

(1) A NOTICE WITH THE COMMISSIONER DESIGNATING THE REGISTERED

~~(2) -- THE APPLICATION FOR INITIAL REGISTRATION OF -- SUCH AGENTS AS INVESTMENT -- ADVISER REPRESENTATIVES OF THE INVESTMENT ADVISER;~~

~~(2) -- TOGETHER WITH A CONSENT TO SERVICE OF PROCESS UNDER § 11-802(A) OF THIS TITLE; AND~~

~~(3) -- SHALL DESIGNATE SUCH AGENTS AS REPRESENTATIVES OF -- THE -- INVESTMENT -- ADVISER -- AND -- SHALL -- CONTAIN SUCH OTHER INFORMATION AS THE COMMISSIONER BY RULE OR ORDER MAY REQUIRE.~~

[(b)] (C) The Commissioner in his discretion may publish an announcement of the applicants for registration in the newspapers he determines.

[(c)] (D) If a denial order is not in effect and a proceeding is not pending under §§ 11-412 through 11-414 of this subtitle, registration becomes effective at noon of the 30th day after an application is filed. The Commissioner by rule or order may specify an earlier effective date, and he by order may defer the effective date until noon of the 30th day after the filing of any amendment.

11-406.

(a) A broker-dealer OR INVESTMENT ADVISER may obtain a renewal registration by filing with the Commissioner or any entity he designates by rule or order an application containing whatever information the Commissioner by rule requires to keep current the information contained in the application for initial registration.

(b) A broker-dealer or issuer may obtain a renewal registration for the agents associated with it by filing with the Commissioner or any entity he designates by rule or order an application containing the names of the agents associated with it and a certification that, to the best knowledge, information, and belief of the broker-dealer or issuer:

(1) There has been no change in the information contained in the agents' applications for the registration then currently in effect; or

(2) If there has been any such change, specifying the change.

(C) AN INVESTMENT ADVISER MAY OBTAIN A RENEWAL REGISTRATION FOR THE INVESTMENT ADVISER REPRESENTATIVES ASSOCIATED WITH IT BY FILING WITH THE COMMISSIONER OR ANY ENTITY HE DESIGNATES BY RULE OR ORDER AN APPLICATION CONTAINING THE NAMES OF THE