN WEBB DUKER (white) to life imprisonment in the Maryland Penitentiary.

The Great Seal of the State of Maryland.

GIVEN under my hand and the Great Seal of the State of Maryland, at the City of Annapolis, this Nineteenth day of September, in the year of our Lord, One Thousand Nine Hundred and Thirty-two.

ALBERT C. RITCHIE, By the Governor:

> DAVID C. WINEBRENNER, 3d., Secretary of State.

STATEMENT BY GOVERNOR ALBERT C. RITCHIE

COMMUTING THE SENTENCE OF HERMAN W. DUKER TO LIFE IMPRISONMENT.

The law in Maryland, as in all, or certainly in practically all other States, is that a man is sane if he knows the difference between right and wrong, and appreciates the consequences of his acts.

In this case the Court (Judge Joseph N. Ulman), in conformity with the testimony of both State and defense, found that Duker is afflicted with a definite mental ailment or disorder, known as psychopathic personality, which had reduced his mental and moral responsibility and control, but that he is same according to the legal standard. Being legally same, Duker on the facts was found guilty of murder in the first degree. For that crime the law provides alternative punishments,- hanging, or imprisonment in the Penitentiary for life, as the Court, in its discretion, may decide is proper.

In this situation, I can understand how the Court, in the exercise of its discretion, might take the view that while Duker is legally sane, yet his mental disorder should be considered in mitigation of punishment, and so sentence him to life imprisonment instead of hanging. I can also understand how the Court, in the exercise of its discretion, might take the view that inasmuch as Duker's mental disorder does not amount to insanity, it should <u>not</u> be considered in mitigation of punishment, and that Duker should be sentenced to hang.

What I cannot understand is how the Court could first decide as it did - that Duker's mental disorder should be considered in mitigation of punishment, and that he should not be hanged; and then sentence him to be hanged anyhow, not for his crime, but because the Penitentiary is the only place to which he could be committed, and because of the Court's prediction that in the Penitentiary Duker would be a dangerous prisoner.

It seems to me that if Duker is to be hanged, this should be because, all things considered, including his mental condition, hanging is the just punishment for his crime. I do not think he should be hanged because of anybody's prediction as to the kind of prisoner he might be.

Yet the mere prediction of the Court that in the Penitentiary Duker would be a dangerous prisoner, - a prediction, by the way, strongly combatted by competent witnesses, - completely overcame the profound conviction of the Court that Duker, because of his mental disorder, should not be hanged, - that it would in fact be a "tragedy" to hang him, - and this prediction was the Court's reason for sentencing Duker to death.

- 2 -

FACTS

On the morning of April 20, 1931, at about eleven o'clock, in Baltimore City, Herman W. Duker, with his companion Dale Lambert, attempted to hold up and rob John W. Anderson, the driver of a milk wagon, who was on the sidewalk delivering milk.

What happened is described as follows in the agreed statement of Facts which appears in the Record for the Court of Appeals:

"Lambert, going to the pavement, asked Anderson for a bottle of milk, while Duker stood in the road. Lambert's coat was blown open, and Anderson saw a pistol strapped to Lambert's belt. Realizing that he was being held up, he picked up a milk bottle and attempted to strike Lambert with it. He then reached over and seized Lambert's pistol, pointing it towards Lambert's abdomen. Thereupon Duker, thinking that Lambert was about to be shot, pulled out a pistol and shot Anderson, aiming at his legs, so as to cripple him. Anderson died later in the day from the wound thus inflicted."

THE VERDICT

At the trial before the Criminal Court of Baltimore City, both Duker and Lambert pleaded "Guilty". Testimony was then offered, covering the facts of the case, in order to enable the Court to fix the degree of guilt. In the language of Judge Ulman, this testimony "was so conclusive, that the Court unhesitatingly fixed the degree of the crime as murder in the first degree". Counsel

- 3 -

for the defense acquiesced in this finding, and a verdict of first degree murder was entered against both Duker and Lambert.

THE SENTENCE

It is provided by Article 27 Section 403 of the Annotated Code of Maryland that "every person convicted of murder in the first degree, his or her aiders, abettors and counsellors, shall suffer death, or undergo a confinement in the penitentiary of the State for the period of their natural life, in the discretion of the Court before whom such person may be tried."

Thereupon the Court proceeded to hear additional testimony in order to determine which of the alternative sentences it would impose upon Duker and Lambert, that is to say, death or life imprisonment.

LAMBERT GETS LIFE

The Court found Lambert sane, "of border line intelligence", not particularly dangerous to society and likely to become amenable to prison discipline. He was sentenced to life imprisonment.

DUKER IS A CASE OF PSYCHOPATHIC PERSONALITY

With respect to Duker, the Court found that he is a case of "psychopathic personality". This ailment is thus described by the witnesses: It is a definite, abnormal mental condition, well known to the medical profession, and recognized as a distinct mental dis-

- 4 -

order. It appears in the official classification approved by the American Psychiatric Association and the American Medical Association, and is accepted in all governmental and official classifications of mental disorders. The psychopathic personality manifests itself differently and in different degrees in different persons.

The psychopath may, as in the present case, be a person who understands the difference between right and wrong, and who is same according to the legal definition of sanity, as laid down by the Court of Appeals in Spencer vs. State, 69 Md. 28. The testimony shows, however, that the psychopath is mentally and emotionally unbalanced and unstable. He lacks the power of control. He may know the consequences of his acts, but is not able to consider those consequences, or their results to him or to others, as a normal person would do. He cannot control his impulses, regardless of what that may mean to him or to society.

Six psychiatrists testified for Duker. They were Dr. Ralph P. Truitt; Dr. John R. Oliver, formerly Chief Medical Officer of the Supreme Bench; Dr. George E. Partridge, Director of Psychiatric Research for the Maryland Penal Institutions; Dr. M. S. Guttmacher, present Chief Medical Officer of the Supreme Bench, who examined Duker at Judge Ulman's request; Dr. Frank L. Christian, Superintendent of the Elmira Reformatory, and, at the second hearing, Dr. Ross McC. Chapman, Superintendent of the Sheppard and Enoch Pratt Hospital.

- 5 -

Two psychiatrists testified for the State, Dr. G. Lane Taneyhill and Dr. Andrew C. Gillis.

In addition, the Court had before it the reports of certain competent physicians and psychiatrists who had occasion to examine Duker before the Anderson crime occurred.

These witnesses all agreed that Duker is a psychopathic personality, and some of them pointed out in him certain characteristic symptoms of his malady in addition to those general symptons described above, - immaturity, sexual irregularities, and so on. All agreed that morally and mentally Duker is not fully responsible for his acts.

Judge Ulman adopted this view. He found Duker to be a psychopath. No other conclusion was possible under the evidence. As Judge Ulman said, "every witness in this case agreed that Duker has not the normal emotional and moral impulses and controls - and every witness concluded that he is 'not fully responsible' for his actions".

More persuasive, to my mind, than the physicians who actually testified, are certain medical reports made on Duker before the Anderson crime occurred.

Some of these reports are in the printed Record, and others were filed as Exhibits. Some are long and remarkably minute and exhaustive in their study of Duker and his parents and relatives. I have examined all these reports with the greatest care.

- 6 -

To begin with, Duker's life has been a record of badness, cruelty, perversion and delinquency. Nothing seemed effective to deter him from the repetition of his practices. There is no need to be specific as to their character.

When Duker was thirteen years old, the family physician suggested an examination at Phipps Clinic, but this was not had.

In 1925, when sixteen years old, Duker ran away from home. He wound up in the Hampton Farms Reformatory of New York City, where in April, 1927, he was sentenced to six months for petty larceny. He was paroled, and returned to Baltimore. Shortly afterwards he was arrested for robbing apartment houses, and in January, 1928, was committed to the Maryland Training School for Boys. He was then eighteen years old.

At this time Dr. John R. Oliver, then Chief Medical Officer of the Supreme Bench, made a written report to Judge George Solter upon Duker. Dr. Oliver went thoroughly into Duker's family environment and history, and pronounced him same from a legal standpoint, but "rebellious", "anti-social", "emotionally unstable", acting "on the spur of the moment, without any adequate understanding or realization of the consequences of his actions".

Dr. George E. Partridge, who testified in this case, was then Psycho-Pathologist at the above institution, and in May, 1928, he made a report upon Duker, in which he concluded that "we should place him under the class of psychopathic personality".

- 7 -

Subsequently, Dr. Partridge wrote an article entitled "Psychopathic Personalities among Boys in a Training School for Delinquents". It was published in the American Journal of Psychiatry, July, 1928, Vcl. VIII No. 1 page 161. This article discusses the psychopathic personality at considerable length. It is a detailed study of fifty "especially problematic" boys, of whom twelve were psychopathic. Cne of these was Duker, and as to him Dr. Partridge concludes: "We should regard him as a psychopath of the chronic delinquent type, with some sexual psychopathy and with marked tendency towards the runaway reaction."

Mr. Harold E. Donnell, who was then the Superintendent of the Maryland Training School for Boys, wanted Duker sent for treatment to the Sheppard and Enoch Pratt Hospital, a psychiatric institution; but arrangements for this were not made, and about that time Duker ran away, and went to New York, continuing there his abnormal and delinquent career.

In June, 1928, Duker was committed to the New York State Reformatory at Elmira, and Dr. John R. Harding, (now deceased), psychiatrist of that institution, pronounced him "a psychopathic personality". Dr. Lichtenstein, the court psychiatrist, had already reported him as "emotionally unstable and a constitutional psychopath". The Department of Research at Elmira recorded Duker as "weak willed, a psychopath with a contempt for authority and a disregard

- 8 -

Duker's hanging as "a confession of social and legal failure". He called it a "tragedy".

Why, then, did Judge Ulman sentence Duker to be hanged?

WHY DUKER WAS SENTENCED TO BE HANGED

Consider the situation.

Being legally sane, the law of the State, under the undisputed facts, required Duker to be found guilty of murder in the first degree, and he was. But the law of the State does not require a person found guilty of murder in the first degree to be hanged.

On the contrary, the law says that such a person shall <u>either</u> be hanged, <u>or, in the discretion of the Court</u>, sentenced to life imprisonment. The law provides these two alternative punishments for first degree murder, and the Judge is completely free to impose either sentence he thinks the circumstances call for.

In fact, the Court's discretion to decide between life imprisonment and death is so absolute that Judge Ulman's decision could not be reviewed by the Court of Appeals. It was for this reason that the Court of Appeals declined to interfere, when Duker's attorneys appealed to that tribunal to set aside the sentence of death on the ground that it involved an abuse of the lower court's discretion. Moreover, one thoroughly accepted ground for imposing the lesser rather than the severer sentence, when alternative punishments are provided, is the mental condition of the prisoner. When this does not amount to insanity, as it did not in this case, it is proper for the Court to <u>convict</u> the prisoner of murder in the first degree. But when it comes to imposing the <u>punishment</u>, then it is perfectly usual and sound for the Court to consider a lowered, abnormal or unstable mentality <u>in mitigation of sentence</u>, and as calling for the lesser punishment.

This was fully recognized by Judge Ulman himself, both expressly in his opinion, and by the fact that he received the evidence as to Duker's mental condition.

Now in the present instance we have a twenty-three year old boy, concededly the victim of a definite, accepted mental disorder. His case was well known to the medical profession, and had actually been written up, almost three years before the Anderson crime, in the leading American psychiatric publication. He was legally sane, but actually, because of this mental disorder, his emctional control was so lowered and restricted, his impulses so beyond regulation, that the witnesses for the State as well as for the defense, agreed he was only partially responsible for what he did.

Judge Ulman did not think such a boy should be hanged, and said so emphatically. Why then did he sentence him to be hanged? No legal rules, no rigors or technicalities of the law required it.

- 11 -

Why did Judge Ulman not exercise his discretion, and sentence Duker to life confinement, which was the disposition he thought and said ought to be made of him?

The reason given by Judge Ulman was that Maryland has no State institution to which a person suffering from Duker's mental ailment, and convicted of Duker's crime, can be committed by the Court; and if there were such an institution Duker could not be confined in it for life, "which (Judge Ulman said) is what should be done with him," because "in the eyes of the law he is not insane." Not being legally ingane, Duker could not be committed to one of the State hospitals for the insane. The only place to which he could be sent is the Maryland Penitentiary; but Judge Ulman was unwilling to send him there, because, he said, Duker might be a rebellicus prisoner, not amenable to prison discipline, and "a serious threat against the lives of the other prisoners and of the guards in that institution."

So the Judge sentenced Duker to be hanged.

Let us examine these reasons for the death sentence.

DUKER SHOULD NOT BE HANGED BECAUSE MARYLAND HAS NO STATE INSTITUTION

FOR SUCH PSYCHOPATHIC PERSONALITIES. NO STATE HAS ONE.

It is, of course, true that there is in Maryland no special State institution for psychopathic cases, such as this case; and even if there were, Duker, being legally same, could not be confined

- 12 -

in it by the Court, unless the Maryland law were changed so as to permit the compulsory confinement of such psychopaths.

Judge Ulman's characterization of this situation as "a confession of social and legal failure", which leaves "no workable alternative" to "the tragedy" of hanging Duker, has caused in some quarters criticism of this State's legal and institutional systems which is totally unjustified.

There is not one State in the whole country which maintains a State institution for psychopathic prisoners of Duker's status, or whose laws permit the compulsory commitment or confinement of psychopaths of his type. Dr. George H. Preston, the Maryland State Director of Mental Hygiene, Dr. Ross McC. Chapman, Superintendent of the Sheppard and Enoch Pratt Hospital, and Mr. Harold E. Donnell, the Superintendent of Prisons, have separately investigated this question for me, and each advises me that this is the fact.

Judge Ulman, in his opinion, stated that "if the laws of Maryland were like the laws of Massachusetts, Duker might have been confined for life in a place of detention for defective delinquents immediately upon the diagnosis of his case by Dr. Partridge in 1928. Then John W. Anderson would be alive; Lambert would not be a prisoner for life; and Duker would not have to be hanged".

With great respect, the learned Judge is mistaken. He confuses in this case a <u>defective delinquent</u> with a <u>psychopathic personality</u> of normal intelligence. These are two perfectly distinct classes of mental disorder.

- 13 -

I am advised by the authorities at Bridgewater, (which is the Massachusetts State institution Judge Ulman had in mind), that they do not take "psychopathic personality cases as a group, but only as they appear among our defective delinquents and in our hospitals for the insane". In other words, Bridgewater is an institution to which psychopaths are only committed if they also happen to be defective delinquents or insane.

Duker is not insane. He is not a defective delinquent. He is not feeble-minded or of low grade mentality. He is a psychopath, as Judge Ulman describes so clearly in his opinion.

Were Duker a resident of Massachusetts, he could not be committed to Bridgewater, and no State in the country maintains an institution, such as the Court had in mind, to which he could be committed.

Indeed, only a very few States maintain institutions for <u>defective delinquents</u>, - Dr. Preston only finds two, - and a very few other States are undertaking to segregate such prisoners in their penal institutions. But it is hardly necessary to go into this, because, as Dr. Chapman advises me, "Duker would not be eligible for commitment to such an institution, on account of the fact that he is not defective."

The plain fact is that psychopaths when found guilty of crime are in this country sent to the penal institutions. Mr. Donnell estimates that there are about 130 white and about 56 colored psychopaths in the Maryland Penitentiary now.

- 14 -

Perhaps with the advance of the science of penology, the States will in the future have State institutions for such cases. Whether this should be or not, is a question which admits of considerable diversity of opinion. But in this case the important thing is that there are no such State institutions now.

If, as Judge Ulman finds, Duker's psychopathic personality is such as to make his hanging a great wrong, then I cannot bring myself to think that this great wrong should be done because there is no Maryland State institution in which a case like his can be legally confined and treated. If Duker is to be hanged, I think this should be because, all things considered, hanging is the just punishment for his crime, and not because the State does not maintain some particular kind of institution. And it seems to me clear beyond question that Duker should not be hanged because this State does not maintain an institution in which he could be legally confined, when no other State in the country maintains one.

DUKER SHCULD NOT BE HANGED ON THE PREDICTION

THAT HE WILL BE A DANGEROUS PRISONER.

But, Judge Ulman says, the only alternative is to sentence Duker to the Penitentiary, and there he would prove a rebellious and dangerous prisoner, a potential leader of riots, a menace to the lives of guards and other inmates, and so he must be hanged.

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This is tantamount to saying that if in fact Duker could not be prevented from instigating ricts in the Penitentiary, if he would be in rebellion against authority there, and a serious threat against the lives of guards and prisoners, then, in the language of the Court, "in order to protect society and to prevent further probable homicides", it would be necessary for the State to kill him, somewhat as an individual may kill in his own defense.

To my mind, this question does not arise in the present case, because surely the probability of such dangers ought first to be at least reasonably clear. What is the testimony?

When the case was first heard by Judge Ulman, Dr. Partridge, Dr. Christian, Dr. Guttmacher and Dr. Truitt made statements upon which the Judge based his apprehensions in this regard.

When the plea for commutation was made before me the first time, and when it was urged by Duker's attorneys that Judge Ulman had misinterpreted the testimony of these witnesses, I suggested that the Court be asked to reopen the case in order to clear up any misunderstanding which might exist.

This was done, and on the rehearing Doctors Partridge, Guttmacher and Truitt, and Dr. Christian by letter, all gave testimony which, to my mind at least, cleared up substantially, if not entirely, any idea which might have been drawn from their earlier testimony that Duker would be a dangerous and menacing <u>prisoner</u>,

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or that he could not be readily restrained <u>in prison</u>. Dr. Chapman testified that he thought Duker stood a good chance of becoming a conformist prisoner. Mr. Donnell and Colonel Stuart S. Janney, the Director of Welfare, expressed the same beliefs.

Subsequently, the Judge submitted a memorandum, which expressed his final conclusion in these words:

"I think it became apparent during the supplemental hearings that the case has now boiled down to a very simple issue. That issue is not even a difference of opinion, properly so called. It is just a difference of prediction. I have said, in my formal opinion heretofore filed, that, under existing laws and institutions, I predicted evil and dangerous consequences if the defendant should be confined in the penitentiary for life. As a judicial officer, concerned with the protection of society, I was unwilling to take the responsibility of so confining him. Certain expert witnesses and certain administrative officers of the State have now made a different prediction. Upon the whole record, I am compelled to adhere to my original conclusion."

Thus the Court confirmed its former sentence that Duker be hanged.

I have previously said that I do not think Duker should be hanged because this State does not maintain a State institution for his confinement such as no other State maintains. Neither do I

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think he should be hanged because of the Court's prediction that he will be a dangerous prisoner.

In the first place, the witnesses on whose testimony this prediction was based disclaimed having intended to convey such idea. The point had not been understood by any of them in the beginning, and as soon as it was understood all testified that they had not meant that Duker <u>in the Penitentiary</u> would be a menace, and a threat to the lives of others.

Dr. Partridge, who is thoroughly familiar with the prisoners in the Maryland Penitentiary, - he has made 1400 examinations of them, - testified that many are more dangerous than Duker. Mr. Donnell advises me that he believes there are at least twenty men in the Penitentiary of more dangerous psychopathic tendencies.

When, therefore, the Court finally decided that Duker must be hanged because of the Court's prediction of "evil and dangerous consequences if he should be confined in the Penitentiary for life", there was, as I read the Record, no evidence before the Court, certainly there was no substantial evidence, on which this prediction could be justified.

But even if there had been, it was at most a mere prediction. The Court himself said the witnesses made different predictions. Who can say that the Court's prediction will prove true? Who can say that the contrary prediction, made by competent witnesses, will not prove true? What we actually know is that Duker has been in the

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Penitentiary for ten months, and during that time has not caused the slightest trouble or concern of any kind. Furthermore his previous prison or correctional history contains nothing to indicate that in such a setting he has ever been a serious trouble maker. Maybe in the Penitentiary Duker will ultimately give trouble. Maybe he will not. In any case, I do not think he should be hanged on anybody's prediction about it. To my mind, that is not at all the proper test. If Duker is to hang, I think, as I have said before, this should be because hanging, all things considered, including his mentality, is the just punishment for his crime.

With great respect to the learned Judge who imposed the sentence of death, I do not consider that the reasons given for that sentence justify it.

DUKER'S SENTENCE WILL BE COMMUTED TO LIFE IMPRISONMENT

The case reverts, therefore, to the findings of the Court upon the testimony of the witnesses for both State and defense, - ccnfirmed by the medical reports made before the crime, - which I have previously set forth at length.

There is no need to undertake to draw the line between uncontrollable impulses which are due to the mental disorder of psychopathic personality and those which are not. I can only decide this case upon the Record before me, and on this Record there is complete agreement between the Court and all the witnesses and medical

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reports that there is a definite, recognized mental ailment known as psychopathic personality, that Duker is a victim of this ailment, and that because of it he is not fully responsible, mentally and morally, for what he does.

The literature of the subject, which I have read at considerable length, and the actual practice of the courts, recognize that reduced mental and moral responsibility, short of insanity, while not a justification for <u>reducing the degree of guilt</u>, (that is, first degree murder will still be first degree murder), may be complete justification for <u>mitigating the punishment</u>, and for giving the lesser instead of the severer sentence, where, as in the present case, the court has the discretion to decide between alternative sentences.

Judge Ulman makes it abundantly clear that, because of these very considerations, he would not have sentenced Duker to be hanged, but would have sentenced him to life confinement, except for the reasons which have been discussed.

As already explained, I do not consider these reasons adequate to justify hanging, and, therefore, I will exercise my executive discretion and do what the Judge wanted to do in the exercise of his judicial discretion, but did not do for reasons he deemed sufficient, but which I cannot accept.

I will commute Duker's sentence to imprisonment in the Maryland Penitentiary for life.

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